

Finite plasticity $\xrightarrow{\Gamma}$ Linearized plasticity

Ulisse Stefanelli

IMATI - CNR, Pavia

Jointly with Alexander Mielke



BioSMA
Mathematics for Shape Memory
Technologies in Biomechanics

www.imati.cnr.it/biosma

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Outline

Aim of the result:

Rigorously justify small-strain linearization in plasticity

- 1 Linearization in elasticity
- 2 Finite vs. linearized plasticity
- 3 Γ -convergence for rate-independent systems

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Linearization in elasticity

- Equilibrium: $\varphi : \Omega \rightarrow \mathbb{R}^d$

$$\min \left(\int_{\Omega} W_{\text{elast}}(\nabla \varphi) \, dx - \int_{\Omega} \ell \cdot \varphi \, dx \right)$$

Hyperelastic energy: $W_{\text{elast}} : \mathbb{R}^{d \times d} \rightarrow [0, \infty]$, $W_{\text{elast}} = \infty$ out of $GL_+(d)$

Frame indifference: $W_{\text{elast}}(\mathbf{R}\mathbf{F}) = W_{\text{elast}}(\mathbf{F})$ for all $\mathbf{R} \in SO(d)$

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$$\begin{aligned} W_{\text{elast}}(\mathbf{I} + \varepsilon \nabla \mathbf{u}) &\stackrel{\text{Taylor}}{\approx} \underbrace{W_{\text{elast}}(\mathbf{I})}_{=0} + \varepsilon \underbrace{\partial_{\mathbf{F}} W_{\text{elast}}(\mathbf{I})}_{=0} : \nabla \mathbf{u} + \frac{\varepsilon^2}{2} \nabla \mathbf{u} : \underbrace{\partial_{\mathbf{F}\mathbf{F}}^2 W_{\text{elast}}(\mathbf{I})}_{=: \mathbb{C}} \nabla \mathbf{u} \\ &\stackrel{\text{frame ind.}}{=} \frac{\varepsilon^2}{2} \nabla^s \mathbf{u} : \mathbb{C} \nabla^s \mathbf{u} \end{aligned}$$

Linearization in elasticity

- Rigorous justification:

[Dal Maso, Negri, Percivale 2002]

$$\frac{1}{\varepsilon^2} \int_{\Omega} W_{\text{elast}}(\mathbf{I} + \varepsilon \nabla \mathbf{u}) \, dx \xrightarrow{\Gamma} \frac{1}{2} \int_{\Omega} \nabla^s \mathbf{u} : \mathbb{C} \nabla^s \mathbf{u} \, dx$$

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- Γ -convergence:

$$f_{\varepsilon} \xrightarrow{\Gamma} f \iff \begin{cases} f(x) \leq \liminf_{\varepsilon \rightarrow 0} f_{\varepsilon}(x_{\varepsilon}) \text{ for all } x_{\varepsilon} \rightarrow x & \text{(lim inf inequality)} \\ \forall y \exists y_{\varepsilon} \rightarrow y \text{ s.t. } \lim_{\varepsilon \rightarrow 0} f_{\varepsilon}(y_{\varepsilon}) = f(y) & \text{(recovery sequence)} \end{cases}$$

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Fundamental Theorem of Γ -convergence

Let $f_{\varepsilon} \xrightarrow{\Gamma} f$, x_{ε} minimize f_{ε} and $x_{\varepsilon} \rightarrow x$
 $\implies x$ minimizes f and $\min f_{\varepsilon} \rightarrow \min f$

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Let $f_{\varepsilon} \xrightarrow{\Gamma} f$, x_{ε} minimize f_{ε} and $x_{\varepsilon} \rightarrow x$
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One line proof by contradiction:

$$\limsup_{\varepsilon \rightarrow 0} f_{\varepsilon}(y_{\varepsilon}) \stackrel{\text{recovery}}{=} f(y) \stackrel{\text{contrad.}}{<} f(x) \leq \liminf_{\varepsilon \rightarrow 0} f_{\varepsilon}(x_{\varepsilon}) \stackrel{\text{minimality}}{\leq} \liminf_{\varepsilon \rightarrow 0} f_{\varepsilon}(y_{\varepsilon})$$

