

A Quasistatic Model for Perfectly Plastic Plates Derived by Γ -convergence

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Introduction

Problem: rigorous derivation of a reduced model for a thin plastic plate starting from 3d plasticity

Our framework:

- small-strain perfect plasticity
- quasistatic evolutionary setting



Result: a quasistatic evolution model for a thin plate

- coupling of the stretching and bending components of the stress through the stress constraint and the plastic flow rule
- genuinely three-dimensional

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- coupling of the stretching and bending components of the stress through the stress constraint and the plastic flow rule
 - genuinely three-dimensional
- new model, different from the classical 2d linearly plastic plate model

Small-Strain Perfect Plasticity

$\Omega \subset \mathbb{R}^3$ reference configuration, $T > 0$

$u: [0, T] \times \Omega \rightarrow \mathbb{R}^3$ displacement, $\mathbb{E}u := \text{sym } \nabla u$ linearized strain

additive decomposition

$$\mathbb{E}u = e + p$$

$e: [0, T] \times \Omega \rightarrow \mathbb{M}_{\text{sym}}^{3 \times 3}$ elastic strain

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- $\sigma_D \in \text{int } K \Rightarrow$ perfectly elastic behaviour
- $\sigma_D \in \partial K \Rightarrow$ plasticity occurs

The Quasistatic Evolution Problem

Datum

a time-dependent **boundary displacement** $w : [0, T] \times \Gamma \rightarrow \mathbb{R}^3$, $\Gamma \subset \partial\Omega$
(no applied forces for simplicity)

Problem

Find $(t, x) \mapsto (u(t, x), e(t, x), p(t, x))$ such that for every $t \in [0, T]$

- *kinematic admissibility*: $\mathbb{E}u(t, x) = e(t, x) + p(t, x)$ for $x \in \Omega$
 $u(t, x) = w(t, x)$ for $x \in \Gamma$
- *constitutive equation*: $\sigma(t, x) := \mathbb{C}e(t, x)$
- *equilibrium*: $\operatorname{div}_x \sigma(t, x) = 0$ in Ω , $\sigma(t, x)\nu_{\partial\Omega}(x) = 0$ for $x \in \partial\Omega \setminus \Gamma$
- *stress constraint*: $\sigma_D(t, x) \in K$
- *flow rule*: $\dot{p}(t, x) \in N_K(\sigma_D(t, x))$,
where $N_K(\tau)$ is the normal cone to K at τ

$$\dot{p}(t, x) \in N_K(\sigma_D(t, x))$$

- If $\sigma_D(t, x) \in \text{int } K$, then $\dot{p}(t, x) = 0 \Rightarrow$ no plastic evolution
If $\sigma_D(t, x) \in \partial K$, then $\dot{p}(t, x) \perp \partial K$ at $\sigma_D(t, x)$

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$$\text{flow rule} \quad \Leftrightarrow \quad \sigma_D(t, x) \in \partial H(\dot{p}(t, x))$$

where $H: \mathbb{M}_D^{3 \times 3} \rightarrow [0, +\infty)$ is the **support function** of K

$$H(q) := \sup_{\tau \in K} \tau : q$$

H is **convex**, positively one-homogeneous and $\alpha|q| \leq H(q) \leq \beta|q|$

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Existence results: Suquet 1981, Dal Maso-DeSimone-Mora 2006

The Incremental Formulation

Let $w \in AC([0, T]; H^1(\Omega; \mathbb{R}^3))$ and let (u_0, e_0, p_0) be a stable and kinematically admissible initial datum.

Let $\{t_0, t_1, \dots, t_N\}$ be a partition of $[0, T]$.

