

# DEFORMATIONS OF $X_J^0$ : INSTANTON CORRECTIONS

Key idea:

start with a modified Mumford family for  $(B, \Sigma)$ , construct mirror family to  $(U := Y \setminus D, \Omega)$  by

- deforming the complex structure for  $X_J^0 \rightarrow \text{Spec } \mathbb{C}[t]/J$

- compactifying the deformed family.

Motivation: symplectic heuristic (SYZ).

Approaches

- Stik (Monodromy of "spectral coordinates")
- Fukaya / CCM (Maurer-Cartan equation).

In the monodromy approach, deforms of  $X_J^0$  are described by "SCATTERING DIAGRAMS".

(AKA "GLOBAL SCATTERING DIAGRAMS")

- $(Y, D)$  Loo pair

Tropicalization  $(B = \mathcal{B}(Y, D), \Sigma)$   
with integral affine structure  
 $B^0$

Notation as before:

- $P$  f.g. toric monoid;
- $\mathcal{Q} = \{\mathcal{Q}_\tau\}$  multivalued convex sections  
( $\sim \mathbb{P}^0 \rightarrow B^0$ );
- $\mathcal{J} \subset P$  radical monoid ideal,  $\mathcal{J} = \sqrt{\mathcal{J}}$ ;
- $\forall \tau \in \Sigma$ , monoid ring  $\mathbb{C}[\mathcal{P}_{\mathcal{Q}_\tau}]$ ;  
 $\bigcup_{\tau \in \Sigma}$
- $\mathbb{C}[\mathcal{P}_{\mathcal{Q}_\tau}]$  the  $\mathcal{J}_{\sigma, \tau}$ -adic completion.

A SCATTERING DIAGRAM for

$\{(B, \Sigma), P, \mathcal{Q}, \mathcal{J}\}$

is a set  $\mathcal{D} := \{(\partial, \ell_\partial)\}$  with

(1)  $\partial \subset B$  ray through  $0 \in B$   
with rational slope (possibly a ray of  $\Sigma$ );

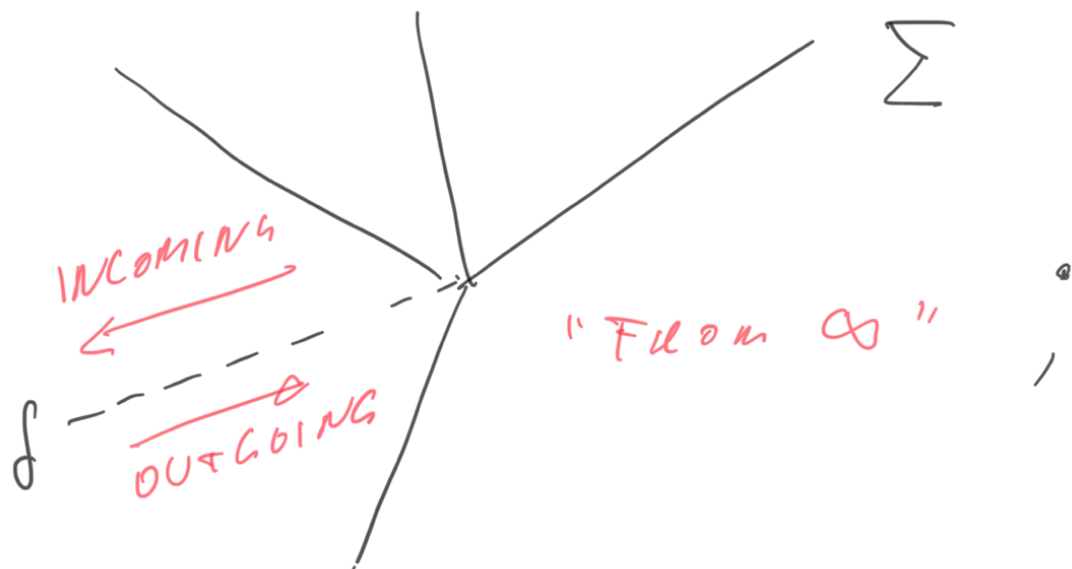
(2)  $\exists \tau_\partial \in \Sigma$  minimal cone  $\Rightarrow$

$$(2) \quad f_d = 1 + \sum_p c_p z^p \in \mathbb{C}[P_{\tau_d}],$$

where  $c_p \in \mathbb{C}$ ,  $p \in P_{\tau_d}$  such that

$r(p) \neq 0$ ,  $r(p)$  tangent to  $d$  in the  
sequence

$$0 \rightarrow P^{\otimes r} \rightarrow P \xrightarrow{r=d\pi} \Lambda_B \rightarrow 0$$



