



Weierstrass Institute for  
Applied Analysis and Stochastics



# “Entropic” solutions to a thermodynamically consistent PDE system for phase transitions and damage

Elisabetta Rocca – in collaboration with Riccarda Rossi (University of Brescia)

Supported by the FP7-IDEAS-ERC-StG Grant “EntroPhase”

State variables:

- the absolute temperature  $\theta$
- the (small) displacement variables  $\mathbf{u}$  ( $\varepsilon_{ij}(\mathbf{u}) := (u_{i,j} + u_{j,i})/2, i, j = 1, 2, 3$ )
- the **damage/phase** parameter  $\chi \in [0, 1]$ :  $\chi = 0$  (completely damaged/non-viscous phase),  $\chi = 1$  (completely undamaged/viscous phase)

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$$\theta_t + \chi_t \theta + \rho \theta \operatorname{div} \mathbf{u}_t - \operatorname{div}(\mathbf{K}(\theta) \nabla \theta) = g + a(\chi) \varepsilon(\mathbf{u}_t) \nabla \varepsilon(\mathbf{u}_t) + |\chi_t|^2$$

$$\mathbf{u}_{tt} - \operatorname{div}(a(\chi) \nabla \varepsilon(\mathbf{u}_t) + b(\chi) \mathbb{E} \varepsilon(\mathbf{u}) - \rho \theta \mathbf{1}) = \mathbf{f}$$

$$\chi_t + \mu \partial I_{(-\infty, 0]}(\chi_t) \underbrace{-\operatorname{div}(|\nabla \chi|^{p-2} \nabla \chi)}_{A_p \chi} + W'(\chi) \ni -\frac{1}{2} b'(\chi) \varepsilon(\mathbf{u}) \mathbb{E} \varepsilon(\mathbf{u}) + \theta$$

and the boundary conditions

$$\mathbf{K}(\theta) \nabla \theta \cdot \mathbf{n} = h, \quad \mathbf{u} = 0, \quad \partial_n \chi = 0 \quad \text{on } \partial \Omega \times (0, T).$$

In the PDEs

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we have

- ◇  $\rho \rightsquigarrow$  thermal expansion coefficient;
- ◇  $\mathbf{K} \rightsquigarrow$  heat conductivity;
- ◇  $\mathbb{E} \rightsquigarrow$  elasticity tensor and  $\mathbb{V} \rightsquigarrow$  viscosity tensor;
- ◇  $a, b \in C^1([0, 1]; [0, +\infty))$ ;
- ◇  $W \sim I_{[0, 1]} + \gamma$ , with  $\gamma \in C^1([0, 1])$  and  $I_{[0, 1]}$  indicator of  $[0, 1]$ ;
- ◇  $\mu \in \{0, 1\} \rightsquigarrow \mu = 1$  in **damage** (unidirectional/irreversible) and  $\mu = 0$  in **phase transitions** (reversible);
- ◇  $\mathbf{f}$  volume force and  $g$  heat source.



- **GLOBAL - in time - existence result for the FULL PDE system** displaying the high order dissipative terms on the right hand in side in the temperature equation:

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- ⇒ The **quadratic RHS** challenging: **neglected** or considered only in the **1D case** or for **local - in time - existence** (cf., e.g., [Bonetti-Bonfanti (2003), (2007)], [Krečí-Sprekels-Stefanelli (2003)], [Luterotti-Stefanelli (2002)], [R.-Rossi (2008), (2014)], [R.-Heinemann (2014)])

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- ◇ need enhanced estimates on  $\mathbf{u}$  in

$$\mathbf{u}_{tt} - \operatorname{div}(a(\chi) \mathbb{V} \varepsilon(\mathbf{u}_t) + b(\chi) \mathbb{E} \varepsilon(\mathbf{u}) - \rho \theta \mathbf{1}) = \mathbf{f}$$

based on the higher regularity of  $\chi \implies A_p \chi$  needed with  $p > d$