By induction define (u_i, e_i, p_i) as a minimizer of

$$\int_{\Omega} Q(e) \, dx + \int_{\Omega} H(p - p_{i-1}) \, dx$$

among all $(u, e, p) \in \dots$

such that $\text{Eu} = e + p$ in Ω and $u = w(t_i)$ on Γ

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$$Q(e) := \frac{1}{2} Ce : e, \quad H(p) = \sup_{\tau \in K} \tau : p$$

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among all $(u, e, p) \in BD(\Omega) \times L^2(\Omega; \mathbb{M}_{\text{sym}}^{3 \times 3}) \times M_b(\Omega \cup \Gamma; \mathbb{M}_D^{3 \times 3})$ such that $Eu = e + p$ in Ω and $p = (w(t_i) - u) \odot \nu_{\partial\Omega} \mathcal{H}^2$ on Γ

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Existence of a Quasistatic Evolution

Theorem (Dal Maso-DeSimone-Mora ARMA 2006)

If $w \in AC([0, T]; H^1(\Omega; \mathbb{R}^3))$, then there exists a quasistatic evolution

$$(u, e, p) \in AC([0, T]; BD(\Omega) \times L^2(\Omega; \mathbb{M}_{\text{sym}}^{3 \times 3}) \times M_b(\Omega \cup \Gamma; \mathbb{M}_D^{3 \times 3}))$$

with prescribed initial data (u_0, e_0, p_0) , in the following sense:

- **global minimality:** for every $t \in [0, T]$

$$\int_{\Omega} Q(e(t)) \, dx \leq \int_{\Omega} Q(\tilde{e}) \, dx + \mathcal{H}(\tilde{p} - p(t))$$

for every $(\tilde{u}, \tilde{e}, \tilde{p}) \in BD(\Omega) \times L^2(\Omega; \mathbb{M}_{\text{sym}}^{3 \times 3}) \times M_b(\Omega \cup \Gamma; \mathbb{M}_D^{3 \times 3})$ with $E\tilde{u} = \tilde{e} + \tilde{p}$ in Ω and $\tilde{p} = (w(t) - \tilde{u}) \odot \nu_{\partial\Omega} \mathcal{H}^2$ on Γ

- **energy balance:** for every $t \in [0, T]$

$$\int_{\Omega} Q(e(t)) \, dx + \int_0^t \mathcal{H}(\dot{p}(s)) \, ds = \int_{\Omega} Q(e_0) \, dx + \int_0^t \langle Ce(s), E\dot{w}(s) \rangle_{L^2} \, ds$$

Properties of Quasistatic Evolutions

- **Euler conditions:** setting $\sigma(t) := \mathbb{C}e(t)$, for every $t \in [0, T]$
 $\operatorname{div} \sigma(t) = 0$ in Ω , $\sigma(t)\nu_{\partial\Omega} = 0$ on $\partial\Omega \setminus \Gamma$
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- **balance of powers:** for a.e. $t \in [0, T]$

$$\langle \sigma(t), \dot{e}(t) \rangle_{L^2} + \mathcal{H}(\dot{p}(t)) = \langle \sigma(t), E\dot{w}(t) \rangle_{L^2}$$

or equivalently, by the integration by parts formula

$$\mathcal{H}(\dot{p}(t)) = \langle \sigma_D(t), \dot{p}(t) \rangle$$

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This can be interpreted as a **maximum plastic work** condition owing to the duality formula

Stress-Strain Duality

Kohn-Temam Stress-Strain Duality (*Appl. Math. Optim.* 1983)

If $\sigma \in L^2(\Omega; \mathbb{M}_{\text{sym}}^{n \times n})$ with $\text{div } \sigma \in L^n(\Omega; \mathbb{R}^n)$, $\sigma_D \in L^\infty(\Omega; \mathbb{M}_D^{n \times n})$,
and $u \in \text{BD}(\Omega)$ with $\text{div } u \in L^2(\Omega)$, then

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Using that $p = E_D u - e_D + (w - u) \odot \nu_{\partial\Omega} \mathcal{H}^2 \llcorner \Gamma$ and $\text{tr } p = 0$, we can define

$$\langle \sigma_D, p \rangle := \langle \sigma_D, E_D u \rangle - \langle \sigma_D, e_D \rangle_{L^2} + \langle (\sigma \nu_{\partial\Omega})_{\text{tan}}, w - u \rangle_\Gamma$$