(3) If  $\dim \tau_f = 2$  or  $\dim \tau_f = 1$   
and bending  $P_{\tau_f, d} \notin J$   
 $\Rightarrow f_d \equiv 1 \pmod{J_{\tau_f, d}};$

(4)  $\forall I \subset P$  monoid ideal with  $\sqrt{I} = J$ ,  
 $\exists$  at most finitely many  $(d, f_d) \in \mathcal{D}$   
with  $f_d \not\equiv 1 \pmod{I_{\tau_d, \tau_d}}.$

Construction: defn of  $X_I^{\mathcal{O}} \rightarrow \text{Spec } \mathbb{C}[v]/J$   
by scattering diagram  $\mathcal{D}$

Recall  $X_I^{\mathcal{O}}$  is obtained by gluing Spec's  
of  $\mathbb{C}[v]/I$  algebras:

$$R_{\rho, I}$$

along open subsets given by

$$\psi_{\rho, \sigma} : R_{\rho, I} \rightarrow R_{\sigma, \sigma, I}$$

$$\psi_{\rho', \sigma} : R_{\rho', I} \rightarrow R_{\sigma, \sigma, I}$$

Scattering diagram  
deforms

local patches

$\text{Spec } R_{\rho, I}$ ,

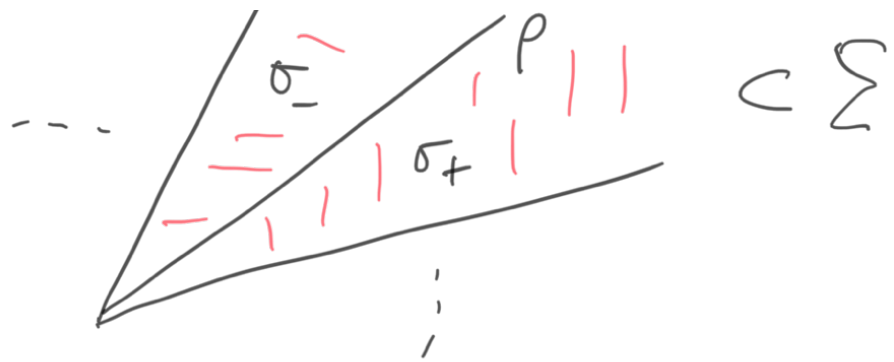
gluing maps

$$\psi_{\rho, \sigma}$$

• Deformation of local pieces  $\text{Spec}(R_{\rho, I})$ .

We need to recall the fiber product description:

$$R_{\rho, I} \cong R_{\rho, \sigma_-, I} \times_{R_{\rho, \rho}} R_{\rho, \sigma_+, I}$$



We deform this to

$$R_{p, I} := R_{p, \sigma_-, I} \times_{(R_{p, p})_{f_p}} R_{p, \sigma_+, I}$$

where  $f_p := \prod_{\substack{(d, f_d) \in \mathcal{D} \\ d=p}} f_d \text{ mod } I_{p, p}$

(well def. by finiteness mod  $I_{p, p}$ );  
maps in the fibre product are

$$R_{p, \sigma_-, I} \longrightarrow (R_{p, p, I})_{f_p}$$

surjection + localization;