## The free-energy

$$\mathcal{F} = \int_{\Omega} \left( \theta(1 - \log \theta) + b(\chi) \frac{\varepsilon(\mathbf{u}) \mathbb{E} \varepsilon(\mathbf{u})}{2} + \frac{|\nabla \chi|^p}{p} + W(\chi) - \theta \chi - \rho \theta \operatorname{tr}(\varepsilon(\mathbf{u})) \right) dx$$

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## The pseudo-potential of dissipation

$$\mathcal{P} = \frac{K(\theta)}{2} |\nabla \theta|^2 + \frac{1}{2} |\chi_t|^2 + \frac{1}{2} a(\chi) \varepsilon(\mathbf{u}_t) \mathbb{V} \varepsilon(\mathbf{u}_t) + \mu I_{(-\infty, 0]}(\chi_t)$$

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- **e.g.**  $a(\chi) = \chi$  in damage and phase transitions: no viscosity when the material is completely damaged or when we are in the solid phase i.e. when  $\chi = 0$



The momentum equation

$$\mathbf{u}_{tt} - \operatorname{div} \sigma = \mathbf{f} \quad \left( \sigma = \sigma^d + \sigma^{nd} = \frac{\partial \mathcal{P}}{\partial \varepsilon(\mathbf{u}_t)} + \frac{\partial \mathcal{F}}{\partial \varepsilon(\mathbf{u})} \right) \quad \text{becomes}$$

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The principle of virtual powers

$$B - \operatorname{div} \mathbf{H} = 0 \quad \left( B = \frac{\partial \mathcal{P}}{\partial \chi_t} + \frac{\partial \mathcal{F}}{\partial \chi}, \mathbf{H} = \frac{\partial \mathcal{F}}{\partial \nabla \chi} \right) \quad \text{becomes}$$

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The internal energy balance

$$e_t + \operatorname{div} \mathbf{q} = g + \boldsymbol{\sigma} : \boldsymbol{\varepsilon}(\mathbf{u}_t) + B\chi_t + \mathbf{H} \cdot \nabla \chi_t \quad \left( e = \mathcal{F} - \theta \frac{\partial \mathcal{F}}{\partial \theta}, \quad \mathbf{q} = \frac{\partial \mathcal{P}}{\partial \nabla \theta} \right)$$

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### [A previous result] [E.R., R. Rossi, M3AS (2014)]:

- global existence result in 3D in the degenerate case  $a(\chi) = b(\chi) = \chi$
- within the **small perturbations assumption, i.e. neglecting the quadratic terms**  $a(\chi)\varepsilon(\mathbf{u}_t)\nabla\varepsilon(\mathbf{u}_t) + |\chi_t|^2$  on the r.h.s. in the internal energy balance

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[The most recent result] [E.R., R. Rossi, WIAS preprint no. 1931 (2014)]:

- global existence result in 3D in the non-degenerate case  $\implies$  replace  $a$  and  $b$  by  $a + \delta$ ,  $b + \delta$  in the momentum balance:

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- **without the small perturbations assumption**: we can handle the high order dissipative terms  $a(\chi)\varepsilon(\mathbf{u}_t)\nabla\varepsilon(\mathbf{u}_t) + |\chi_t|^2$  on the r.h.s. in the internal energy balance

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We need here a suitable **weak formulation** of the problem to have **GLOBAL solutions in 3D**

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■ Case  $\mu = 1$

■ **EXISTENCE OF ENTROPIC SOLUTIONS**

■ improved regularity of  $\theta$  under enhanced growth of  $\mathbf{K}$

■ limit as  $p \downarrow 2$  (from the  $p$ -Laplacian to the Laplacian)  $\rightarrow$  a weaker notion of solution

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**Uniqueness:**

Not known even in the isothermal case, due to doubly nonlinear character of  $\chi$ -eq.