- integration by parts formula:

$$\langle \sigma_D, p \rangle + \langle \sigma, e - Ew \rangle_{L^2} = -\langle \text{div } \sigma, u - w \rangle_{L^n, L^{n/(n-1)}} + \langle \sigma \nu_{\partial\Omega}, u - w \rangle_{\partial\Omega \setminus \Gamma}$$

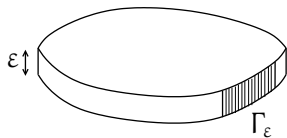
- duality formula:

$$\mathcal{H}(p) = \sup \{ \langle \tau_D, p \rangle : \tau \in L^2, \text{div } \tau \in L^n, \tau_D \in K, \tau \nu_{\partial\Omega} = 0 \text{ on } \partial\Omega \setminus \Gamma \}$$

A Perfectly Plastic Thin Plate

$$\Omega_\varepsilon = \omega \times \left(-\frac{\varepsilon}{2}, \frac{\varepsilon}{2}\right), \quad \omega \subset \mathbb{R}^2$$

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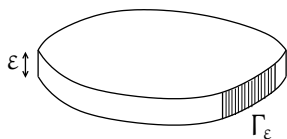
Perform a **change of variable**:

$$\psi^\varepsilon: \Omega := \omega \times \left(-\frac{1}{2}, \frac{1}{2}\right) \rightarrow \Omega_\varepsilon : (x', x_3) \mapsto (x', \varepsilon x_3)$$

For (u, e, p) kinematically admissible in Ω_ε we set

$$y := (u_1 \circ \psi^\varepsilon, u_2 \circ \psi^\varepsilon, \varepsilon u_3 \circ \psi^\varepsilon)$$

$$f := \Lambda_\varepsilon^{-1} e \circ \psi^\varepsilon, \quad "q := \Lambda_\varepsilon^{-1} p \circ \psi^\varepsilon"$$



$$\text{where } \Lambda_\varepsilon \xi := \left(\begin{array}{c|c} \xi_{\alpha\beta} & \frac{1}{\varepsilon} \xi_{\alpha 3} \\ \hline \frac{1}{\varepsilon} \xi_{3\beta} & \frac{1}{\varepsilon^2} \xi_{33} \end{array} \right)$$

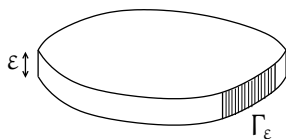
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Then $(y, f, q) \in A_\varepsilon(\hat{w}^\varepsilon)$, that is:

- $(y, f, q) \in BD(\Omega) \times L^2(\Omega; \mathbb{M}_{\text{sym}}^{3 \times 3}) \times M_b(\Omega \cup \Gamma; \mathbb{M}_{\text{sym}}^{3 \times 3})$
- $Ey = f + q$ in Ω and $q = (\hat{w}^\varepsilon - y) \odot \nu_{\partial\Omega} \mathcal{H}^2$ on $\Gamma := \gamma \times \left(-\frac{1}{2}, \frac{1}{2}\right)$
- $\text{tr}(\Lambda_\varepsilon q) = 0$ in $\Omega \cup \Gamma$

Convergence of Rescaled Quasistatic Evolutions

Theorem (Davoli-Mora 2012)

Let $w \in AC([0, T]; H^1(\Omega; \mathbb{R}^3))$ be such that $w_3 \in AC([0, T]; H^2(\omega))$ and

$$w_\alpha(t, x) = \bar{w}_\alpha(t, x') - x_3 \partial_\alpha w_3(t, x'), \quad \alpha = 1, 2.$$

Let $(y^\varepsilon, f^\varepsilon, q^\varepsilon)$ be a quasistatic evolution in Ω_ε , rescaled to Ω , with boundary value w and initial value $(y_0^\varepsilon, f_0^\varepsilon, q_0^\varepsilon)$.

