# Scattering by fractal screens: functional analysis and computation 



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Joint work with
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Scattering: incoming wave $u^{i}$ hits obstacle $\Gamma$ and generates field $u$.
$\Gamma$ bounded open subset of $\left\{\mathbf{x} \in \mathbb{R}^{n+1}: x_{n+1}=0\right\} \cong \mathbb{R}^{n}, n=1,2$

$u$ satisfies Sommerfeld radiation condition (SRC) at infinity (i.e. $\partial_{r} u-i k u=o\left(r^{-(n-1) / 2}\right)$ uniformly as $\left.r=|\mathbf{x}| \rightarrow \infty\right)$.

## Scattering by Lipschitz and rough screens

Incident field is plane wave $u^{i}(\mathbf{x})=\mathrm{e}^{\mathrm{i} k \mathbf{d} \cdot \mathbf{x}},|\mathbf{d}|=1$.

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What happens for arbitrary (rougher than Lipschitz, e.g. fractal) Г?

## Fractal antennas


(Figures from http://www.antenna-theory.com/antennas/fractal.php)
Fractal antennas are a popular topic in engineering:
Wideband/multiband, compact, cheap, metamaterials, cloaking. . . Not yet analysed by mathematicians.

## Other applications

Scattering by ice crystals in atmospheric physics e.g. C. Westbrook (Reading)


Fractal apertures in laser optics e.g. J. Christian (Salford)

## Scattering by fractal screens



Lots of interesting mathematical questions:

- How to formulate well-posed BVPs?
(What is the right function space setting? How to impose BCs?)
- How do prefractal solutions converge to fractal solutions?
- How can we accurately compute the scattered field?
- If the fractal has empty interior, does it scatter waves at all?
- How does the fractal (Hausdorff) dimension affect things?


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Question: Is the scattered field zero or non-zero for the 3D Dirichlet scattering problem with $\Gamma=C_{\alpha}^{2}$ ?

## Bibliography

I will discuss the answers we tried to give here:
(1) SNCW, DPH, Wavenumber-explicit continuity and coercivity estimates in acoustic scattering by planar screens, IEOT, 2015.
(2) DPH, AM, On the maximal Sobolev regularity of distributions supported by subsets of Euclidean space, An. and Appl., 2017.
(3) SNCW, DPH, AM, Sobolev spaces on non-Lipschitz subsets of $\mathbb{R}^{n}$ with application to BIEs on fractal screens,

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(4) SNCW, DPH, Well-posed PDE and integral equation formulations for scattering by fractal screens, SIAM J. Math. Anal., 2018.
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$\triangleright$ Sobolev spaces
(4) SNCW, DPH, Well-posed PDE and integral equation formulations for scattering by fractal screens, SIAM J. Math. Anal., 2018. $\triangleright$ Scattering by general screens
(5) SNCW, DPH, AM, Scattering by fractal screens and apertures, in preparation.
$\triangleright$ BEM, convergence
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## Part I

## BVPs \& BIEs

## Boundary integral equations (BIEs)

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- The associated boundary integral operators are coercive, thus invertible, between appropriate spaces (Ha-Duong, Chandler-Wilde/Hewett)


## Sobolev spaces on $\Gamma \subset \mathbb{R}^{n}$

BIEs require us to work in fractional (Bessel) Sobolev spaces on $\Gamma \subset \mathbb{R}^{n}$. For $s \in \mathbb{R}$ let

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H^{s}\left(\mathbb{R}^{n}\right)=\left\{u \in \mathcal{S}^{*}\left(\mathbb{R}^{n}\right):\|u\|_{H^{s}\left(\mathbb{R}^{n}\right)}^{2}:=\int_{\mathbb{R}^{n}}\left(1+|\boldsymbol{\xi}|^{2}\right)^{s}|\hat{u}(\boldsymbol{\xi})|^{2} \mathrm{~d} \boldsymbol{\xi}<\infty\right\} .
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For $\Gamma \subset \mathbb{R}^{n}$ open and $F \subset \mathbb{R}^{n}$ closed define