Linearization in elasticity

- Application:

$$\mathbf{u}_\varepsilon \text{ minimize } \mathbf{u} \mapsto \frac{1}{\varepsilon^2} \int_{\Omega} W_{\text{elast}}(\mathbf{I} + \varepsilon \nabla \mathbf{u}) \, dx - \int_{\Omega} \boldsymbol{\ell} \cdot \mathbf{u}$$

Then, we have that $\mathbf{u}_\varepsilon \rightarrow \mathbf{u}$ which minimizes

$$\frac{1}{2} \int_{\Omega} \nabla^s \mathbf{u} : \mathbb{C} \nabla^s \mathbf{u} \, dx - \int_{\Omega} \boldsymbol{\ell} \cdot \mathbf{u}$$

Equivalently, it solves

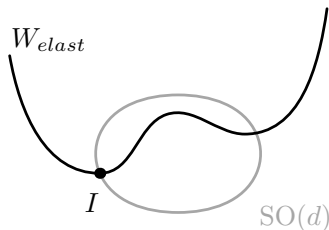
$$\nabla \cdot \boldsymbol{\sigma} + \boldsymbol{\ell} = \mathbf{0}, \quad \boldsymbol{\sigma} = \mathbb{C} \nabla^s \mathbf{u}, \quad (\text{b.c.})$$

Outline

- **Coercivity:** by geometric rigidity

[Friesecke, James, Müller, 2002]

$$\infty > \int_{\Omega} W_{\text{elast}}(\nabla\varphi) \, dx \stackrel{\text{assume}}{\geq} c \int_{\Omega} d^2(\nabla\varphi, \text{SO}(d)) \stackrel{\text{rigidity}}{\geq} \tilde{c} \int_{\Omega} |\nabla\varphi - \tilde{\mathbf{R}}|^2 \, dx$$

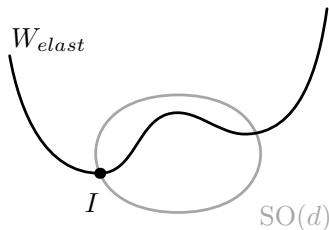


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- Literature:

- ▶ [Agostiniani, Dal Maso, DeSimone, 2011] refined assumptions
- ▶ [Schmidt, 2008] multiwell energy
- ▶ [Paroni, Tomassetti, 2009, 2011] non-stress-free reference config.

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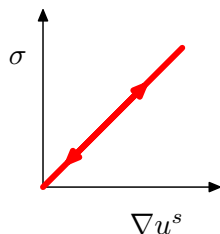
Linearized plasticity

Linearized elasticity:

$$\nabla \cdot \boldsymbol{\sigma} + \boldsymbol{\ell} = \mathbf{0}$$

$$\nabla^s \mathbf{u} = \mathbb{C}^{-1} \boldsymbol{\sigma}$$

(b.c.)



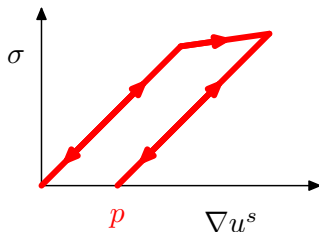
Linearized plasticity:

$$\nabla \cdot \boldsymbol{\sigma} + \boldsymbol{\ell} = \mathbf{0}$$

$$\nabla^s \mathbf{u} = \mathbb{C}^{-1} \boldsymbol{\sigma} + \mathbf{p} \quad \mathbf{p} \in \mathbb{R}_{\text{dev}}^{d \times d}$$

$$\partial R|\dot{\mathbf{p}}| + \mathbb{H}\mathbf{p} \ni \boldsymbol{\sigma}$$

(b.c.) + (i.c.)



Linearized plasticity

- Complementary energy:

$$\mathcal{E}(\mathbf{u}, \mathbf{p}, t) = \frac{1}{2} \int_{\Omega} (\nabla^s \mathbf{u} - \mathbf{p}) : \mathbb{C} (\nabla^s \mathbf{u} - \mathbf{p}) \, dx + \frac{1}{2} \int_{\Omega} \mathbf{p} : \mathbb{H} \mathbf{p} \, dx - \int_{\Omega} \boldsymbol{\ell}(t) \cdot \mathbf{u} \, dx$$

- Dissipation:

$$\mathcal{D}(\mathbf{p}_0, \mathbf{p}_1) = R \int_{\Omega} |\mathbf{p}_1 - \mathbf{p}_0| \, dx = \mathcal{R}(\mathbf{p}_1 - \mathbf{p}_0)$$