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### Definition

We call  $(\theta, \mathbf{u}, \chi)$  an *entropic solution* if it fulfills

- **entropic** formulation of **heat** eqn. = entropy ineq. + total energy ineq.
- momentum equation pointwise
- **weak** formulation of  **$\chi$ -eqn.**: “generalized principle of virtual powers” if  $\mu = 1$

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- **$\chi$ -equation** holds **pointwise** if  $\mu = 0$



Replace  $\theta_t + \chi_t \theta + \rho \theta \operatorname{div}(\mathbf{u}_t) - \operatorname{div}(\mathbf{K}(\theta) \nabla \theta) = g + a(\chi) \varepsilon(\mathbf{u}_t) \nabla \varepsilon(\mathbf{u}_t) + |\chi_t|^2$  by

The **entropy inequality**

$$\begin{aligned} & \iint_{\Omega \times (0, T)} (\log(\theta) + \chi) \varphi_t - \iint_{\Omega \times (0, T)} \rho \operatorname{div}(\mathbf{u}_t) \varphi \\ & + \iint_{\Omega \times (0, T)} (\mathbf{K}(\theta) |\nabla \log(\theta)|^2 \varphi - \mathbf{K}(\theta) \nabla \log(\theta) \nabla \varphi) \\ & + \iint_{\Omega \times (0, T)} (g + a(\chi) \varepsilon(\mathbf{u}_t) \nabla \varepsilon(\mathbf{u}_t) + |\chi_t|^2) \frac{\varphi}{\theta} dx dt + \iint_{\partial \Omega \times (0, T)} h \frac{\varphi}{\theta} dS dt \\ & \leq \left[ \int_{\Omega} \log(\theta) \varphi \right]_0^T \quad \text{for all } \varphi \in C_c^\infty(\Omega \times (0, T)) \text{ \& } \varphi \geq 0; \end{aligned}$$

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The **total energy inequality**

$$\mathcal{E}(\theta, \mathbf{u}, \mathbf{u}_t, \chi)(T) \leq \mathcal{E}(\theta, \mathbf{u}, \mathbf{u}_t, \chi)(0) + \iint_{\Omega \times (0, T)} (\mathbf{f} \cdot \mathbf{u}_t + g) + \iint_{\partial \Omega \times (0, T)} h$$

where

$$\mathcal{E}(\theta, \mathbf{u}, \mathbf{u}_t, \chi) = \int_{\Omega} \left( \theta + \frac{1}{2} b(\chi) \varepsilon(\mathbf{u}) \mathbb{E} \varepsilon(\mathbf{u}) + \frac{1}{2} |\mathbf{u}_t|^2 + \frac{1}{p} |\nabla \chi|^p + W(\chi) \right)$$



- cf. [Feireisl 2007] (heat conduction in fluids)
- cf. [Feireisl-Petzeltová-R. 2009] (full Frémond's model of phase transitions)

$$\left( \theta_t + \chi_t \theta + \rho \theta \operatorname{div}(\mathbf{u}_t) - \operatorname{div}(\mathbf{K}(\theta) \nabla \theta) = g + a(\chi) \varepsilon(\mathbf{u}_t) \nabla \varepsilon(\mathbf{u}_t) + |\chi_t|^2 \right) \times \frac{\varphi}{\theta}$$

with  $\varphi \in C_c^\infty(\Omega \times (0, T))$

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with  $\varphi \in C_c^\infty(\Omega \times (0, T))$

Integrate by parts in **space**

$$\begin{aligned} & \iint_{\Omega \times (0, T)} (\partial_t \log(\theta) + \chi_t + \rho \operatorname{div}(\mathbf{u}_t)) \varphi \\ & + \iint_{\Omega \times (0, T)} \left( \mathbf{K}(\theta) \nabla \log(\theta) \nabla \varphi - \mathbf{K}(\theta) \frac{\varphi}{\theta} \nabla \log(\theta) \nabla \theta \right) \\ & = \iint_{\Omega \times (0, T)} (g + a(\chi) \varepsilon(\mathbf{u}_t) \nabla \varepsilon(\mathbf{u}_t) + |\chi_t|^2) \frac{\varphi}{\theta} dx dt + \iint_{\partial \Omega \times (0, T)} h \frac{\varphi}{\theta} dS dt \end{aligned}$$

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with  $\varphi \in C_c^\infty(\Omega \times (0, T))$

