

A degenerating PDE system for phase transitions and damage: global existence of weak solutions

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Variational Models and Methods for Evolution

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joint work with Riccarda Rossi (University of Brescia)



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- The application of the *generalization of the principle of virtual powers* to the damage phenomena:
 - ◊ the non degenerating case [joint works with R. Rossi, J. Differential Equations and Appl. Math (2008)]
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- The potential future perspectives: to apply the *entropic formulation* to damage phenomena

Mathematical problem arising from Thermomechanics

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Damage phenomena:

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Damage phenomena:

- **aim:** deal with diffuse interface models in thermoviscoelasticity accounting for
 - the absolute temperature θ
 - the evolution of the displacement variables \mathbf{u}
 - the damage parameter χ

where the internal energy balance display **nonlinear dissipation** and the momentum equation contains χ -dependent elliptic operators, which may **degenerate** at the *pure phases*

$$c(\theta)\theta_t + \chi_t\theta - \rho\theta \operatorname{div} \mathbf{u}_t - \operatorname{div}(k(\theta)\nabla\theta) = g + \chi|\varepsilon(\mathbf{u}_t)|^2 + |\chi_t|^2$$

$$\mathbf{u}_{tt} - \operatorname{div}(\chi\varepsilon(\mathbf{u}_t) + \chi\varepsilon(\mathbf{u}) - \rho\theta\mathbf{1}) = \mathbf{f}$$

$$\chi_t + \partial I_{(-\infty, 0]}(\chi_t) + A_s\chi + W'(\chi) \ni -\frac{|\varepsilon(\mathbf{u})|^2}{2} + \theta$$

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2. a *generalization of the principle of virtual powers* inspired by:
 - 2.1. the notion of *energetic solution* - A. Mielke and co-authors ([Bouchitté, Mielke, Roubíček, ZAMP. Angew. Math. Phys. (2009)] and [Mielke, Roubíček, Zeman, Comput. Methods Appl. Mech. Engrg. (2010)]) for rate-independent processes for damage phenomena and
 - 2.2. a notion of *weak solution* introduced by [Heinemann, Kraus, WIAS preprint 1569 and WIAS preprint 1520, to appear on Adv. Math. Sci. Appl. (2010)] for non-degenerating isothermal diffuse interface models for phase separation and damage

Entropic formulation: a phase transitions model

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We consider there a model for solid-liquid phase transitions associated to a **nonlinear** PDE system

$$\begin{aligned}\theta_t + \chi_t \theta - \Delta \theta &= |\chi_t|^2 \\ \chi_t - \Delta \chi + W'(\chi) &= \theta - \theta_c\end{aligned}$$

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⇒ a new notion of solution is needed

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Finally, couple these relations to a suitable phase dynamics.

The entropy production

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- (i) r is a nonnegative measure on $[0, T] \times \overline{\Omega} =: \overline{Q}_T$;
- (ii) $r \geq \frac{1}{\theta} \left(|\chi_t|^2 - \frac{\mathbf{q} \cdot \nabla \theta}{\theta} \right) \geq 0$.

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Taking $\mathbf{q} = -\nabla \theta$, $s = \log \theta + \chi$, we get

$$\begin{aligned} \int_0^T \int_{\Omega} \left((\log \theta + \chi) \partial_t \varphi - \nabla \log \theta \cdot \nabla \varphi \right) dx dt \\ \leq \int_0^T \int_{\Omega} \frac{1}{\theta} \left(-|\chi_t|^2 - \nabla \log \theta \cdot \nabla \theta \right) \varphi dx dt \end{aligned}$$

for every test function $\varphi \in \mathcal{D}(\overline{Q}_T)$, $\varphi \geq 0$

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for every test function $\varphi \in \mathcal{D}(\overline{Q}_T)$, $\varphi \geq 0$

\Rightarrow the total entropy is controlled by dissipation.