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H^{s}(\Gamma) & :=\left\{\left.u\right|_{\Gamma}: u \in H^{s}\left(\mathbb{R}^{n}\right)\right\} & \text { restriction } \\
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H_{F}^{s} & :=\left\{u \in H^{s}\left(\mathbb{R}^{n}\right): \operatorname{supp} u \subset F\right\} & & \text { support }
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"Global" and "local" spaces:

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\underbrace{\widetilde{H}^{s}(\Gamma) \subset H_{\bar{\Gamma}}^{s}}_{\text {" } 0 \text {--trace" }} \subset H^{s}\left(\mathbb{R}^{n}\right) \subset \mathcal{D}^{*}\left(\mathbb{R}^{n}\right) \quad \underset{\text { restriction oper. }}{\mid} \quad H^{s}(\Gamma) \subset \mathcal{D}^{*}(\Gamma) \text {. }
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## Properties of Sobolev spaces on $\Gamma \subset \mathbb{R}^{n}$

When $\Gamma$ is Lipschitz it holds that

- $\widetilde{H}^{s}(\Gamma)=\left(H^{-s}(\Gamma)\right)^{*}$ with equal norms
- $s \in \mathbb{N} \Rightarrow\|u\|_{H^{s}(\Omega)}^{2} \sim \sum_{|\alpha| \leq s} \int_{\Omega}\left|\partial^{\alpha} u\right|^{2}$
- $\widetilde{H}^{s}(\Gamma)=H_{\Gamma}^{s} \quad\left(\cong H_{00}^{s}(\Gamma), s \geq 0\right)$
- $H_{\partial \Gamma}^{ \pm 1 / 2}=\{0\}$
- $\left\{H^{s}(\Gamma)\right\}_{s \in \mathbb{R}}$ and $\left\{\widetilde{H}^{s}(\Gamma)\right\}_{s \in \mathbb{R}}$ are interpolation scales.


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There exist many works on Sobolev (Besov,... ) spaces on rough sets; most use intrinsic definitions on (e.g.) $d$-sets.
Analogous to $W^{s}(\Gamma)$, based on $L^{p}\left(\Gamma, \mathcal{H}_{d}\right)$.
Related to spaces in $\mathbb{R}^{n}$ by traces. See: Jonsson-Wallin, Strichartz.
Our spaces are different, more suited for integral equations and BEM.

## Dirichlet BVP (Lipschitz open $\Gamma \subset \mathbb{R}^{n}$ )

## Problem D

Given $g_{\mathrm{D}} \in H^{1 / 2}(\Gamma)$ (e.g. $g_{\mathrm{D}}=-\left.u^{i}\right|_{\Gamma}$ ), find $u \in C^{2}(D) \cap W_{\text {loc }}^{1}(D)$ such that

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\begin{aligned}
\left(\Delta+k^{2}\right) u & =0 & & \text { in } D=\mathbb{R}^{n+1} \backslash \bar{\Gamma}, \\
u & =g_{\mathrm{D}} & & \text { on } \Gamma,
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and $u$ satisfies the Sommerfeld radiation condition.


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Theorem (cf. Stephan and Wendland '84, Stephan '87) If $\Gamma$ is Lipschitz then $\mathbf{D}$ has a unique solution for all $g_{\mathrm{D}} \in H^{1 / 2}(\Gamma)$.