Integrate by parts in **time**

$$\begin{aligned} & \iint_{\Omega \times (0, T)} (\log(\theta) + \chi) \varphi_t - \iint_{\Omega \times (0, T)} \rho \operatorname{div}(\mathbf{u}_t) \varphi \\ & + \iint_{\Omega \times (0, T)} \left( -\mathbf{K}(\theta) \frac{\varphi}{\theta} \nabla \log(\theta) \nabla \theta - \mathbf{K}(\theta) \nabla \log(\theta) \nabla \varphi \right) \\ & + \iint_{\Omega \times (0, T)} (g + a(\chi) \varepsilon(\mathbf{u}_t) \nabla \varepsilon(\mathbf{u}_t) + |\chi_t|^2) \frac{\varphi}{\theta} dx dt + \iint_{\partial \Omega \times (0, T)} h \frac{\varphi}{\theta} dS dt \\ & = \left[ \int_{\Omega} \log(\theta) \varphi \right]_0^T \end{aligned}$$

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with  $\varphi \in C_c^\infty(\Omega \times (0, T))$ ,  $\varphi \geq 0$

.. just as an **IN**equality

$$\begin{aligned} & \iint_{\Omega \times (0, T)} (\log(\theta) + \chi) \varphi_t - \iint_{\Omega \times (0, T)} \rho \operatorname{div}(\mathbf{u}_t) \varphi \\ & + \iint_{\Omega \times (0, T)} (\mathbf{K}(\theta) |\nabla \log(\theta)|^2 \varphi - \mathbf{K}(\theta) \nabla \log(\theta) \nabla \varphi) \\ & + \iint_{\Omega \times (0, T)} (g + a(\chi) \varepsilon(\mathbf{u}_t) \nabla \varepsilon(\mathbf{u}_t) + |\chi_t|^2) \frac{\varphi}{\theta} dx dt + \iint_{\partial \Omega \times (0, T)} h \frac{\varphi}{\theta} dS dt \\ & \leq \left[ \int_{\Omega} \log(\theta) \varphi \right]_0^T \end{aligned}$$

$$\left( \mathbf{u}_{tt} - \operatorname{div}(a(\chi)\nabla\epsilon(\mathbf{u}_t) + b(\chi)\mathbb{E}\epsilon(\mathbf{u}) - \rho\theta\mathbf{1}) = \mathbf{f} \right) \quad \times \mathbf{u}_t +$$

$$\left( \theta_t + \chi_t\theta + \rho\theta \operatorname{div}(\mathbf{u}_t) - \operatorname{div}(\mathbf{K}(\theta)\nabla\theta) = g + a(\chi)\epsilon(\mathbf{u}_t)\nabla\epsilon(\mathbf{u}_t) + |\chi_t|^2 \right) \quad \times 1 +$$

$$\left( \chi_t + \mu\partial I_{(-\infty,0]}(\chi_t) + A_p\chi + W'(\chi) \ni -\frac{1}{2}b'(\chi)\epsilon(\mathbf{u})\mathbb{E}\epsilon(\mathbf{u}) + \theta \right) \quad \times \chi_t$$

$\rightsquigarrow$  **total energy** balance

$$\mathcal{E}(\theta, \mathbf{u}, \mathbf{u}_t, \chi)(T) = \mathcal{E}(\theta, \mathbf{u}, \mathbf{u}_t, \chi)(0) + \iint_{\Omega \times (0,T)} (\mathbf{f} \cdot \mathbf{u}_t + g) + \iint_{\partial\Omega \times (0,T)} h$$

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$$\begin{aligned}
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 & \left( \theta_t + \chi_t\theta + \rho\theta \operatorname{div}(\mathbf{u}_t) - \operatorname{div}(\mathbf{K}(\theta)\nabla\theta) = g + a(\chi)\epsilon(\mathbf{u}_t)\nabla\epsilon(\mathbf{u}_t) + |\chi_t|^2 \right) && \times 1 + \\
 & \left( \chi_t + \mu\partial I_{(-\infty,0]}(\chi_t) + A_p\chi + W'(\chi) \ni -\frac{1}{2}b'(\chi)\epsilon(\mathbf{u})\mathbb{E}\epsilon(\mathbf{u}) + \theta \right) && \times \chi_t
 \end{aligned}$$