The energy conservation and phase relation

The total energy has to be preserved. Hence

$$E(t) = E(0) \text{ for a.e. } t \in [0, T],$$

where

$$E \equiv \int_{\Omega} \left(\theta + W(\chi) + \frac{|\nabla \chi|^2}{2} \right) dx.$$

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Finally, the phase dynamics results as

$$\chi_t - \Delta \chi + W'(\chi) = \theta - \theta_c \quad \text{a.e. in } \Omega \times (0, T),$$

where W is a double well or double obstacle potential: $W = \widehat{\beta} + \widehat{\gamma}$ where

$\widehat{\beta} : \mathbb{R} \rightarrow [0, +\infty]$ is proper, lower semi-continuous, convex function

$\widehat{\gamma} \in C^2(\mathbb{R})$, $\widehat{\gamma}' \in C^{0,1}(\mathbb{R}) : \widehat{\gamma}''(r) \geq -K$ for all $r \in \mathbb{R}$, $W(r) \geq c_w r^2$ for all $r \in \text{dom}(\widehat{\beta})$

Examples: $\widehat{\beta}(r) = r \ln(r) + (1-r) \ln(1-r)$ or $\widehat{\beta}(r) = I_{[0,1]}(r)$.

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$$\theta \in L^\infty(0, T; L^1(\Omega)) \cap L^s(Q_T), \quad \theta(x, t) > 0 \quad \text{a. e. in } Q_T$$

$$\log(\theta) \in L^\infty(0, T; L^1(\Omega)) \cap L^2(0, T; H^1(\Omega)) \cap W^{1,1}(0, T; W^{-2,3/2}(\Omega))$$

$$\chi \in C^0([0, T]; H^1(\Omega)) \cap L^s(0, T; W_N^{2,s}(\Omega)), \quad \chi_t \in L^s(Q_T),$$

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the phase equation

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- However, in this case and similarly in many other situations, to prove that the solution has this extra regularity is **out of reach**
- **It can be suitable also in different applications** such as the ones related to phase transitions in viscoelastic materials, SMA, liquid crystal flows, etc.

The generalized principle of virtual powers: damage phenomena

The generalized principle of virtual powers in damage phenomena

The scope: The analysis of the initial boundary-value problem for the following PDE system:

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- θ is the absolute temperature of the system
- \mathbf{u} the vector of *small displacements*
- χ is the **damage parameter**, assessing the soundness of the material in *damage* (for the **completely damaged** $\chi = 0$ and the *undamaged* state $\chi = 1$, respectively, while $0 < \chi < 1$: *partial damage*)

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⇒ concentrate first on **degeneracy**

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⇒ We shall approximate the system with a non-degenerating one, where we replace the momentum equation with

$$\mathbf{u}_{tt} - \operatorname{div}((\chi + \delta)\varepsilon(\mathbf{u}_t) + (\chi + \delta)\varepsilon(\mathbf{u})) = \mathbf{f} \quad \text{for } \delta > 0$$

It seems to us that *both* the coefficients need to be truncated when taking the degenerate limit in the momentum equation. Indeed, on the one hand the truncation in front of $\varepsilon(\mathbf{u}_t)$ allows us to deal with the *main part* of the elliptic operator. On the other hand, in order to pass to the limit in the quadratic term on the right-hand side of χ -eq., we will also need to truncate the coefficient of $\varepsilon(\mathbf{u})$.

Cf. [M. Frémond, Phase Change in Mechanics, Lecture Notes of UMI, Springer-Verlag, 2012]

The free-energy \mathcal{F} :

$$\mathcal{F} = \int_{\Omega} \left(f(\theta) + \chi \frac{|\varepsilon(\mathbf{u})|^2}{2} + \frac{a_s(\chi, \chi)}{2} + W(\chi) - \theta\chi \right) dx$$

- f is a concave function
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- $s > d/2$: we need the embedding of $H^s(\Omega)$ into $C^0(\overline{\Omega})$
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$$\mathcal{P} = \frac{k(\theta)}{2} |\nabla\theta|^2 + \frac{1}{2} |\chi_t|^2 + \chi \frac{|\varepsilon(\mathbf{u}_t)|^2}{2} + I_{(-\infty, 0]}(\chi_t)$$