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Linearized plasticity:

$$\begin{array}{ll} \partial_{\mathbf{u}} \mathcal{E}(\mathbf{u}, \mathbf{p}, t) \ni 0 & \text{equilibrium} \\ \partial_{\dot{\mathbf{p}}} \mathcal{R}(\dot{\mathbf{p}}) + \partial_{\mathbf{p}} \mathcal{E}(\mathbf{u}, \mathbf{p}, t) \ni 0 & \text{plastic flow} \end{array}$$

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- Energetic formulation:

[Mielke-Theil, 2004]

Stability:

$$\mathcal{E}(\mathbf{u}(t), \mathbf{p}(t), t) \leq \mathcal{E}(\hat{\mathbf{u}}, \hat{\mathbf{p}}, t) + \mathcal{R}(\hat{\mathbf{p}} - \mathbf{p}) \quad \forall(\hat{\mathbf{u}}, \hat{\mathbf{p}})$$

Energy conservation:

$$\underbrace{\mathcal{E}(\mathbf{u}(t), \mathbf{p}(t), t)}_{\text{actual energy}} + \underbrace{\int_0^T \mathcal{R}(\dot{\mathbf{p}}) dt}_{\text{dissipated energy}} = \underbrace{\mathcal{E}(\mathbf{u}^0, \mathbf{p}^0, 0)}_{\text{initial energy}} - \underbrace{\int_0^T \int_{\Omega} \dot{\ell} \cdot \mathbf{u} dx dt}_{\text{work external forces}}$$

Finite plasticity

Multiplicative decomposition: $\nabla\varphi = \mathbf{F}_{\text{elast}}\mathbf{P}$, $\mathbf{P} \in \text{SL}(d) = \{\det \mathbf{P} = 1\}$

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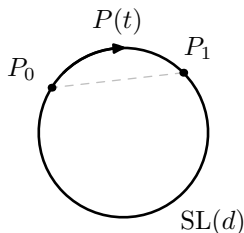
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- Dissipation:

$$D(\mathbf{P}_0, \mathbf{P}_1) = \inf \left\{ R \int_0^1 \int_{\Omega} |\dot{\mathbf{P}} \mathbf{P}^{-1}| dx dt : \mathbf{P}_0 \overset{\mathbf{P}(t)}{\rightsquigarrow} \mathbf{P}_1 \right\}$$

$$D(\mathbf{p}_0, \mathbf{p}_1) = R \int_{\Omega} |\mathbf{p}_1 - \mathbf{p}_0| dx$$



Linearization in plasticity

Why is it **more difficult** than elasticity?

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- Nonlinear to linear settings
 - ▶ multiplicative to additive splits:

$$\nabla\varphi = \mathbf{F}_{\text{elast}} \mathbf{P} \rightsquigarrow \nabla^s \mathbf{u} = \mathbb{C}^{-1} \boldsymbol{\sigma} + \mathbf{p}$$

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- ▶ change of state space

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- Evolutive situation
 - ▶ Need for an **evolutive** notion of variational convergence

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Linearization in plasticity

Energetic solution

Stability: $\mathcal{E}_\varepsilon(q(t), t) \leq \mathcal{E}_\varepsilon(\hat{q}, t) + \mathcal{D}_\varepsilon(q, \hat{q}) \quad \forall \hat{q}$

Energy: $\mathcal{E}_\varepsilon(q(t), t) + \int_0^T \mathcal{R}_\varepsilon(\dot{q}) dt = \mathcal{E}_\varepsilon(q^0, 0) - \int_0^T \int_\Omega \dot{\ell} \cdot q dx dt$

Question:

Which $(\mathcal{E}_\varepsilon, \mathcal{D}_\varepsilon) \rightsquigarrow (\mathcal{E}_0, \mathcal{D}_0)$ entails convergence of energetic solutions?

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Question:

Which $(\mathcal{E}_\varepsilon, \mathcal{D}_\varepsilon) \rightsquigarrow (\mathcal{E}_0, \mathcal{D}_0)$ entails convergence of energetic solutions?