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## Theorem (cf. Stephan and Wendland '84, Stephan '87)

 If $\Gamma$ is Lipschitz then $\mathbf{D}$ has a unique solution for all $g_{\mathrm{D}} \in H^{1 / 2}(\Gamma)$.BIE: $\quad S\left[\partial_{n} u\right]=-g_{D}$ representation: $u=-\mathcal{S}\left[\partial_{n} u\right]$
$\mathcal{S}: \widetilde{H}^{-1 / 2}(\Gamma) \rightarrow C^{2}(D) \cap W_{l o c}^{1}(D) \quad \mathcal{S} \phi(\mathbf{x}):=\int_{\Gamma} \Phi(\mathbf{x}, \mathbf{y}) \phi(\mathbf{y}) \mathrm{d} s(\mathbf{y}), \quad \mathbf{x} \in D$
S : $\widetilde{H}^{-1 / 2}(\Gamma) \rightarrow H^{1 / 2}(\Gamma)$

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\mathcal{S} \phi(\mathbf{x}):=\left.\gamma^{ \pm} \mathcal{S} \phi\right|_{\Gamma}(\mathbf{x}) \quad \mathbf{x} \in \Gamma
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S invertible,

$$
\Phi(\mathbf{x}, \mathbf{y}):=\mathrm{e}^{\mathrm{i} k|\mathbf{x}-\mathbf{y}|} / 4 \pi|\mathbf{x}-\mathbf{y}| \quad \text { (in 3D) }
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\left.\left(\gamma^{+} u\right)\right|_{\Gamma}=g_{D}=\left.\left.\left(\gamma^{-} u\right)\right|_{\Gamma} \quad \Rightarrow \quad[u]\right|_{\Gamma}=0 \quad \Rightarrow \quad[u] \in H_{\partial \Gamma}^{1 / 2} \subset H_{\bar{\Gamma}}^{1 / 2}
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- If $\widetilde{H}^{-1 / 2}(\Gamma) \neq H_{\bar{\Gamma}}^{-1 / 2}$ then $\exists 0 \neq \phi \in H_{\bar{\Gamma}}^{-1 / 2} \backslash \widetilde{H}^{-1 / 2}(\Gamma)$ with $\mathrm{S} \phi=0$ (S extended to $S: H_{\bar{\Gamma}}^{-1 / 2} \rightarrow H^{1 / 2}(\Gamma)$, continuous but not injective) Then $\mathcal{S} \phi$ satisfies homogeneous problem.


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We need to modify $\mathbf{D}$ to deal with this.


## Dirichlet BVP (arbitrary open $\Gamma$ )

## Problem $\widetilde{\mathbf{D}}$

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and $u$ satisfies the Sommerfeld radiation condition.

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Theorem (Chandler-Wilde \& Hewett 2013)
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## Theorem (Chandler-Wilde \& Hewett 2013)

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If $H_{\partial \Gamma}^{1 / 2}=\{0\} \quad$ then $\mathbf{D}^{\prime}$ is superfluous. If $\widetilde{H}^{-1 / 2}(\Gamma)=H_{\bar{\Gamma}}^{-1 / 2}$ then $\mathbf{D}^{\prime \prime}$ is superfluous. (E.g. if $\Gamma$ is $C^{0}$.) Two key questions: (i) when is $H_{\partial \Gamma}^{s}=\{0\}$ ? (ii) when is $\widetilde{H}^{s}(\Gamma)=H_{\bar{\Gamma}}^{s}$ ?

## Part II

## Two Sobolev space questions

## Key question \#1: nullity

Given a compact set $K \subset \mathbb{R}^{n}$ with empty interior (e.g. $K=\partial \Gamma$ ), for which $s \in \mathbb{R}$ is $H_{K}^{s} \neq\{0\}$ ?


## Key question \#1: nullity

Given a compact set $K \subset \mathbb{R}^{n}$ with empty interior (e.g. $K=\partial \Gamma$ ), for which $s \in \mathbb{R}$ is $H_{K}^{s} \neq\{0\}$ ?


## Terminology:

$H_{K}^{s}=\{0\} \Longleftrightarrow \nexists$ non-zero elements of $H^{s}$ supported inside $K$. We call such a set $K$ " $s$-null".

Other terminology exists: " ( $-\boldsymbol{s}$ )-polar" (Maz'ya, Littman), "set of uniqueness for $H^{s^{\prime \prime}}$ (Maz'ya, Adams/Hedberg).