↪ **total energy INequality**

$$\mathcal{E}(\theta, \mathbf{u}, \mathbf{u}_t, \chi)(T) \leq \mathcal{E}(\theta, \mathbf{u}, \mathbf{u}_t, \chi)(0) + \iint_{\Omega \times (0,T)} (\mathbf{f} \cdot \mathbf{u}_t + g) + \iint_{\partial\Omega \times (0,T)} h$$

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$$\chi_t + \mu \partial I_{(-\infty, 0]}(\chi_t) + A_p \chi + W'(\chi) \ni -\frac{1}{2} b'(\chi) \varepsilon(\mathbf{u}) \mathbb{E} \varepsilon(\mathbf{u}) + \theta \quad \text{in } \Omega \times (0, T), \quad (\text{eq}_\chi)$$

$$\chi_t + A_p \chi + W'(\chi) \ni -\frac{1}{2} b'(\chi) \varepsilon(\mathbf{u}) \mathbb{E} \varepsilon(\mathbf{u}) + \theta \quad \text{a.e. in } \Omega \times (0, T),$$

(eq <sub>$\chi$</sub> )

- If  $\mu = 0$  (no unidirectionality, e.g. phase transitions)  $\rightsquigarrow$  (eq <sub>$\chi$</sub> ) can be formulated **pointwise**



$$\chi_t + \partial I_{(-\infty, 0]}(\chi_t) + A_p \chi + W'(\chi) \ni -\frac{1}{2} b'(\chi) \varepsilon(\mathbf{u}) \mathbb{E} \varepsilon(\mathbf{u}) + \theta \quad \text{in } \Omega \times (0, T), \quad (\text{eq}_{\chi})$$

- If  $\mu = 0$  (no unidirectionality, e.g. phase transitions)  $\rightsquigarrow$  (eq<sub>χ</sub>) can be formulated **pointwise**
- If  $\mu = 1$  (unidirectional case, e.g. damage case) for (eq<sub>χ</sub>) to make sense **a.e. in**  $\Omega \times (0, T)$ , we need to estimate **separately**  $A_p \chi$  and  $\beta(\chi)$ 
  - Could be done by testing (eq<sub>χ</sub>) by  $\partial_t(A_p \chi + \beta(\chi))$  (cf. [Bonfanti-Frémond-Luterotti 2000])
  - Would involve an integration by parts in time of

$$\iint \theta \partial_t (A_p \chi + \beta(\chi))$$

**NOT doable**, because of the low time-regularity of  $\theta$ .

$$\chi_t + \partial I_{(-\infty, 0]}(\chi_t) + A_p \chi + W'(\chi) \ni -\frac{1}{2} b'(\chi) \varepsilon(\mathbf{u}) \mathbb{E} \varepsilon(\mathbf{u}) + \theta \quad \text{in } \Omega \times (0, T)$$

$$\Updownarrow$$

$$-\left( \chi_t + A_p \chi + W'(\chi) + \frac{1}{2} b'(\chi) \varepsilon(\mathbf{u}) \mathbb{E} \varepsilon(\mathbf{u}) - \theta \right) \in \partial I_{(-\infty, 0]}(\chi_t)$$

$$\Updownarrow$$

$$\begin{cases} I_{(-\infty, 0]}(v) \geq -\left( \chi_t + A_p \chi + W'(\chi) + \frac{1}{2} b'(\chi) \varepsilon(\mathbf{u}) \mathbb{E} \varepsilon(\mathbf{u}) - \theta \right) v & \text{for all test functs } v \leq 0 \\ I_{(-\infty, 0]}(\chi_t) \leq -\left( \chi_t + A_p \chi + W'(\chi) + \frac{1}{2} b'(\chi) \varepsilon(\mathbf{u}) \mathbb{E} \varepsilon(\mathbf{u}) - \theta \right) \chi_t \end{cases}$$

$$\chi_t + \partial I_{(-\infty, 0]}(\chi_t) + A_p \chi + W'(\chi) \ni -\frac{1}{2} b'(\chi) \varepsilon(\mathbf{u}) \mathbb{E} \varepsilon(\mathbf{u}) + \theta \quad \text{in } \Omega \times (0, T) \quad (\text{eq}_\chi)$$