First (but wrong) guess: $\mathcal{E}_\varepsilon \xrightarrow{\Gamma} \mathcal{E}_0$ and $\mathcal{D}_\varepsilon \xrightarrow{\Gamma} \mathcal{D}_0$

Linearization in plasticity

Sufficient condition:

[Mielke, Roubicek, S., 2008]

two separate Γ – lim inf conditions ...

$$\mathcal{E}_0 \leq \Gamma\text{-liminf } \mathcal{E}_\varepsilon \quad \text{and} \quad \mathcal{D}_0 \leq \Gamma\text{-liminf } \mathcal{D}_\varepsilon$$

... plus the existence of a Mutual Recovery Sequence

$\forall q_\varepsilon \rightarrow q_0, \forall \hat{q}_0$ there exists \hat{q}_ε such that

$$\limsup \left(\mathcal{E}_\varepsilon(\hat{q}_\varepsilon) - \mathcal{E}_\varepsilon(q_\varepsilon) + \mathcal{D}_\varepsilon(q_\varepsilon, \hat{q}_\varepsilon) \right) \leq \mathcal{E}_0(\hat{q}_0) - \mathcal{E}_0(q_0) + \mathcal{D}_0(q_0, \hat{q}_0)$$

Linearization in plasticity

- Formal linearization: $\nabla\varphi = \mathbf{I} + \varepsilon\nabla\mathbf{u}$, $\mathbf{P} = \mathbf{I} + \varepsilon\mathbf{p}$

$$W_{\text{elast}}(\nabla\varphi_\varepsilon \mathbf{P}_\varepsilon^{-1}) = W_{\text{elast}}((\mathbf{I} + \varepsilon\nabla\mathbf{u})(\mathbf{I} + \varepsilon\mathbf{p})^{-1}) \approx W_{\text{elast}}((\mathbf{I} + \varepsilon\nabla\mathbf{u})(\mathbf{I} - \varepsilon\mathbf{p}))$$

$$\approx \frac{\varepsilon^2}{2} (\nabla^s \mathbf{u} - \mathbf{p}^s) : \mathbb{C} (\nabla^s \mathbf{u} - \mathbf{p}^s)$$

$$W_{\text{hard}}(\mathbf{P}_\varepsilon) \approx \frac{\varepsilon^2}{2} \mathbf{p} : \underbrace{\partial_{\mathbf{P}\mathbf{P}}^2 W_{\text{hard}}(\mathbf{I})}_{\mathbb{H}} \mathbf{p}$$

$$D(\mathbf{P}_{0\varepsilon}, \mathbf{P}_{1\varepsilon}) = D(\mathbf{I}, \mathbf{P}_{1\varepsilon} \mathbf{P}_{0\varepsilon}^{-1}) = D(\mathbf{I}, (\mathbf{I} + \varepsilon\mathbf{p}_1)(\mathbf{I} + \varepsilon\mathbf{p}_0)^{-1}) \stackrel{\Gamma}{\approx} \varepsilon R |\mathbf{p}_1 - \mathbf{p}_0|$$

► $(\mathbf{I} + \varepsilon\mathbf{p}_1)(\mathbf{I} + \varepsilon\mathbf{p}_0)^{-1} \approx \mathbf{I} + \varepsilon(\mathbf{p}_1 - \mathbf{p}_0) \approx e^{\varepsilon(\mathbf{p}_1 - \mathbf{p}_0)}$

$$D(\mathbf{I}, (\mathbf{I} + \varepsilon\mathbf{p}_1)(\mathbf{I} + \varepsilon\mathbf{p}_0)^{-1}) \approx D(\mathbf{I}, e^{\varepsilon(\mathbf{p}_1 - \mathbf{p}_0)}) \stackrel{\mathbf{P}(t)=e^{t\varepsilon(\mathbf{p}_1 - \mathbf{p}_0)}}{\approx} \varepsilon R |\mathbf{p}_1 - \mathbf{p}_0|$$

Linearization in plasticity

- Coercivity of the energy

Rigidity for W_{elast} and coercivity for W_{hard} :

$$\|\nabla \mathbf{u}_\varepsilon(t)\|_{L^2}^2 + \|\mathbf{p}_\varepsilon(t)\|_{L^2}^2 \leq c \mathcal{E}_\varepsilon(\mathbf{u}_\varepsilon(t), \mathbf{p}_\varepsilon(t), t) < \infty$$

- Two Γ -liminf inequalities ...