## Nullity threshold

For every compact $K \subset \mathbb{R}^{n}$ with $\operatorname{int}(K)=\emptyset$,
$\exists s_{K} \in[-n / 2, n / 2]$, called the nullity threshold of $K$, such that $H_{K}^{s}=\{0\}$ for $s>s_{K}$ and $H_{K}^{s} \neq\{0\}$ for $s<s_{K}$.

$$
H_{K}^{s} \neq\{0\}
$$

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i.e. $K$ supports $H^{s}$ distributions i.e. $K$ cannot support $H^{s}$ distr.


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## Theorem (H \& M 2017)

If $m(K)=0$ then

$$
s_{K}=\frac{\operatorname{dim}_{H}(K)-n}{2} \leq 0
$$

## Theorem (Polking 1972)

$\exists$ compact $K$ with $\operatorname{int}(K)=\emptyset$ and $m(K)>0$ for which $H_{K}^{n / 2} \neq\{0\}$, so that $s_{K}=n / 2$.

Connection with $\operatorname{dim}_{H}$ comes from standard potential theory results (Maz'ya 2011, Adams \& Hedberg 1996 etc.)
Nullity theory $\sim$ complete for $m(K)=0$, open problems for $m(K)>0$.

## Key question \#2: identity of 0-trace spaces

$$
\text { Given an open set } \Gamma \subset \mathbb{R}^{n} \text {, when is } \widetilde{H}^{s}(\Gamma)=H_{\Gamma}^{s} ?
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Equivalent to density of $C_{0}^{\infty}(\Gamma)$ in $\left\{u \in H^{s}\left(\mathbb{R}^{n}\right): \operatorname{supp} u \subset \bar{\Gamma}\right\}$.

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Classical result (e.g. McLean)
Let $\Gamma \subset \mathbb{R}^{n}$ be $C^{0}$. Then $\widetilde{H}^{s}(\Gamma)=H_{\Gamma}^{s}$.

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Classical result (e.g. McLean)
Let $\Gamma \subset \mathbb{R}^{n}$ be $C^{0}$. Then $\widetilde{H}^{s}(\Gamma)=H_{\Gamma}^{s}$.
1st class of sets: "regular except at a few points", e.g. prefractal A

## Theorem (C-W, H \& M 2017)

Let $n \geq 2, \Gamma \subset \mathbb{R}^{n}$ open and $C^{0}$ except at finite $P \subset \partial \Gamma$. Then $\widetilde{H}^{s}(\Gamma)=H_{\Gamma}^{s}$ for $|s| \leq 1$.

- For $n=1$ the same holds for $|s| \leq 1 / 2$.
- Can take countable $P \subset \partial \Gamma$ with finitely many limit points in every bounded subset of $\partial \Gamma$.
Proof uses sequence of special cutoffs for $s=1$, duality, interpolation.


## Examples of non- $C^{0}$ sets with $\widetilde{H}^{s}(\Gamma)=H_{\Gamma^{\prime}}^{s},|s| \leq 1$

E.g. union of disjoint $C^{0}$ open sets, whose closures intersect only in $P$.


Sierpinski triangle prefractals, (unbounded) checkerboard, double brick, inner and outer (double) curved cusps, spiral, Fraenkel's "rooms and passages".

## Constructing counterexamples

Consider another class of sets:
"nice domain minus small holes".
E.g. when int $(\bar{\Gamma})$ is smooth.

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If $\operatorname{int}(\bar{\Gamma})$ is $C^{0}$ then $\widetilde{H}^{s}(\Gamma)=H_{\bar{\Gamma}}^{s} \Longleftrightarrow \operatorname{int}(\bar{\Gamma}) \backslash \Gamma$ is $(-s)$-null.