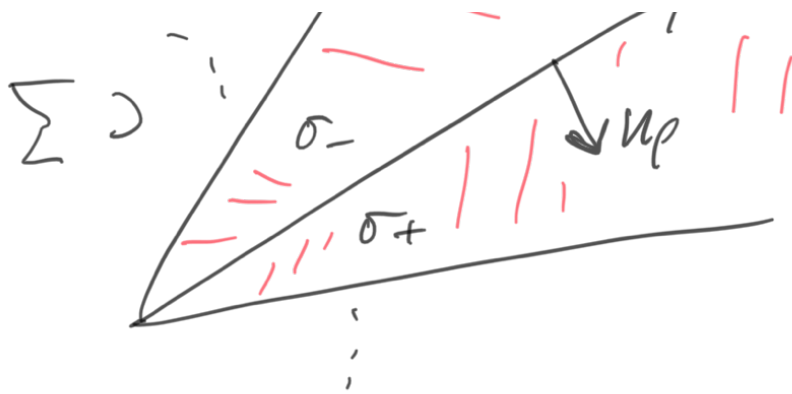
$$R_{p, \sigma_+, I} \longrightarrow (R_{p, p, I})_{f_p}$$

surjection + localization +

$$z \mapsto z' \text{ } f_p \langle u_p, v(p) \rangle$$

with  $u_p \perp p$  and  $> 0$  on  $\sigma_+$

/ — — — p

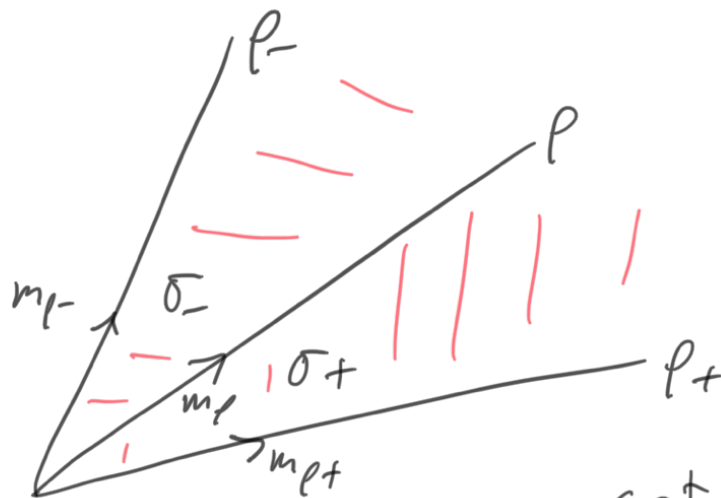


This describes the deformed affine pieces of  $\underline{X^0_{I, \mathbb{D}}}$  the  $\mathbb{D}$ -def of  $\underline{X^0_I}$ .

More explicitly:

Lemma (Coordinate description of  $R_{p, I}$ ).

Consider usual setup for Mod. Mumford Begeer:



Regard  $f_p$  as an element of  $(\mathbb{C}[P]/I)[X^\pm]$

by calling  $X$  the element  $z^{\phi(m_p)} \in \mathbb{C}[P_{\text{def}}]$ .  
(Recall the condition  $r(p) \parallel p$ ).

Define

$$\mathfrak{m}_I := (\mathbb{C}[P]/I)[X_+, X_-, X^\pm]$$

$$| \mathcal{L}_p, I \cdot \overline{(X_+ X_- - Z^{p_{p,c}} X^{-D_p^2} f_p)}$$

then the map

$$\begin{aligned} X &\mapsto (Z^{d_p(m_p)}, Z^{d_p(m_p)}) \\ X_- &\mapsto (Z^{d_p(m_{p-})}, f_p Z^{d_p(m_{p-})}) \\ X_+ &\mapsto (f_p Z^{d_p(m_{p+})}, Z^{d_p(m_{p+})}) \end{aligned}$$

induces also  $h: \mathcal{R}'_{p,I} \xrightarrow{\cong} \mathcal{R}_{p,I}$ .

Pfo First claim  $h$  maps to  $\mathcal{R}_{p,I}$ , the fibre product.

Main point is checking  $X_+ X_- - Z^{p_{p,c}} X^{-D_p^2} f_p \mapsto 0$ .