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$$\Lambda_\varepsilon f_0^\varepsilon \rightarrow f_0 \text{ strongly in } L^2(\Omega; \mathbb{M}_{\text{sym}}^{3 \times 3}),$$

$$\|\Lambda_\varepsilon p_0^\varepsilon\|_{M_b} \leq C.$$

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Then for every $t \in [0, T]$

- $y^\varepsilon(t) \rightharpoonup u(t)$ weakly* in $BD(\Omega)$
- $f^\varepsilon(t) \rightarrow e(t)$ strongly in $L^2(\Omega; \mathbb{M}_{\text{sym}}^{3 \times 3})$
- $q^\varepsilon(t) \rightharpoonup p(t)$ weakly* in $M_b(\Omega \cup \Gamma; \mathbb{M}_{\text{sym}}^{3 \times 3})$

where (u, e, p) is a “reduced” quasistatic evolution.

Characterization of the Limit Evolution – I

1) Kinematic admissibility: $(\mathbf{u}(t), \mathbf{e}(t), \mathbf{p}(t)) \in \mathbf{A}_{\text{KL}}(\omega(t))$, that is,

$$\mathbf{u}_\alpha(t, \mathbf{x}) = \bar{\mathbf{u}}_\alpha(t, \mathbf{x}') - x_3 \partial_\alpha \mathbf{u}_3(t, \mathbf{x}') \quad (\alpha = 1, 2)$$

with $\bar{\mathbf{u}}(t) \in \text{BD}(\omega)$ and $\mathbf{u}_3(t) \in \text{BH}(\omega)$

$$\text{BH}(\omega) := \{z \in W^{1,1}(\omega) : D^2 z \in M_b(\omega; \mathbb{M}_{\text{sym}}^{2 \times 2})\} .$$

In particular, $E_{\alpha\beta} \mathbf{u}(t) = E_{\alpha\beta} \bar{\mathbf{u}}(t) - x_3 \partial_{\alpha\beta}^2 \mathbf{u}_3(t)$, $E_{i3} \mathbf{u}(t) = 0$.

Characterization of the Limit Evolution – I

1) Kinematic admissibility: $(\mathbf{u}(t), \mathbf{e}(t), \mathbf{p}(t)) \in \mathbf{A}_{\text{KL}}(\boldsymbol{\omega}(t))$, that is,

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Moreover,

$$\mathbf{e}(t, \mathbf{x}) = \bar{\mathbf{e}}(t, \mathbf{x}') + x_3 \hat{\mathbf{e}}(t, \mathbf{x}') + \mathbf{e}_\perp(t, \mathbf{x})$$

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where $\bar{\mathbf{e}} := \int_{-\frac{1}{2}}^{\frac{1}{2}} \mathbf{e} \, dx_3$, $\hat{\mathbf{e}} := 12 \int_{-\frac{1}{2}}^{\frac{1}{2}} x_3 \mathbf{e} \, dx_3$

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- $E \bar{\mathbf{u}}(t) = \bar{\mathbf{e}}(t) + \bar{\mathbf{p}}(t)$ in ω , $\bar{\mathbf{p}}(t) = (\bar{\mathbf{w}}(t) - \bar{\mathbf{u}}(t)) \odot \nu_{\partial\omega} \mathcal{H}^1$ on γ
- $-D^2 \mathbf{u}_3(t) = \hat{\mathbf{e}}(t) + \hat{\mathbf{p}}(t)$ in ω , $\mathbf{u}_3(t) = \mathbf{w}_3(t)$ on γ ,
 $\hat{\mathbf{p}}(t) = (\nabla \mathbf{u}_3(t) - \nabla \mathbf{w}_3(t)) \odot \nu_{\partial\omega} \mathcal{H}^1$ on γ

Characterization of the Limit Evolution – II

2) Regularity:

$$(u, e, p) \in AC([0, T]; BD(\Omega) \times L^2(\Omega; \mathbb{M}_{\text{sym}}^{3 \times 3}) \times M_b(\Omega \cup \Gamma; \mathbb{M}_{\text{sym}}^{3 \times 3}))$$