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## Corollary

For every $n \in \mathbb{N}$, there exists a bounded open set $\Gamma \subset \mathbb{R}^{n}$ such that,

$$
\widetilde{H}^{s}(\Gamma) \varsubsetneqq H_{\bar{\Gamma}}^{s}, \quad \forall s \geq-n / 2
$$

Proof: take a ball and remove a Polking set (not s-null for any $s \leq n / 2$ )
(Can also have $\widetilde{H}^{s}(\Gamma) \varsubsetneqq\left\{u \in H^{s}: u=0\right.$ a.e. in $\left.\Gamma^{c}\right\} \varsubsetneqq H_{\Gamma}^{s} \quad \forall s>0$.)

## Part III

## Formulations on general screens

## Prefractal convergence



## Prefractal convergence



## Theorem (C-W, H \& M 2017)

Consider a bounded sequence of nested open screens $\Gamma_{1} \subset \Gamma_{2} \subset \cdots$ For each $j$ let $u_{j}$ denote the solution of problem $\widetilde{\boldsymbol{D}}$ for $\Gamma_{j}$. Let $\Gamma:=\bigcup_{j \in \mathbb{N}} \Gamma_{j}$ and let $u$ denote the solution of problem $\widetilde{\boldsymbol{D}}$ for $\Gamma$. Then $u_{j} \rightarrow u$ as $j \rightarrow \infty$ (in $W_{l o c}^{1}(D)$ ).

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Proof:

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\widetilde{H}^{s}\left(\Gamma_{1}\right) \subset \widetilde{H}^{s}\left(\Gamma_{2}\right) \subset \cdots \quad \text { and } \quad \widetilde{H}^{s}\left(\bigcup_{j \in \mathbb{N}} \Gamma_{j}\right)=\overline{\bigcup_{j \in \mathbb{N}} \widetilde{H}^{s}\left(\Gamma_{j}\right)} .
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Then write BIEs in variational form and apply Céa's Lemma.

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Then write BIEs in variational form and apply Céa's Lemma.
What if we want to use $\Gamma_{1} \supset \Gamma_{2} \supset \cdots \rightarrow \Gamma$ ?
e.g. Cantor dust Need framework for closed screens.

## What about general screens?

For an open screen $\Gamma$, we imposed the $B C$ by restriction to $\Gamma$ :

$$
\left.\left(\gamma^{ \pm} \boldsymbol{u}\right)\right|_{\Gamma}=g_{\triangleright}
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and viewed $S$ as an operator

$$
S: \widetilde{H}^{-1 / 2}(\Gamma) \rightarrow H^{1 / 2}(\Gamma) \cong\left(\widetilde{H}^{-1 / 2}(\Gamma)\right)^{*} .
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we could equivalently impose the BC by orthogonal projection:

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This viewpoint suggests a way of writing down BVP formulations for general screens (even with $\operatorname{int}(\Gamma)=\emptyset$ ):

- replace $\widetilde{H}^{-1 / 2}(\Gamma)$ by some $V^{-} \subset H^{-1 / 2}\left(\mathbb{R}^{n}\right)$
- characterise $\left(V^{-}\right)^{*}$ as a subspace $V_{*}^{+} \subset H^{1 / 2}\left(\mathbb{R}^{n}\right)$
- impose BC by orthogonal projection onto $V_{*}^{+}$
- view S as an operator $\mathrm{S}: V^{-} \rightarrow V_{*}^{+}$


## Dirichlet BVP for general screens

Let $\Gamma$ be an arbitrary bounded subset of $\mathbb{R}^{n}$ (not necessarily open).

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\tilde{H}^{-1 / 2}(\operatorname{int}(\Gamma)) \subset V^{-} \subset H_{\bar{\Gamma}}^{-1 / 2}
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and define $V_{*}^{+} \cong\left(V^{-}\right)^{*}$ by $V_{*}^{+}:=\left(\left(V^{-}\right)^{a}\right)^{\perp} \subset H^{1 / 2}\left(\mathbb{R}^{n}\right)$.

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Here we are using the following fact:
Let $H, \mathcal{H}$ be Hilbert spaces with $H^{*} \cong \mathcal{H}$ (unit. isom.).
(E.g. $H=H^{-1 / 2}\left(\mathbb{R}^{n}\right), \mathcal{H}=H^{1 / 2}\left(\mathbb{R}^{n}\right)$.)