- ▶ Poinwise inequalities for the integrands

$$\frac{1}{2}(\nabla^s \mathbf{u} - \mathbf{p}) : \mathbb{C}(\nabla^s \mathbf{u} - \mathbf{p}) \leq \liminf_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon^2} W_{\text{elast}}((\mathbf{I} + \varepsilon \nabla \mathbf{u}_\varepsilon)(\mathbf{I} + \varepsilon \mathbf{p}_\varepsilon)^{-1})$$

$$\frac{1}{2} \mathbf{p} : \mathbb{H} \mathbf{p} \leq \liminf_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon^2} W_{\text{hard}}(\mathbf{I} + \varepsilon \mathbf{p}_\varepsilon)$$

$$R|\mathbf{p}_1 - \mathbf{p}_0| \leq \liminf_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon} D(\mathbf{I}, (\mathbf{I} + \varepsilon \mathbf{p}_1)(\mathbf{I} + \varepsilon \mathbf{p}_0)^{-1})$$

- ▶ Balder-Ioffe lower semicontinuity theorem

Linearization in plasticity

- ... and a mutual recovery sequence

Let $(\widehat{\mathbf{u}}_0, \widehat{\mathbf{p}}_0) := (\mathbf{u}_0, \mathbf{p}_0) + (\tilde{\mathbf{u}}, \tilde{\mathbf{p}})$ with $(\tilde{\mathbf{u}}, \tilde{\mathbf{p}}) \in C_c^\infty(\Omega; \mathbb{R}^d \times \mathbb{R}_{\text{dev}}^{d \times d})$

Define $\psi_\varepsilon := \mathbf{id} + \varepsilon \tilde{\mathbf{u}}$ and $\varphi_\varepsilon := \mathbf{id} + \varepsilon \mathbf{u}_\varepsilon$ and let

$$\widehat{\mathbf{u}}_\varepsilon := \frac{1}{\varepsilon} (\psi_\varepsilon \circ \varphi_\varepsilon - \mathbf{id})$$

Moreover, define the *big set*

$$\Omega_\varepsilon := \left\{ x \in \Omega \mid e^{\varepsilon \tilde{\mathbf{p}}(x)} (\mathbf{I} + \varepsilon \mathbf{p}_\varepsilon(x)) \in \{W_{\text{hard}} < \infty\} \subset \subset \text{SL}(d) \right\}$$

and choose

$$\widehat{\mathbf{p}}_\varepsilon := \begin{cases} \frac{1}{\varepsilon} (e^{\varepsilon \tilde{\mathbf{p}}} (\mathbf{I} + \varepsilon \mathbf{p}_\varepsilon) - \mathbf{I}) & \text{on } \Omega_\varepsilon \\ \mathbf{p}_\varepsilon & \text{else} \end{cases}$$

Linearization in plasticity

- Complicated definitions to simplify complicated expressions

$$\blacktriangleright \hat{\mathbf{u}}_\varepsilon := \frac{1}{\varepsilon} (\psi_\varepsilon \circ \varphi_\varepsilon - \mathbf{id})$$

$$\implies (\mathbf{I} + \varepsilon \nabla \hat{\mathbf{u}}_\varepsilon) = \nabla \psi_\varepsilon(\varphi_\varepsilon) \nabla \varphi_\varepsilon = \underbrace{(\mathbf{I} + \varepsilon \nabla \tilde{u}(\varphi_\varepsilon))}_{\rightarrow 1} \nabla \varphi_\varepsilon \rightarrow \nabla \varphi_\varepsilon$$

[multiplicative decomposition]

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[multiplicative decomposition]

$$\blacktriangleright \hat{\mathbf{p}}_\varepsilon = \frac{1}{\varepsilon} (e^{\varepsilon \tilde{\mathbf{p}}} (\mathbf{I} + \varepsilon \mathbf{p}_\varepsilon) - \mathbf{I}) \implies (\mathbf{I} + \varepsilon \hat{\mathbf{p}}_\varepsilon) = e^{\varepsilon \tilde{\mathbf{p}}} (\mathbf{I} + \varepsilon \mathbf{p}_\varepsilon)$$

$$\frac{1}{\varepsilon} \mathcal{D}(\mathbf{I}, (\mathbf{I} + \varepsilon \hat{\mathbf{p}}_\varepsilon) (\mathbf{I} + \varepsilon \mathbf{p}_\varepsilon)^{-1}) = \frac{1}{\varepsilon} \mathcal{D}(\mathbf{I}, e^{\varepsilon \tilde{\mathbf{p}}}) \rightarrow \mathbf{R}(\tilde{\mathbf{p}})$$

