

# A Higgs term for the constant scalar curvature equation in Kähler geometry

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- Many geometers are still obsessed with the classical equations of motion of gauge theory and gravity.
- Mostly with the wrong (Riemannian) signature and the wrong (compact) kind of space.
- Here we make exactly these mistakes, with even worse spaces (compact complex manifolds). But at least we can now use “complex variables” to understand things much better (Atiyah, Hitchin, Donaldson...).
- Plus a few misleading but pretty pictures.

# Complex manifolds

$M$  compact complex manifold: local *holomorphic* coordinates

$$z_i = x_i + \sqrt{-1}y_i.$$

Get tensor  $J \in \text{End}(TM)$  with  $J(\partial_{x_i}) = \partial_{y_i}$ ,  $J(\partial_{y_i}) = -\partial_{x_i}$ . Note  $J^2 = -I$ .

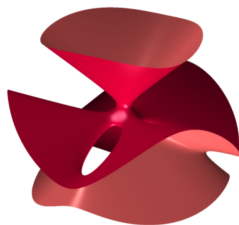


Figure: Clebsch cubic

# Hermitian and Kähler metrics

$g$  Riemannian metric on  $M$ .

$g$  Hermitian if  $J$  is  $g$ -isometry.

Then  $\omega_g = g(J-, -)$  is skew, i.e. a 2-form.

Strongest compatibility:  $\nabla^g(J) = 0$ .

It holds iff  $\omega_g$  is symplectic,  $d\omega_g = 0$ . This is the Kähler condition.

# Hermitian Yang-Mills

$(M, g)$  compact Kähler.

$E \rightarrow M$  a holomorphic vector bundle, with Hermitian metric  $h$ .

$\Rightarrow$  we get a canonical “gauge field”, the Chern connection  $A(h)$ .

“Field strength” = curvature  $F(h) = F(A(h))$ , matrix-valued 2-form.

Hermitian Yang-Mills equation:

$$F(h) = \lambda \text{Id} \omega_g.$$

Theorem (Donaldson, Uhlenbeck-Yau)

Solvable iff  $E$  is “stable”.

(Unitary) gauge transformations  $\mathcal{G}$ : fibrewise isometries of  $(E, h)$ .

$\mathcal{A}$  = complex structures on  $E = \bar{\partial}$ -operators  $\bar{\partial}_A$   
("half-connections").

$\mathcal{G}$  acts on  $\mathcal{A}$ ,  $g \cdot \bar{\partial}_A = g^{-1} \circ \bar{\partial}_A \circ g$ .

## Theorem (Atiyah-Bott)

*The space  $\mathcal{A}$  is ( $\infty$ -dim'l) symplectic. For each infinitesimal gauge transformation  $\xi$ , set*

$$m_{AB}(\bar{\partial}_A)(\xi) = \int_M [F(\bar{\partial}_A) - \lambda \text{I} \omega_g](\xi) \wedge \omega_g^{n-1}.$$

*Then  $m_{AB}(-)(\xi)$  is a Hamiltonian function for  $\xi$ .*

That is:  $dm_{AB}(-)(\xi)$  is dual under  $\omega_{\mathcal{A}}$  to the vector field on  $\mathcal{A}$  generated by  $\xi$ .

One says  $m_{AB}$  **is a "moment" or "momentum" map** (compare to  $SO(3)$  acting on phase space!).

## Corollary

The HYM equation on metrics  $h$

$$F(h) = \lambda \text{Id } \omega_g$$

is precisely the **symplectic reduction equation**

$$m_{AB}(\bar{\partial}_A) = 0$$

along **orbits of the complexification  $\mathcal{G}^{\mathbb{C}}$** .