- k the **heat conductivity**: coupled conditions with the specific heat $c(\theta) = f(\theta) - \theta f'(\theta)$
- $I_{(-\infty, 0]}(\chi_t) = 0$ if $\chi_t \in (-\infty, 0]$, $I_{(-\infty, 0]}(\chi_t) = +\infty$ otherwise

The modelling

The momentum equation

$$\mathbf{u}_{tt} - \operatorname{div} \sigma = \mathbf{f} \quad \left(\sigma = \sigma^{nd} + \sigma^d = \frac{\partial \mathcal{F}}{\partial \varepsilon(\mathbf{u})} + \frac{\partial \mathcal{P}}{\partial \varepsilon(\mathbf{u}_t)} \right) \quad \text{becomes}$$

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$$B - \operatorname{div} \mathbf{H} = 0 \quad \left(B = \frac{\partial \mathcal{F}}{\partial \chi} + \frac{\partial \mathcal{P}}{\partial \chi_t}, \mathbf{H} = \frac{\partial \mathcal{F}}{\partial \nabla \chi} \right) \quad \text{becomes}$$

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The internal energy balance

$$e_t + \operatorname{div} \mathbf{q} = g + \sigma : \varepsilon(\mathbf{u}_t) + B \chi_t + \mathbf{H} \cdot \nabla \chi_t \quad \left(e = \mathcal{F} - \theta \frac{\partial \mathcal{F}}{\partial \theta}, \quad \mathbf{q} = \frac{\partial \mathcal{P}}{\partial \nabla \theta} \right)$$

becomes

$$c(\theta) \theta_t + \chi_t \theta - \operatorname{div}(k(\theta) \nabla \theta) = g + |\chi_t|^2 + \chi |\varepsilon(\mathbf{u}_t)|^2$$

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- For the analysis of the degenerate limit $\delta \searrow 0$ we have carefully adapted techniques from [Bouchitté, Mielke, Roubíček, ZAMP (2009)] and [Mielke, Roubíček, Zeman, Comput. Methods Appl. Mech. Engrg. (2011)] to the case of a *rate-dependent* equation for χ , also coupled with the temperature equation.

Energy vs Enthalpy

In order to deal with the low regularity of θ , rewrite the internal energy equation

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as the **enthalpy** equation

$$w_t + \chi_t\Theta(w) - \operatorname{div}(K(w)\nabla w) = g \quad \text{where}$$

$$w = h(\theta) := \int_0^\theta c(s) ds, \quad \Theta(w) := \begin{cases} h^{-1}(w) & \text{if } w \geq 0, \\ 0 & \text{if } w < 0, \end{cases} \quad K(w) := \frac{k(\Theta(w))}{c(\Theta(w))}$$

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We assume that

- $c \in C^0([0, +\infty); [0, +\infty))$
- $\exists \sigma_1 \geq \sigma > \frac{2d}{d+2} : c_0(1+\theta)^{\sigma-1} \leq c(\theta) \leq c_1(1+\theta)^{\sigma_1-1} \implies h$ is strictly increasing
- the function $k : [0, +\infty) \rightarrow [0, +\infty)$ is continuous, and

$$\exists c_2, c_3 > 0 \quad \forall \theta \in [0, +\infty) : c_2c(\theta) \leq k(\theta) \leq c_3(c(\theta) + 1)$$

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$$\implies \exists \bar{c} > 0 \quad \forall w \in \mathbb{R} : c_2 \leq K(w) \leq \bar{c}$$

$$\implies \text{for every } s \in (1, \infty) \exists C_s > 0 \quad \forall w \in L^1(\Omega) : \|\Theta(w)\|_{L^s(\Omega)} \leq C_s(\|w\|_{L^{s/\sigma}(\Omega)}^{1/\sigma} + 1)$$