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**Definition c.f. [Heinemann-Kraus 2010....]**

We call  $\chi$  a **weak solution** to  $(\text{eq}_\chi)$  if:

$$\chi_t(x, t) \leq 0 \quad \text{for a.a. } (x, t) \in \Omega \times (0, T) \quad \text{(unidirectionality), e.g. in damage} +$$

$$\int_{\Omega} \left( \chi_t v + |\nabla \chi|^{p-2} \nabla \chi \cdot \nabla v + W'(\chi) v + \frac{1}{2} b'(\chi) \varepsilon(\mathbf{u}) \mathbb{E} \varepsilon(\mathbf{u}) v - \theta(t) v \right) dx \geq 0$$

for all test functs  $v \leq 0$  +

$$\begin{aligned} & \iint_{\Omega \times (s, t)} |\chi_t|^2 dx dr + \int_{\Omega} \left( \frac{1}{p} |\nabla \chi(t)|^p + W(\chi(t)) \right) dx \\ & \leq \int_{\Omega} \left( \frac{1}{p} |\nabla \chi(s)|^p + W(\chi(s)) \right) dx + \iint_{\Omega \times (s, t)} \chi_t \left( -\frac{1}{2} b'(\chi) \varepsilon(\mathbf{u}) \mathbb{E} \varepsilon(\mathbf{u}) + \theta \right) dx dr \end{aligned}$$

for all  $0 \leq s \leq t \leq T$ .

### Hypothesis (I).

The function  $K : [0, +\infty) \rightarrow (0, +\infty)$  is continuous and  
 $c_0(1 + \theta^\kappa) \leq K(\theta) \leq c_1(1 + \nu\theta^\kappa)$  for  $\kappa > 1$

### Hypothesis (I).

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### Hypothesis (II).

$p > d$ ,  $a \in C^1(\mathbb{R})$ ,  $b \in C^2(\mathbb{R})$  are such that  $a(x), b(x) \geq c_2 > 0$ , for all  $x \in \mathbb{R}$

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**Hypothesis (IV).**

$$\mathbf{f} \in L^2(0, T; L^2(\Omega)),$$

$$g \in L^1(0, T; L^1(\Omega)) \cap L^2(0, T; H^1(\Omega)'), \quad h \in L^1(0, T; L^2(\partial\Omega)) \quad g, h \geq 0,$$

$$\theta_0 \in L^1(\Omega), \quad \inf_{\Omega} \theta_0 \geq \theta_* > 0, \quad \log \theta_0 \in L^1(\Omega),$$

$$\mathbf{u}_0 \in H_0^2(\Omega), \quad \mathbf{v}_0 \in L^2(\Omega; \mathbb{R}^d), \quad \chi_0 \in W^{1,p}(\Omega), \quad \hat{\beta}(\chi_0) \in L^1(\Omega)$$



Under Hyp. (I)–(IV), there exists

$$\theta \in L^\infty(0, T; L^1(\Omega)) \cap L^2(0, T; H^1(\Omega)), \quad \log \theta \in \text{BV}([0, T]; W^{1, d+\epsilon}(\Omega)^*), \quad \theta \geq c > 0$$

$$\mathbf{u} \in H^1(0, T; H_0^2(\Omega)) \cap W^{1, \infty}(0, T; H_0^1(\Omega; \mathbb{R}^d)) \cap H^2(0, T; L^2(\Omega; \mathbb{R}^d))$$

$$\chi \in L^\infty(0, T; W^{1, p}(\Omega)) \cap H^1(0, T; L^2(\Omega))$$

solving the Cauchy problem for

the **entropy inequality**

the **total energy inequality**

the weak momentum equation (a.e. in  $\Omega \times (0, T)$ )

the **generalized principle of virtual powers** for the phase if  $\mu = 1$  or the pointwise equation if  $\mu = 0$

.. a trick from [\[Feireisl-Petzeltová-R. 2009\]](#)

.. a trick from [Feireisl-Petzeltová-R. 2009]