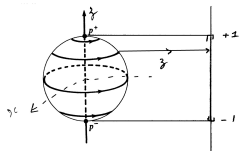


Figure: Moment map



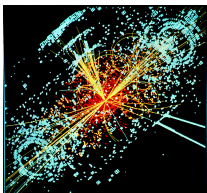
# Adding a Higgs field

Hitchin does HYM with a *Higgs field*  $\phi$ , matrix-valued 1-form.  
 $\Rightarrow$  harmonic bundle equations:

$$F(h) + [\phi, \phi^{*h}] = \lambda \text{Id} \omega_g$$
$$\bar{\partial}\phi = 0.$$

Theorem (Hitchin, Donaldson, Corlette, Simpson)

*Solvable iff  $E$  is “stable relative to  $\phi$ ”.*



**Figure:** A Higgs doing something higgisy, unrelated and misleading.  
By Lucas Taylor / CERN, CC BY-SA 3.0

Cotangent space  $T^*\mathcal{A}$  is both Kähler and *holomorphic symplectic*.

$\Rightarrow$  real and complex symplectic forms  $\omega_{T^*\mathcal{A}}, \Omega_{T^*\mathcal{A}}$ .

The two structures interact in the nicest possible way (**hyperkähler** condition). Quite easy because  $\mathcal{A}$  is affine.

Promote gauge action to  $\mathcal{G} \curvearrowright T^*\mathcal{A}$ , preserving  $\omega_{T^*\mathcal{A}}, \Omega_{T^*\mathcal{A}}$ .

## Theorem (Hitchin)

The action  $\mathcal{G} \curvearrowright T^*\mathcal{A}$  admits moment maps  $m_{\mathbb{R}}, m_{\mathbb{C}}$  with respect to both  $\omega_{T^*\mathcal{A}}, \Omega_{T^*\mathcal{A}}$ . The harmonic bundle equations

$$F(h) + [\phi, \phi^{*h}] = \lambda \text{Id} \omega_g$$
$$\bar{\partial}\phi = 0.$$

are precisely the equations of hyper-symplectic reduction

$$m_{\mathbb{R}}(h, \phi) = 0$$

$$m_{\mathbb{C}}(h, \phi) = 0$$

along orbits of  $\mathcal{G}^{\mathbb{C}}$ .

Starting point of **nonabelian Hodge theory** (Hitchin, Simpson...)

Kähler  $g$  is Riemannian, so get curvature tensor  $\text{Riem}(g)$ .

**Ricci curvature** is equivalent to Ricci form

$$\begin{aligned}\text{Ric}(\omega_g) &= -\sqrt{-1} \partial \bar{\partial} \log \det(g) \\ &= -\sqrt{-1} \partial_i \bar{\partial}_j \log \det(g_{k\bar{l}}) dz_i \wedge d\bar{z}_j.\end{aligned}$$

**Scalar curvature** is given by

$$s(g) = -\sqrt{-1} g^{i\bar{j}} \partial_i \bar{\partial}_j \log \det(g).$$

# Kähler-Einstein metrics

$g$  is Riemannian Einstein iff

$$\text{Ric}(\omega_g) = \lambda \omega_g.$$

Can assume  $\lambda \in \{-1, 0, 1\}$ .

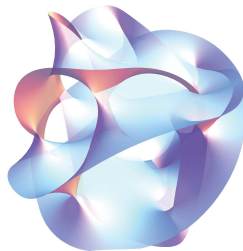


Figure: A solution for  $\lambda = 0$

Taking cohomology

$$H^2(M, \mathbb{Z}) \ni c_1(X) = (2\pi)^{-1} [\text{Ric}(\omega_g)] = (2\pi)^{-1} \lambda[\omega_g].$$

So  $M$  must be general type ( $\lambda = -1$ ), Calabi-Yau ( $\lambda = 0$ ) or Fano ( $\lambda = 1$ ).

**But most manifolds do not fit into one of these categories!**

E.g. not preserved by “holomorphic surgery”.

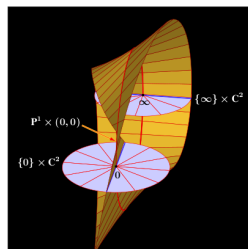


Figure: Holomorphic surgery (Blowup)

KE constrains  $[\omega_g]$  (except for CYs). Moving beyond this, one looks at **cscK metrics**

$$s(g) = \hat{s}$$

and more generally at extremal metrics

$$\bar{\partial}\nabla^{1,0}s(g) = 0.$$

They are critical points for all the functionals

$$\int_M (s(g))^2, \int_M \|\text{Ric}(g)\|_g^2, \int_M \|\text{Riem}(g)\|_g^2.$$

# Geometric interpretation

Gauge group  $\mathcal{G} = \text{Ham}(M, \omega_0)$ .