The approximating non-degenerate Problem $[P_\delta]$

Given $\delta > 0$, take $W' = \partial I_{[0,+\infty)} + \gamma$, $\gamma \in C^1(\mathbb{R})$, find (measurable) functions

$$\begin{aligned}w &\in L^r(0, T; W^{1,r}(\Omega)) \cap L^\infty(0, T; L^1(\Omega)) \cap \text{BV}([0, T]; W^{1,r'}(\Omega)^*) \\ \mathbf{u} &\in H^1(0, T; H^2(\Omega; \mathbb{R}^d)) \cap W^{1,\infty}(0, T; H_0^1(\Omega)) \cap H^2(0, T; L^2(\Omega; \mathbb{R}^d)) \\ \chi &\in L^\infty(0, T; H^s(\Omega)) \cap H^1(0, T; L^2(\Omega))\end{aligned}$$

for every $1 \leq r < \frac{d+2}{d+1}$, fulfilling the initial conditions

$$\begin{aligned}\mathbf{u}(0, x) &= \mathbf{u}_0(x), & \mathbf{u}_t(0, x) &= \mathbf{v}_0(x) & \text{for a.e. } x \in \Omega \\ \chi(0, x) &= \chi_0(x) & & & \text{for a.e. } x \in \Omega\end{aligned}$$

the equations (for every $\varphi \in C^0([0, T]; W^{1,r'}(\Omega)) \cap W^{1,r'}(0, T; L^{r'}(\Omega))$ and $t \in (0, T]$)

$$\begin{aligned}&\int_{\Omega} \varphi(t) w(t) dx - \int_0^t \int_{\Omega} w \varphi_t dx + \int_0^t \int_{\Omega} \chi_t \Theta(w) \varphi dx + \int_0^t \int_{\Omega} K(w) \nabla w \nabla \varphi dx \\ &= \int_0^t \int_{\Omega} g \varphi + \int_{\Omega} w_0 \varphi(0) dx\end{aligned}$$

$$\mathbf{u}_{tt} - \text{div}((\chi + \delta)\varepsilon(\mathbf{u}_t) + (\chi + \delta)\varepsilon(\mathbf{u})) = \mathbf{f} \text{ in } H^{-1}(\Omega; \mathbb{R}^d) \text{ a.e. in } (0, T)$$

and the subdifferential inclusion “in a suitable sense”

$$\chi_t + \partial I_{(-\infty, 0]}(\chi_t) + A_s \chi + \partial I_{[0, +\infty)}(\chi) + \gamma(\chi) \ni -\frac{|\varepsilon(\mathbf{u})|^2}{2} + \Theta(w) \text{ in } H^{-s}(\Omega) \text{ and a.e. in } (0, T)$$

Generalized principle of virtual powers for $\delta > 0$ [E.R., R. Rossi, preprint arXiv:1205.3578v1 (2012)]

[Theorem 1] ($\delta > 0$) Under the previous assumptions on the data, then,

[1.] Problem $[P_\delta]$ admits a *weak solution* (w, \mathbf{u}, χ) , which, beside fulfilling the **enthalpy and momentum equations**, satisfies $\chi_t(x, t) \leq 0$ for almost all $t \in (0, T)$, and $(\forall \varphi \in L^2(0, T; H_+^s(\Omega)) \cap L^\infty(Q))$ the *one-sided inequality*

$$\int_0^T \int_\Omega \chi_t \varphi + a_s(\chi, \varphi) + \xi \varphi + \gamma(\chi) \varphi + \frac{|\varepsilon(\mathbf{u})|^2}{2} \varphi - \Theta(w) \varphi \leq 0$$

with $\xi \in \partial I_{[0, +\infty)}(\chi)$ in the following sense:

$$\xi \in L^1(0, T; L^1(\Omega)), \quad \langle \xi(t), \varphi - \chi(t) \rangle_{H^s(\Omega)} \leq 0 \quad \forall \varphi \in H_+^s(\Omega), \text{ a.e. } t \in (0, T)$$

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and the **energy inequality** for all $t \in (0, T]$, for $s = 0$, and for almost all $0 < s \leq t$:

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Uniqueness of solutions for the irreversible system, even in the isothermal case, **is still an open problem**. This is mainly due to the doubly nonlinear character of the χ equation.