■  $\theta \in L^2(0, T; H^1(\Omega))$  derives from  $(\alpha \in (0, 1))$

$$\iint \left( \theta_t + \chi_t \theta + \rho \theta \operatorname{div}(\mathbf{u}_t) - \operatorname{div} \left( \underbrace{K(\theta)}_{\sim \theta^\alpha} \nabla \theta \right) = g + a(\chi) \varepsilon(\mathbf{u}_t) \mathbb{V} \varepsilon(\mathbf{u}_t) + |\chi_t|^2 \right) \times \theta^{\alpha-1}$$

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■ The **quadratic terms** go to the left-hand side (they are nonnegative on LHS)!

$$\iint_{\Omega \times (0, t)} (g + a(\chi) \varepsilon(\mathbf{u}_t) \nabla \varepsilon(\mathbf{u}_t) + |\chi_t|^2) \theta^{\alpha-1} dx ds - \underbrace{\iint_{\Omega \times (0, t)} \mathbf{K}(\theta) \nabla \theta \nabla (\theta^{\alpha-1}) dx ds}_{\sim \iint_{\Omega \times (0, t)} |\nabla \theta^{(\kappa+\alpha)/2}|^2 dx ds}$$

$$= \underbrace{\int_{\Omega} \frac{1}{\alpha} \theta(t)^\alpha dx}_{\text{estimate by l.h.s. via Gagliardo-Nirenberg}} - \int_{\Omega} \frac{1}{\alpha} \theta(0)^\alpha dx + \text{OK terms}$$

estimate by l.h.s. via Gagliardo-Nirenberg

■ Get  $\iint_{\Omega \times (0, t)} |\nabla \theta^{(\kappa+\alpha)/2}|^2 dx ds \leq C$ , hence  $\iint_{\Omega \times (0, t)} |\nabla \theta|^2 dx ds \leq C$

- $\log(\theta) \in \text{BV}([0, T]; W^{1, d+\epsilon}(\Omega)^*)$  derives from

$$\iint \left( \theta_t + \chi_t \theta + \rho \theta \operatorname{div}(\mathbf{u}_t) - \operatorname{div} \left( \underbrace{\mathbf{K}(\theta)}_{\sim \theta^\kappa} \nabla \theta \right) = g + a(\chi) \varepsilon(\mathbf{u}_t) \nabla \varepsilon(\mathbf{u}_t) + |\chi_t|^2 \right) \times \frac{1}{\theta}$$

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- Hence a comparison estimate for  $\partial_t \log(\theta)$  tested with fcts.  $v \in W^{1, d+\epsilon}(\Omega)$

$$\partial_t \log(\theta) = -\chi_t - \rho \operatorname{div}(\mathbf{u}_t) + \frac{1}{\theta} \left( g + a(\chi) \varepsilon(\mathbf{u}_t) \mathbb{V} \varepsilon(\mathbf{u}_t) + |\chi_t|^2 \right)$$

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- Hence a comparison estimate for  $\partial_t \log(\theta)$  tested with fcts.  $v \in W^{1, d+\epsilon}(\Omega)$

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- $\theta \in L^2(0, T; H^1(\Omega))$  &  $\partial_t \log(\theta) \in \text{BV}([0, T]; W^{1, d+\epsilon}(\Omega)^*)$  give **strong compactness** for  $\theta$  via Aubin-Lions Theorem

- $\mathbf{u} \in H^1(0, T; H_0^2(\Omega; \mathbb{R}^d)) \cap W^{1, \infty}(0, T; H_0^1(\Omega; \mathbb{R}^d))$  derives from

$$\iint \left( \mathbf{u}_{tt} - \operatorname{div}((a(\chi)\mathbb{V}\varepsilon(\mathbf{u}_t) + b(\chi)\mathbb{E}\varepsilon(\mathbf{u}) - \rho\theta\mathbf{1}) = \mathbf{f}) \times (-\operatorname{div}(\varepsilon(\mathbf{u}_t))) \right)$$

where

$$\text{in } \iint \operatorname{div}(a(\chi)\mathbb{V}\varepsilon(\mathbf{u}_t)) \operatorname{div}(\varepsilon(\mathbf{u}_t)) \quad \text{we calculate } \operatorname{div}(a(\chi)\mathbb{V}\varepsilon(\mathbf{u}_t))$$