" $\bar{\partial}$ -operators"  $\mathcal{J} =$  compatible almost complex structures  $J$ .

$\mathcal{G}$  acts on  $\mathcal{J}$  by pushforward.

**Theorem (Quillen, Donaldson, Fujiki)**

*The space  $\mathcal{J}$  is ( $\infty$  dim'l) symplectic. For all  $h \in C_0^\infty(M) = \mathfrak{ham}(M, \omega_0)$  set*

$$\mu(J)(h) = \int_M (s(g_J) - \hat{s}) h \frac{\omega_0^n}{n!}.$$

*Then  $\mu(-)(h)$  is a Hamiltonian function for  $h$ .*

In other words scalar curvature is a moment map for the action  $\mathcal{G} \curvearrowright (\mathcal{J}, \omega_{\mathcal{J}})$ .



## Corollary (Donaldson)

The cscK equation on metrics  $g$ , with  $[\omega_g]$  fixed

$$s(g) = \hat{s}$$

is precisely the **symplectic reduction equation**

$$\mu(g) = 0$$

along **orbits of the infinitesimal complexification**  $\mathfrak{ham}^{\mathbb{C}}$ .

So one expects **stability criteria for existence**.

# Adding a Higgs field: geometry of $T^* \mathcal{I}$

We extend work of Donaldson for complex curves (Riemann surfaces), with a different approach.

## Theorem (Scarpa-S.)

*A neighbourhood of the zero section in the holomorphic cotangent bundle  $T^* \mathcal{I}$  is endowed with a natural hyperkähler structure. The induced action  $\mathcal{G} \curvearrowright T^* \mathcal{I}$  preserves this hyperkähler structure.*

This structure is induced by regarding  $\mathcal{I}$  as the space of sections of a  $\mathrm{Sp}(2n)$ -bundle with fibres diffeomorphic to  $\mathrm{Sp}(2n)/\mathrm{U}(n)$ , and by the Biquard-Gauduchon canonical  $\mathrm{Sp}(2n)$ -invariant hyperkähler metric on a neighbourhood of the zero section in  $T^*(\mathrm{Sp}/\mathrm{U}(n))$ .

## Theorem (Scarpa-S.)

*The action  $\mathcal{G} \curvearrowright T^*\mathcal{Z}$  is Hamiltonian with respect to the canonical symplectic form  $\Theta$ ; a moment map  $\mathfrak{m}_\Theta$  is given by*

$$\mathfrak{m}_{\mathbb{C}(J,\alpha)}(h) = - \int_M \frac{1}{2} \text{Tr}(\alpha^\top \mathcal{L}_{X_h} J) d\nu.$$

*Moreover the action  $\mathcal{G} \curvearrowright (T^*\mathcal{Z}, \Omega_I)$  is Hamiltonian; a moment map  $\mathfrak{m}_{\mathbb{R}}$  is given by  $\mu \circ \pi + \mathfrak{m}$  with*

$$\mathfrak{m}_{(J,\alpha)}(h) = \int_M -d\rho_{(J(x),\alpha(x))} (J(\mathcal{L}_{X_h} J), J^*(\mathcal{L}_{X_h} \alpha)) d\nu(x).$$

Here  $\rho$  is the Biquard-Gauduchon function.

# Complex moment map is easy

Simple computation shows

$$m_{\mathbb{R}}(\mathcal{J}, \alpha) = -\operatorname{div}(\bar{\partial}^* \bar{\alpha}^T).$$

Harmonic representatives of infinitesimal deformations give particular solutions.