Generalized principle of virtual powers vs classical phase inclusion

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- Any *weak solution* (w, \mathbf{u}, χ) fulfills the **total energy inequality** for all $t \in (0, T]$, for $s = 0$, and for almost all $0 < s \leq t$

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- If (w, \mathbf{u}, χ) are “**more regular**” and satisfy the notion of *weak solution*, then, differentiating the **energy inequality** and using the chain rule, we conclude that $(w, \mathbf{u}, \chi, \xi)$ comply with

$$\langle \chi_t(t) + A_s(\chi(t)) + \xi(t) + \gamma(\chi(t)) + \frac{|\varepsilon(\mathbf{u})|^2}{2} - \Theta(w(t)), \chi_t(t) \rangle_{H^s(\Omega)} \leq 0 \text{ for a.e. } t$$

Using the **one-sided inequality** we obtain the **classical phase inclusion**:

$$\exists \zeta \in L^2(0, T; L^2(\Omega)) \text{ with } \zeta(x, t) \in \partial I_{(-\infty, 0]}(\chi_t(x, t)) \text{ a.e. s.t.}$$

$$\chi_t + \zeta + A_s \chi + \xi + \gamma(\chi) = -\frac{|\varepsilon(\mathbf{u})|^2}{2} + \Theta(w) \text{ a.e.}$$

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- A BOCCARDO-GALLOUËT-type estimates combined with the Gagliardo-Nirenberg inequality applied to the enthalpy equation in order to obtain an $L^r(0, T; W^{1,r}(\Omega))$ -estimate on the enthalpy w (and hence on $\Theta(w)$)

$$w_t + \chi_t \Theta(w) - \operatorname{div}(K(w) \nabla w) = g$$

The total energy inequality in the degenerating case $\delta \searrow 0$

Rewrite the momentum equation

$$\partial_t^2 \mathbf{u}_\delta - \operatorname{div}((\chi + \delta)\varepsilon(\partial_t \mathbf{u}_\delta)) - \operatorname{div}((\chi + \delta)\varepsilon(\mathbf{u}_\delta)) = \mathbf{f}$$

using the new variables (*quasi-stresses*) $\boldsymbol{\mu}_\delta := \sqrt{\chi_\delta + \delta} \varepsilon(\partial_t \mathbf{u}_\delta)$, and $\boldsymbol{\eta}_\delta := \sqrt{\chi_\delta + \delta} \varepsilon(\mathbf{u}_\delta)$:

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The **total energy inequality** for $(w_\delta, \mathbf{u}_\delta, \chi_\delta)$ is

$$\begin{aligned} & \int_{\Omega} w_\delta(t) \, dx + \frac{1}{2} \int_{\Omega} |\partial_t \mathbf{u}_\delta(t)|^2 \, dx + \int_s^t \int_{\Omega} |\partial_t \chi_\delta|^2 \, dx + \frac{1}{2} \int_s^t |\boldsymbol{\mu}_\delta(r)|^2 \\ & \quad + \frac{|\boldsymbol{\eta}_\delta(t)|^2}{2} + \frac{1}{2} a_s(\chi_\delta(t), \chi_\delta(t)) + \int_{\Omega} W(\chi_\delta(t)) \, dx \\ & \leq \int_{\Omega} w_\delta(s) \, dx + \frac{1}{2} \int_{\Omega} |\partial_t \mathbf{u}_\delta(s)|^2 \, dx + \frac{|\boldsymbol{\eta}_\delta(s)|^2}{2} + \frac{1}{2} a_s(\chi_\delta(s), \chi_\delta(s)) \\ & \quad + \int_{\Omega} W(\chi_\delta(s)) \, dx + \int_s^t \int_{\Omega} \mathbf{f} \cdot \partial_t \mathbf{u}_\delta \, dx + \int_s^t \int_{\Omega} g \, dx \end{aligned}$$