$\rightsquigarrow$  need for  $\nabla\chi$  bded in  $L^p(\Omega)$ ,  $p > d$

$$\text{in } \iint \operatorname{div}(-\rho\theta\mathbf{1}) \operatorname{div}(\varepsilon(\mathbf{u}_t)) \quad \rightsquigarrow \text{need for } \theta \text{ bded in } H^1(\Omega)$$



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$$\text{in } \iint \operatorname{div}(-\rho\theta\mathbf{1}) \operatorname{div}(\varepsilon(\mathbf{u}_t)) \quad \rightsquigarrow \text{need for } \theta \text{ bded in } H^1(\Omega)$$

- Still, the right-hand side of

$$\theta_t + \chi_t\theta + \rho\theta \operatorname{div}(\mathbf{u}_t) - \operatorname{div}(\mathbf{K}(\theta)\nabla\theta) = g + a(\chi)\varepsilon(\mathbf{u}_t)\mathbb{V}\varepsilon(\mathbf{u}_t) + |\chi_t|^2$$

is only  $L^1$ , because  $|\chi_t|^2 \in L^1 \Rightarrow$  entropic formulation still needed

- $\mathbf{u} \in H^1(0, T; H_0^2(\Omega; \mathbb{R}^d)) \cap W^{1, \infty}(0, T; H_0^1(\Omega; \mathbb{R}^d))$  derives from

$$\iint \left( \mathbf{u}_{tt} - \operatorname{div}((a(\chi)\mathbb{V}\varepsilon(\mathbf{u}_t) + b(\chi)\mathbb{E}\varepsilon(\mathbf{u}) - \rho\theta\mathbf{1}) = \mathbf{f}) \times (-\operatorname{div}(\varepsilon(\mathbf{u}_t))) \right)$$

where

$$\text{in } \iint \operatorname{div}(a(\chi)\mathbb{V}\varepsilon(\mathbf{u}_t)) \operatorname{div}(\varepsilon(\mathbf{u}_t)) \quad \text{we calculate } \operatorname{div}(a(\chi)\mathbb{V}\varepsilon(\mathbf{u}_t))$$

$\rightsquigarrow$  need for  $\nabla\chi$  bded in  $L^p(\Omega)$ ,  $p > d$

$$\text{in } \iint \operatorname{div}(-\rho\theta\mathbf{1}) \operatorname{div}(\varepsilon(\mathbf{u}_t)) \quad \rightsquigarrow \text{need for } \theta \text{ bded in } H^1(\Omega)$$

- Still, the right-hand side of

$$\theta_t + \chi_t\theta + \rho\theta \operatorname{div}(\mathbf{u}_t) - \operatorname{div}(K(\theta)\nabla\theta) = g + a(\chi)\varepsilon(\mathbf{u}_t)\mathbb{V}\varepsilon(\mathbf{u}_t) + |\chi_t|^2$$

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- Limit passage as  $p \downarrow 2$  possible, convergence to a weaker notion of sol. (**worst** energy inequalities), **only if**  $\mu = 1$

- All the estimates can be made rigorous via time-discretization
- Time-discrete scheme carefully tailored to **nonlinear** estimates of heat equation
  - **fully implicit**  $\rightsquigarrow$  essential for strict positivity
  - eqns. tightly coupled  $\Rightarrow$  existence via fixed point theorem
  - discrete versions of **total energy inequality** & entropy inequality hold  $\rightarrow$  estimates & passage to the limit  $\Rightarrow$  conclusion of existence proof
- Compactness
- Limit passage via lower semicontinuity + maximal monotone operator techniques
- Note that the fact that the inequalities can be proved at a discrete level could be useful for numerics

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### ■ Future perspectives:

- **Weak-strong uniqueness** for this model [R.-Rossi] 
- Temperature-dependent **damage** processes with **phase separation** in thermoviscoelasticity [Heinemann-Kraus-R.-Rossi] 

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**Many thanks**

**to all of you for the attention**

**and**

**to Alain for the perfect hospitality!**