By definition, it maps to pair

$$\begin{pmatrix} f_p Z^{d_p(m_{p-}) + d_p(m_{p+})} - f_p Z^{p_{p,c}} Z^{-D_p^2 d_p(m_p)} \\ f_p Z^{d_p(m_{p-}) + d_p(m_{p+})} - f_p Z^{p_{p,c}} Z^{-D_p^2 d_p(m_p)} \end{pmatrix} (*)$$

By def. of affine str. on  $B^0$ , we have:

$$(**) m_{p-} + D_p^2 m_p + m_{p+} = 0 \in \Lambda_p.$$

We know  $d_p$  is  $\sum -\phi_L$ , with bending parameter  $p_{p,c}$ , so  $(**)$  gives

$$(\square) \varphi_p(m_{p-}) + \varphi_p(m_{p+}) = p_{p,t} - D_p^2 \varphi_p(m_p).$$

So the image (\*) vanishes as required.

Now claim  $h$  is onto.

Set  $R_{\pm} := R_{p, \sigma_{\pm}, I}$  for convenience.

Recall, by definition,

$$R_{\pm} = \mathbb{C}[P_{\varphi_p}] / I_{p, \sigma_{\pm}}$$

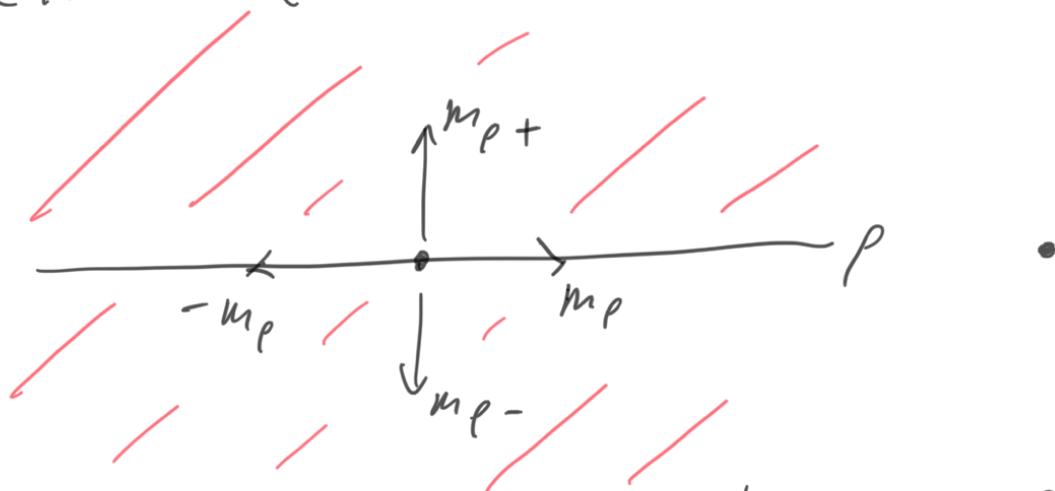
where

$$I_{p, \sigma_{\pm}} = \{ q \in P_{\varphi_p} : q - \varphi_{\sigma_{\pm}}(r(q)) \in I \},$$

↑ monomial ideal

(monoid ideal)

and  $P_{\varphi_p}$  is the monoid corresponding to the localized fan  $\tau^{-1}\Sigma$ ,



So,  $R_{\pm}$  are  $(\mathbb{C}[P]/I)[X^{\pm}]$ -modules,



generated by  $\overline{1}, \overline{x^j}, \overline{y^k}; j, k \geq 0$ ,  
 for  $x := \mathbb{Z}^{cl_p(m_p-)}$   
 $y := \mathbb{Z}^{cl_p(m_p+)}.$

Of course, there are relations, eg  $(\square) \bmod I$ .  
 But, the  $\mathcal{S}$ -submodules

$$\langle 1, x^j, j \geq 0 \rangle$$

$$\langle 1, y^k, k \geq 0 \rangle$$

are free. So  $\forall g_{\pm} \in R_{\pm}$  write uniquely

$$g_- = \sum_{j \geq 0} a_j x^j + h_-(y)$$

$$g_+ = \sum_{k \geq 0} b_k y^k + h_+(x)$$

for some  $a_j, b_k \in \mathcal{S}$ ,  $h_- \in \mathcal{S}[y]$ ,  $h_+ \in \mathcal{S}[x]$ ,  
 with  $h_{\pm}(0) = 0$ .