# The case of complex curves

## Theorem (Donaldson+ε)

*M a compact complex curve. Set*

$$\psi(\alpha) = \frac{1}{1 + \sqrt{1 - \frac{1}{4}\|\alpha\|^2}},$$

$$Q(J, \alpha) = \frac{1}{4}g_J(\nabla^a\alpha, \bar{\alpha})\partial_a + \frac{1}{4}g_J(\nabla^{\bar{b}}\bar{\alpha}, \alpha)\partial_{\bar{b}}.$$

*Then under the  $L^2$  product*

$$\begin{aligned} m_{\mathbb{R}}(J, \alpha) &= 2s(g_J) - 2\hat{s} + \Delta \left( \log \left( 1 + \sqrt{1 - \frac{1}{4}\|\alpha\|^2} \right) \right) \\ &\quad + \operatorname{div}(\psi(\alpha) Q(J, \alpha)). \end{aligned}$$

# The case of complex surfaces

$$A = \operatorname{Re}(\alpha^T).$$

$$\delta^\pm(A) = \frac{1}{2} \left( \frac{\operatorname{Tr}(A^2)}{2} \pm \sqrt{\left(\frac{\operatorname{Tr}(A^2)}{2}\right)^2 - 4 \det(A)} \right).$$

$$\psi(A) = \frac{1}{\sqrt{1 - \delta^+(A)} + \sqrt{1 - \delta^-(A)}},$$

$$\begin{aligned} \tilde{\psi}(A) &= \frac{1}{\left(\sqrt{1 - \delta^+(A)} + \sqrt{1 - \delta^-(A)}\right)} \\ &\times \frac{1}{\left(1 + \sqrt{1 - \delta^+(A)}\right) \left(1 + \sqrt{1 - \delta^-(A)}\right)}. \end{aligned}$$

$\tilde{A} = \text{adjugate}.$

Introduce a complex vector field

$$\begin{aligned} X(J, \alpha) &= -\psi(A) \operatorname{grad} \left( \frac{\operatorname{Tr}(A^2)}{2} \right) + 2\psi(A) \left( g_J(\nabla^a A^{0,1}, A^{1,0}) \partial_a + \text{c.c.} \right) \\ &\quad - 2\nabla^*(\psi(A)A^2) + \tilde{\psi}(A) \operatorname{grad}(\det(A)) \\ &\quad - 2\tilde{\psi}(A) \left( g_J(\nabla^a A^{0,1}, \tilde{A}^{1,0}) \partial_a - \text{c.c.} \right) - 2 \operatorname{grad}(\tilde{\psi}(A) \det(A)). \end{aligned}$$

## Theorem (Scarpa-S.)

*Suppose  $J$  is integrable. Then under  $L^2$  product*

$$m_{\mathbb{R}}(J, \alpha) = 2(s(g_J) - \hat{s}) + \frac{1}{2} \operatorname{div} X(J, A).$$



So harmonic bundle equations become the “**HcscK equations**”

$$2s(g) + \frac{1}{2}\operatorname{div}X(g, A) = 2\hat{s}$$
$$\operatorname{div}\left(\bar{\partial}_g^* A^{1,0}\right) = 0$$

for fixed  $J$  and  $g$  varying in  $[\omega_g]$ .

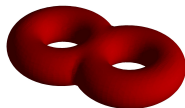
“H” for Higgs or Hitchin according to taste.

# An existence result

We concentrate on **ruled surfaces**, “twisted products” of Riemann sphere and curves.



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## Theorem (Scarpa-S.)

*Fix compact complex curve  $\Sigma$  of genus at least 2, endowed with the hyperbolic metric  $g_\Sigma$ . Let  $M$  be the ruled surface  $M = \mathbb{P}(T\Sigma \oplus \mathcal{O})$ , with projection  $\pi: M \rightarrow \Sigma$  and relative hyperplane bundle  $\mathcal{O}(1)$ , endowed with the Kähler class*

$$\alpha_m = [\pi^*\omega_\Sigma] + mc_1(\mathcal{O}(1)), \quad m > 0.$$

*Then for all sufficiently small  $m$  the HcscK equations can be solved on  $(M, \alpha_m)$ .*

It's well-known that, for all positive  $m$ ,  $(M, \alpha_m)$  does not admit a cscK metric.