If $V \subset H$ is a closed subspace, $V^{*} \cong\left(V^{a, \mathcal{H}}\right)^{\perp, \mathcal{H}}$ (with inherited duality pairing)

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## Problem $\mathbf{D}\left(V^{-}\right)$

Given $g_{\mathrm{D}} \in V_{*}^{+}$(e.g. $g_{\mathrm{D}}=-P_{V_{*}^{+}} u^{i}$ ), find $u \in C^{2}(D) \cap W_{\text {loc }}^{1}(D)$ such that

$$
\begin{aligned}
& \left(\Delta+k^{2}\right) u=0 \quad \text { in } D, \\
& P_{V_{*}^{+}} \gamma^{ \pm} u=g_{\mathrm{D}}, \\
& {[u]=0,} \\
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Theorem (C-W \& H 2016)
Problem $\mathbf{D}\left(V^{-}\right)$is well-posed for any choice of $V^{-}$.

Operator $\mathrm{S}: V^{-} \rightarrow V_{*}^{+}$ inherits coercivity!

$$
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## Which formulation to use?

For any bounded $\Gamma$, each choice $\quad \widetilde{H}^{-1 / 2}(\operatorname{int}(\Gamma)) \subset V^{-} \subset H_{\bar{\Gamma}}^{-1 / 2}$ gives its own well-posed formulation $\mathbf{D}\left(V^{-}\right)$.

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## Theorem (C-W \& H 2018)

If $\widetilde{H}^{-1 / 2}(\operatorname{int}(\Gamma))=H_{\bar{\Gamma}}^{-1 / 2}$ there is only one such formulation.
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- $\Gamma_{1} \subset \Gamma_{2} \subset \cdots$ open and "nice" $\mid$ (e.g. Lipschitz)
- $\Gamma:=\bigcup_{j} \Gamma_{j}$ open (gray part),
$\rightarrow$ natural choice is

$$
V^{-}=\widetilde{H}^{-1 / 2}(\Gamma) .
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- $\Gamma_{1} \subset \Gamma_{2} \subset \cdots$ open and "nice" $\| \bullet \Gamma_{1} \supset \Gamma_{2} \supset \cdots$ closed and "nice" (e.g. Lipschitz)
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$\rightarrow$ natural choice is

$$
V^{-}=\widetilde{H}^{-1 / 2}(\Gamma)
$$

(e.g. closure of Lipschitz)

- $\Gamma:=\bigcap_{j} \Gamma_{j}$ closed (black part),
$\rightarrow$ natural choice is

$$
V^{-}=H_{\Gamma}^{-1 / 2}
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## What if prefractals are not nested?

What if prefractals $\Gamma_{j}$ are neither increasing nor decreasing? $\Gamma_{j \neq}^{\not \subset} \Gamma_{j+1}$


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Key tool is Mosco convergence (Mosco 1969):
$V_{j}, V$ closed subspaces of Hilbert space $H, j \in \mathbb{N}$, then $V_{j} \xrightarrow{\mathcal{M}} V$ if:

- $\forall v \in V, j \in \mathbb{N}, \exists v_{j} \in V_{j}$ s.t. $v_{j} \rightarrow v \quad$ (strong approximability)
- $\forall\left(j_{m}\right)$ subsequence of $\mathbb{N}, v_{j_{m}} \in V_{j_{m}}$ for $m \in \mathbb{N}, v_{j_{m}} \rightharpoonup v$, then $v \in V$
(weak closure)
Think: $H=H^{-1 / 2}\left(\mathbb{R}^{n}\right), \quad V_{j}=\widetilde{H}^{-1 / 2}\left(\Gamma_{j}\right), \quad \widetilde{H}^{-1 / 2}(\operatorname{int}(\Gamma)) \subset V \subset H_{\bar{\Gamma}}^{-1 / 2}$