$\Rightarrow (g_-, g_+)$  lies in fibre product

$$R_p = R_+ \times_{(R_{cl_p}, I)_{\mathbb{F}_p}} R_-$$

iff

$$a_0 = b_0;$$

$$h_-(y) = \sum_{k \geq 0} b_k f_p^k y^k;$$

$$h_+(x) = \sum_{j \geq 0} a_j f_p^j x^j$$

(by def of maps to  $(R_{e,e}, I)_{fp}$ ).

But then we have

$$(g_-, g_+) = h \left( \sum_{j \geq 0} a_j X_-^j + \sum_{k \geq 0} b_k X_+^k \right).$$

$\Rightarrow h$  surjective.

Injectivity is similar (exercise!)  $\square$

Deformation of gluing maps  $\psi_{p,\sigma}$

We have natural maps

$$\psi_{p,\pm} : R_{p,I} \longrightarrow R_{\sigma_{\pm}, \sigma_{\pm}, I}$$

$$\cong \mathbb{C}[R_{\sigma_{\pm}}] / I(\mathbb{C}[R_{\sigma_{\pm}}])$$

$$\sigma_{\pm}^{-1} \Sigma$$

generated by  
 $\sum \pm c_p(m_{p,\pm})$

IN COORDINATES:

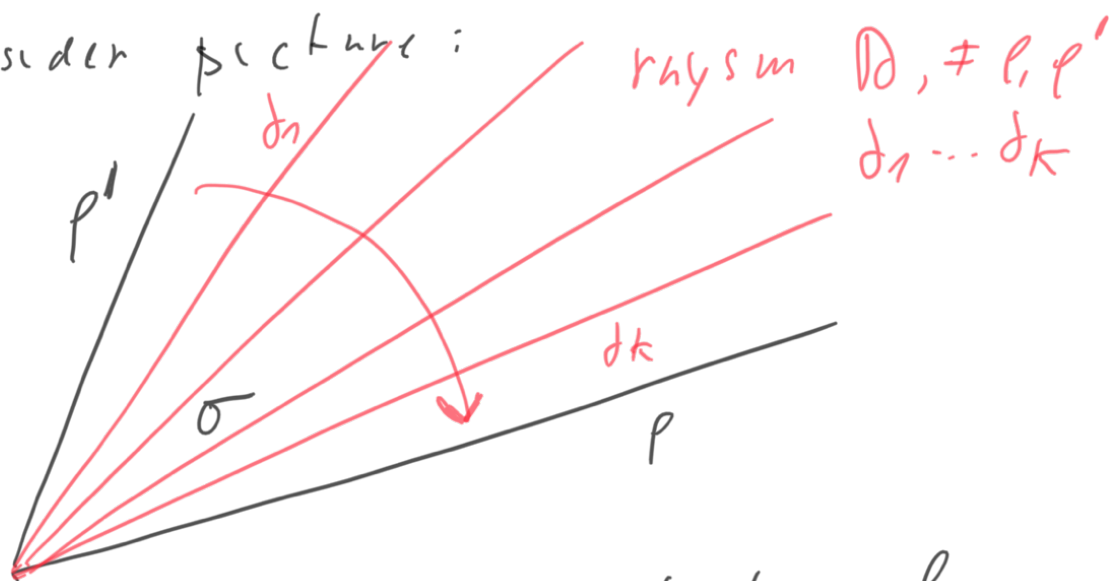
$$\psi_{p,\pm} : R_{p,I} \longrightarrow (R'_{p,I})_{X_{\pm}}$$

are the localization maps at  $X_{\pm}$ .