Linearization in plasticity

- Constraints fulfilled

- ▶ Orientation

$$[\hat{\mathbf{u}}_\varepsilon := (\psi_\varepsilon \circ \varphi_\varepsilon - \mathbf{id})/\varepsilon]$$

$$\begin{aligned}\det(\mathbf{I} + \varepsilon \nabla \hat{\mathbf{u}}) &= \det(\nabla \psi_\varepsilon(\varphi_\varepsilon) \nabla \varphi_\varepsilon) \\ &= \underbrace{\det(\mathbf{I} + \varepsilon \nabla \tilde{\mathbf{u}}(\varphi_\varepsilon))}_{\approx 1} \underbrace{\det(\mathbf{I} + \varepsilon \nabla \mathbf{u}_\varepsilon)}_{> 0} > 0\end{aligned}$$

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- ▶ Volume

$$[\widehat{\mathbf{p}}_\varepsilon = (e^{\varepsilon \tilde{\mathbf{p}}}(\mathbf{I} + \varepsilon \mathbf{p}_\varepsilon) - \mathbf{I})/\varepsilon]$$

$$\begin{aligned}\det(\mathbf{I} + \varepsilon \widehat{\mathbf{p}}) &= \det(e^{\varepsilon \tilde{\mathbf{p}}}(\mathbf{I} + \varepsilon \mathbf{p}_\varepsilon)) \\ &= \det e^{\varepsilon \tilde{\mathbf{p}}} \underbrace{\det(\mathbf{I} + \varepsilon \mathbf{p}_\varepsilon)}_{=1} = e^{\text{tr}(\varepsilon \tilde{\mathbf{p}})} = e^0 = 1\end{aligned}$$

Linearization in plasticity

- Constraints fulfilled

- ▶ Orientation

$$[\widehat{\mathbf{u}}_\varepsilon := (\psi_\varepsilon \circ \varphi_\varepsilon - \mathbf{id})/\varepsilon]$$

$$\begin{aligned}\det(\mathbf{I} + \varepsilon \nabla \widehat{\mathbf{u}}) &= \det(\nabla \psi_\varepsilon(\varphi_\varepsilon) \nabla \varphi_\varepsilon) \\ &= \underbrace{\det(\mathbf{I} + \varepsilon \nabla \tilde{\mathbf{u}}(\varphi_\varepsilon))}_{\approx 1} \underbrace{\det(\mathbf{I} + \varepsilon \nabla \mathbf{u}_\varepsilon)}_{> 0} > 0\end{aligned}$$

- ▶ Volume

$$[\widehat{\mathbf{p}}_\varepsilon = (e^{\varepsilon \tilde{\mathbf{p}}}(\mathbf{I} + \varepsilon \mathbf{p}_\varepsilon) - \mathbf{I})/\varepsilon]$$

$$\begin{aligned}\det(\mathbf{I} + \varepsilon \widehat{\mathbf{p}}) &= \det(e^{\varepsilon \tilde{\mathbf{p}}}(\mathbf{I} + \varepsilon \mathbf{p}_\varepsilon)) \\ &= \det e^{\varepsilon \tilde{\mathbf{p}}} \underbrace{\det(\mathbf{I} + \varepsilon \mathbf{p}_\varepsilon)}_{=1} = e^{\text{tr}(\varepsilon \tilde{\mathbf{p}})} = e^0 = 1\end{aligned}$$

- Strategy

- ▶ Exploit cancellations (a very refined version of the [quadratic trick](#))

Linearization in plasticity

Convergence

Let $(\mathbf{u}_\varepsilon, \mathbf{p}_\varepsilon)$ be energetic solutions of finite plasticity.

Then, $(\mathbf{u}_\varepsilon, \mathbf{p}_\varepsilon) \rightarrow (\mathbf{u}_0, \mathbf{p}_0)$ pointwise in $H^1 \times L^2$ -weak where $(\mathbf{u}_0, \mathbf{p}_0)$ is an energetic solution of linearized plasticity

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- [A. Mielke, U.S. Linearized plasticity is the evolutionary Γ -limit of finite plasticity, *J. Eur. Math. Soc. (JEMS)*, to appear 2012]

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