3) “Reduced” quasistatic evolution: (u, e, p) satisfies

- “reduced” global minimality: for every $t \in [0, T]$

$$\int_{\Omega} Q_r(e(t)) \, dx \leq \int_{\Omega} Q_r(\tilde{e}) \, dx + \mathcal{H}_r(\tilde{p} - p(t))$$

for every $(\tilde{u}, \tilde{e}, \tilde{p}) \in A_{KL}(w(t))$

- “reduced” energy balance: for every $t \in [0, T]$

$$\int_{\Omega} Q_r(e(t)) \, dx + \int_0^t \mathcal{H}_r(\dot{p}(s)) \, ds = \int_{\Omega} Q_r(e_0) \, dx + \int_0^t \langle C_r e(s), E \dot{w}(s) \rangle_{L^2} \, ds$$

where $Q_r(e) := \min \{ Q(\xi) : \xi \in \mathbb{M}_{\text{sym}}^{3 \times 3}, \xi_{\alpha\beta} = e_{\alpha\beta} \ (\alpha, \beta = 1, 2) \}$
and $H_r(p) := \min \{ H(q) : q \in \mathbb{M}_D^{3 \times 3}, q_{\alpha\beta} = p_{\alpha\beta} \ (\alpha, \beta = 1, 2) \}$

Characterization in Rate Form

- **Euler conditions:** setting $\sigma(t) := \mathbb{C}_r e(t)$, for every $t \in [0, T]$

$$\operatorname{div}_x \bar{\sigma}(t) = 0 \quad \text{and} \quad \operatorname{div}_x \operatorname{div}_x \hat{\sigma}(t) = 0 \quad \text{in } \omega$$

with Neumann boundary conditions on $\partial\omega \setminus \gamma$

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with Neumann boundary conditions on $\partial\omega \setminus \gamma$
 $\sigma(t) \in K_r$ a.e. in Ω , where $K_r := \partial H_r(0)$
- **balance of powers:** for a.e. $t \in [0, T]$

$$\mathcal{H}_r(\dot{p}(t)) = \langle \sigma(t), \dot{p}(t) \rangle$$

where the stress-strain duality is now defined as

$$\langle \sigma(t), \dot{p}(t) \rangle := \langle \bar{\sigma}(t), \dot{\bar{p}}(t) \rangle + \langle \hat{\sigma}(t), \dot{\hat{p}}(t) \rangle - \langle \sigma_\perp(t), \dot{e}_\perp(t) \rangle_{L^2}$$

The first duality is in the sense of Kohn-Temam 1983, while the second one is an adaptation of Demengel 1983. In particular, we show that

$$\mathcal{H}_r(p) = \sup \left\{ \langle \tau, p \rangle : \tau \in L^2(\Omega; \mathbb{M}_{\text{sym}}^{3 \times 3}), \tau(x) \in K_r \text{ for a.e. } x \in \Omega, \right. \\ \left. \operatorname{div}_x \bar{\tau} \in L^2, \operatorname{div}_x \operatorname{div}_x \hat{\tau} \in L^2 \text{ satisfying Neumann} \right\}$$

Convergence of Rate-Independent Processes

Γ -limits of rate-independent evolutions (Mielke-Roubíček-Stefanelli 2008):

\mathcal{X} state space

$\mathcal{E}_\varepsilon: [0, T] \times \mathcal{X} \rightarrow \mathbb{R} \cup \{+\infty\}$ stored-energy functionals

$\mathcal{D}_\varepsilon: \mathcal{X} \times \mathcal{X} \rightarrow [0, +\infty]$ dissipation distances

If $\mathcal{E} := \Gamma\text{-lim } \mathcal{E}_\varepsilon$, $\mathcal{D} := \Gamma\text{-lim } \mathcal{D}_\varepsilon$, and \exists a “joint recovery sequence”, then quasistatic evolutions associated with $(\mathcal{E}_\varepsilon, \mathcal{D}_\varepsilon)$ converge to a quasistatic evolution associated with $(\mathcal{E}, \mathcal{D})$.

Applications: linearized plasticity with hardening (Liero-Mielke 2011)

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This approach is **not suited** to our case:

$$\mathcal{E}_\varepsilon(\mathbf{u}, \mathbf{e}, \mathbf{p}) := \int_{\Omega} Q(\Lambda_\varepsilon \mathbf{e}) \, dx$$

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Here we assume $\partial\omega \in C^2$ and γ open with $\partial_{\partial\omega}\gamma = \{P_1, P_2\}$