$\Rightarrow$  get open subsets

$$\text{Spec } R_{\sigma_{\pm}, \sigma_{\pm}, I} \subseteq \bigcup_{\rho, \sigma_{\pm}, I} \hookrightarrow \bigcup_{\rho, I} \text{Spec } R_{\rho, I}$$

Now consider picture:



We have isomorphic open subsets of  
 $\text{Spec } R_{\rho, I}$  ;  $\text{Spec } R_{\rho', I}$   
 given by

$$\text{Spec } R_{\sigma, \sigma, I}$$

We glue them with nontrivial iso:

$$\Theta_{\gamma, \mathcal{D}} : R_{\sigma, \sigma, I} \xrightarrow{\cong} R_{\sigma, \sigma, I}$$

with

$$\Theta_{\gamma, \mathcal{D}} := \Theta_{\gamma, \delta_k} \circ \dots \circ \Theta_{\gamma, \delta_1},$$

$$\Theta_{\gamma, \delta_i}(z^P) := z^P \cdot f_{\delta_i}^{<u_{\delta_i}, r(\mathcal{D})>},$$

$$h_{\gamma_i} \in \Lambda_{\sigma}^*, \text{ p.k.m.},$$

$$\langle n_{\partial i}, \partial_i \rangle = 0$$

$$\langle h_{ji}, \gamma'(t_*) \rangle < 0.$$

↑  
IMPACT TIME  
ALONG  $\gamma(t)$ .

Remark:  $\langle n_{ji}, r(p) \rangle$  can have any sign,  
so need to check  $f_{ji} \in \mathbb{R}_{\sigma, \sigma}, I$  is  
invertible. (3)

By def of scattering diagram (3),

$$f_{\partial i} \equiv 1 \pmod{d\sigma, \sigma}$$

$$\Rightarrow (t_{\sigma_i} - 1) \in I_{\sigma, \sigma} \text{ for some } \sigma$$

source  $\sqrt{I} = J$

$$\Rightarrow f_{\sigma i} - 1 \text{ nilpotent in } R_{\sigma, \sigma, I}$$

$\Rightarrow$   $f_{\alpha_i}$  invertible " " "

Upshot: fixing a scattering diagram  $\mathcal{A}$ ,  
 $\forall I$  get a family of schemes

$$X_{I, \mathcal{O}}^0 \rightarrow \operatorname{Spec} \mathbb{C}[P]/I$$

which is a deformation of the modified Mumford family

$$X_I^0 \rightarrow \text{Spec } \mathbb{C}[P]/I.$$

Main problem: for which  $D$  is  $X_{I, D}^0$  well-  
behaved? E.g.

1) Admits relative flat partial compactif.?

2) Relatively log Calabi-Yau?

At least,  $X^0_{I, D} \rightarrow \text{Spec } \mathbb{C}[P]/I$  is always "relatively CY", i.e. the relative dualizing sheaf is trivial.

Lemma: The sheaf  $\omega_{X/I, \partial} / (S_{\text{loc}} \mathbb{C}[\partial] / I)$  is generated by the global section given on local patches  $U_p = \text{Spec } R_{p, I}$  by

$$\Omega|_{U_\rho} := d\log X_+ \wedge d\log X \\ = d\log X \wedge d\log X_- .$$

Pf. First consider local situation. We can work with "local coordinates", i.e. with ring

$$R'_{p,I} = \frac{(\mathbb{C}[t]/I)[x_{\pm}, x_{\pm}^{-1}]}{(x_+x_- - z^{p/q}x_-^{p^2}f_p)} \cong R_{p,I}.$$

allura hyp

This is the coord ring of the union

$$\bigcup_p \hookrightarrow \mathbb{A}_{X_+ X_-}^2 \times \mathbb{C}_X^* \times \text{Spec } \mathbb{C}[P]/I$$

cut out by the eqn  
 $(*) \quad \{ X_+ X_- = \sum p_p X^{-d_p} f_p \}.$

It follows from  $(*)$  that the 1-forms

$$d \log X_{\pm}, \quad d \log X$$

are well def and satisfy

$$d \log X_+ \wedge d \log X = d \log X \wedge d \log X_-.$$

One checks that this 2-form generates

$\omega_{U_p / \text{Spec } \mathbb{C}[P]/I}$  eg by the adjunction  
 formula over  $\text{Spec } \mathbb{C}[P]/I$ .

The main point is showing that these local  
 2-form patch to a global form on  $X_{I, \mathcal{O}}$ .