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together with the **total energy inequality** (for almost all $t \in (0, T]$)

$$\begin{aligned} & \int_\Omega w(t) \, dx + \int_0^t \int_\Omega |\chi_\tau|^2 \, dx + \frac{1}{2} \int_0^t |\boldsymbol{\mu}(r)|^2 + \int_\Omega W(\chi(t)) \, dx + \mathcal{J}(t) = \int_\Omega w_0 \, dx \\ & + \frac{1}{2} \int_\Omega |\mathbf{v}_0|^2 \, dx + \frac{1}{2} \chi_0 |\boldsymbol{\varepsilon}(\mathbf{u}_0)|^2 + \frac{1}{2} a_s(\chi_0, \chi_0) + \int_\Omega W(\chi_0) \, dx + \int_0^t \int_\Omega \mathbf{f} \cdot \mathbf{u}_\tau \, dx \, dr + \int_0^t \int_\Omega g \, dx \end{aligned}$$

$$\text{with } \int_0^t \mathcal{J}(r) \, dr \geq \frac{1}{2} \int_0^t \left(\int_\Omega |\mathbf{u}_\tau(r)|^2 \, dx + |\boldsymbol{\eta}(r)|^2 + a_s(\chi(r), \chi(r)) \right)$$

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$$\int_0^T \int_{\Omega} \chi_t \varphi + a_s(\chi, \varphi) + \xi \varphi + \gamma(\chi) \varphi + \frac{|\varepsilon(\mathbf{u})|^2}{2} \varphi - \Theta(w) \varphi \leq 0$$

$\forall \varphi \in L^2(0, T; H_+^s(\Omega)) \cap L^\infty(Q)$ and with $\xi \in \partial I_{[0, +\infty)}(\chi)$.

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$\forall \varphi \in L^2(0, T; H_+^s(\Omega)) \cap L^\infty(Q)$ and with $\xi \in \partial I_{[0, +\infty)}(\chi)$. Subtracting from the **degenerate total energy inequality** the weak enthalpy equation tested by 1, we recover (a.e. in $(0, T]$) **the energy inequality**:

$$\begin{aligned} & \int_0^t \int_{\Omega} |\chi_t|^2 \, dx \, dr + \frac{1}{2} a_s(\chi(t), \chi(t)) + \int_{\Omega} W(\chi(t)) \, dx \\ & \leq \frac{1}{2} a_s(\chi_0, \chi_0) + \int_{\Omega} W(\chi_0) \, dx + \int_0^t \int_{\Omega} \chi_t \left(-\frac{|\varepsilon(\mathbf{u})|^2}{2} + \Theta(w) \right) \, dx \, dr \end{aligned}$$

Work in progress: an entropic formulation for the damage phenomena

We worked here with the **small perturbation assumption**, i.e. neglecting the **quadratic** contribution on the r.h.s in the internal energy balance:

$$\theta_t + \chi_t \theta - \Delta \theta = |\chi_t|^2 + \chi |\varepsilon(\mathbf{u}_t)|^2$$

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Our **next aim**: to couple the weak equations for \mathbf{u} and χ with

✓ the **entropy production**

$$\begin{aligned} & \int_0^T \int_{\Omega} \left((\log \theta + \chi) \partial_t \varphi - \nabla \log \theta \cdot \nabla \varphi \right) dx dt \\ & \leq \int_0^T \int_{\Omega} \frac{1}{\theta} \left(-|\chi_t|^2 - \chi |\varepsilon(\mathbf{u}_t)|^2 - \nabla \log \theta \cdot \nabla \theta \right) \varphi dx dt \end{aligned}$$

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- ✓ the **energy conservation**

$$E(t) = E(0) \text{ for a.e. } t \in [0, T],$$

where

$$E \equiv \int_{\Omega} \left(\theta + W(\chi) + \frac{1}{2} a_s(\chi, \chi) + \frac{|\mathbf{u}_t|^2}{2} + \chi \frac{|\varepsilon(\mathbf{u})|^2}{2} \right) dx.$$

This is still a **work in progress (with R. Rossi)**...

Possible further application

- A fluid-mechanical theory for **two-phase mixtures of fluids** faces a well known mathematical difficulty:
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$$\partial_t \theta + \operatorname{div}(\theta \mathbf{v}) + \operatorname{div} \mathbf{q} = \mathbb{S} : \nabla_x \mathbf{v} + |\nabla_x \mu|^2 \quad (2)$$

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Entropic notion of solution is needed in order to interpret the internal energy balance (2) ...

Thanks for your attention!

cf. <http://www.mat.unimi.it/users/rocca/>