For this, recall that in the is

$$R'_{p, I} \xrightarrow{\cong} R_{p, I}$$

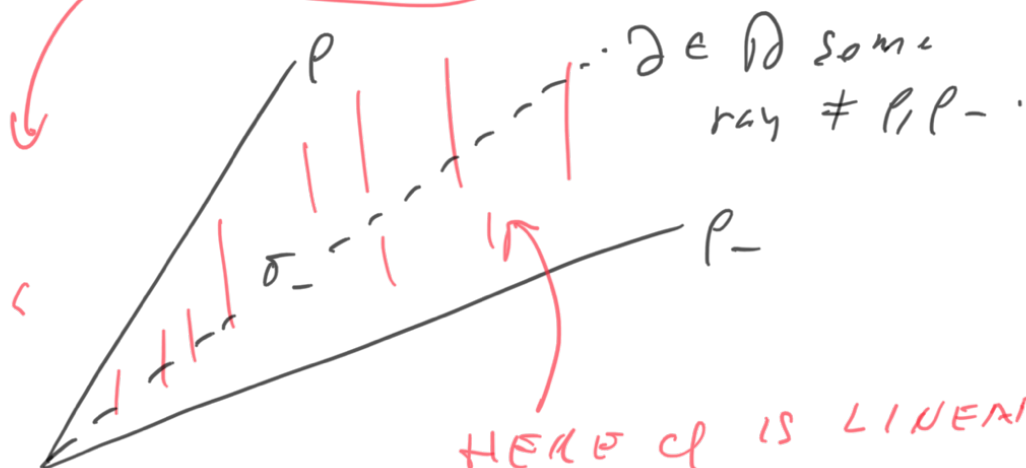
we have in particular

$$X \mapsto (\mathbb{Z}^{d_p(m_p)}, \mathbb{Z}^{d_p(m_p)})$$

$$(**) \quad X_- \mapsto (\mathbb{Z}^{d_p(m_p-)}, f_p \mathbb{Z}^{d_p(m_p-)})$$

$$\in R_{p, \sigma_-, I} \times R_{p, \sigma_+, I}.$$

CONSIDER  
FIRST PROS.  
FOR GLUING  
 $V_p, V_{p_-}$



Now,

$$f_\partial = 1 + \sum_p c_p z^p \in \mathbb{C}[P_{\partial\sigma_-}],$$

$$r(p) \neq 0, \quad r(p) \parallel \partial$$

so,  $p = \kappa \phi_{\sigma_-}(m)$  for some prim.  $m$ ,

$$\Rightarrow p = \kappa (\phi_{\sigma_-}(a m_p + b m_{p_-}))$$

$$= \kappa a \phi_{\sigma_-}(m_p) + \kappa b \phi_{\sigma_-}(m_{p_-}).$$

Remark. Here we are ignoring factors  
in  $\mathbb{C}[P]/I$ , which are treated  
as constants and have trivial  
differential.

Then by  $(*)$

$$\sim \dots \sim \kappa a, \kappa b$$

$$f_2 = 1 + \sum_{k \neq 0} \tilde{c}_k X^k X_-^k,$$

so

$$\log f_2 = \sum_{k \neq 0} \hat{c}_k X^{ka} X_-^{kb},$$

$$d \log f_2 = \frac{\partial}{\partial X} \log f_2 dX +$$

$$\frac{\partial}{\partial X_-} \log f_2 dX_-$$

$$= \sum_k \hat{c}_k k a X^{k a - 1} X_-^{k b} dX + \sum_k \hat{c}_k k b X^{k a} X_-^{k b - 1} dX_-.$$

By (\*\*) we also have

$$\Theta_2(X) = X f_2^{<n, m_p>},$$

$$\Theta_2(X_-) = X_- f_2^{<n, m_{p-}>}$$

$$\Rightarrow d \log \Theta_2(X) = d \log X + \underbrace{<n, m_p>}_{=b} d \log f_2,$$

$$d \log \Theta_2(X_-) = d \log X_- + \underbrace{<n, m_{p-}>}_{=a} d \log f_2$$



$$\Rightarrow d\log \Theta_0(X) \wedge d\log \Theta_0(X-1) =$$

$$= d\log X \wedge d\log X -$$

$$- \hookrightarrow d\log t_0 \wedge d\log X - + a d\log X \wedge d\log t_0$$

||  
 0 by our computation  
 of  $d\log t_0$   $\square$