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Think: $H=H^{-1 / 2}\left(\mathbb{R}^{n}\right), \quad V_{j}=\widetilde{H}^{-1 / 2}\left(\Gamma_{j}\right), \quad \widetilde{H}^{-1 / 2}(\operatorname{int}(\Gamma)) \subset V \subset H_{\bar{\Gamma}}^{-1 / 2}$
Theorem (C-W, H \& M, 2018)
If $V_{j} \xrightarrow{\mathcal{M}} V \subset H^{-1 / 2}\left(\mathbb{R}^{n}\right)$ then solution of $\mathbf{D}\left(V_{j}\right)$ converges to sol.n of $\mathbf{D}(V)$ Holds for square snowflake above with $V=\widetilde{H}^{-1 / 2}(\operatorname{int}(\Gamma))=H_{\bar{\Gamma}}^{-1 / 2}$


## When is $u=0$ ?

## Theorem (C-W \& H 2018)

Let $\Gamma$ be closed with empty interior and let $V^{-}=H_{\Gamma}^{-1 / 2}$.

- If $\operatorname{dim}_{\mathrm{H}} \Gamma<n-1$ then $u=0$ for every incident direction $\mathbf{d}$.
- If $\operatorname{dim}_{\mathrm{H}} \Gamma>n-1$ then $u \neq 0$ for a.e. incident direction $\mathbf{d}$.


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- If $\operatorname{dim}_{H} \Gamma>n-1$ then $u \neq 0$ for a.e. incident direction d.

So both the Sierpinski triangle $\left(\operatorname{dim}_{H}=\log 3 / \log 2\right)$ and pentaflake $\left(\operatorname{dim}_{H}=\log 6 / \log ((3+\sqrt{5}) / 2)\right)$ generate a non-zero scattered field:


## Back to the Cantor dust

Let $C_{\alpha}^{2}:=C_{\alpha} \times C_{\alpha} \subset \mathbb{R}^{2}$ denote the "Cantor dust" ( $0<\alpha<1 / 2$ ):

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Question: Is the scattered field $u$ zero or non-zero for the 3D Dirichlet scattering problem with $\Gamma=C_{\alpha}^{2}$ ?

## Back to the Cantor dust

Let $C_{\alpha}^{2}:=C_{\alpha} \times C_{\alpha} \subset \mathbb{R}^{2}$ denote the "Cantor dust" ( $0<\alpha<1 / 2$ ):

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\text { :: }::
\end{array}
$$



Question: Is the scattered field $u$ zero or non-zero for the 3D Dirichlet scattering problem with $\Gamma=C_{\alpha}^{2}$ ?

$$
\operatorname{dim}_{\mathrm{H}}\left(C_{\alpha}^{2}\right)=\frac{\log (4)}{\log (1 / \alpha)}
$$

Answer:
$u=0$, if $0<\alpha \leq 1 / 4$;
$u \neq 0$, in general, if $1 / 4<\alpha<1 / 2$.
( $u=0$ for all $\alpha$ for Neumann BCs)

## Part IV

Numerical approximation

## Boundary element method (BEM)



For each prefractal $\Gamma_{j}$, the $\mathrm{BIE} \mathrm{S}[\partial u / \partial n]=-g_{\mathrm{D}}$ can be solved using a standard BEM space, e.g. piecewise constants on a mesh of width $h_{j}$. Let $w_{j}$ denote the Galerkin BEM solution on $\Gamma_{j}$. Let $l_{j}=\alpha^{j}$ be the width of each component of $\Gamma_{j}$ ( $4^{j}$ of them).

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Follows from Mosco convergence of BEM spaces.
This requires approximability $\left(\forall v \in H_{\Gamma}^{-1 / 2} \exists v_{j} \in \widetilde{H}^{-1 / 2}\left(\Gamma_{j}\right), v_{j} \rightarrow v\right)$ : proved with mollification, $L^{2}$ projection, partition of unity, ...

## Convergence results for the Cantor dust

## Theorem (C-W, H \& M 2018)

Suppose $\exists-1 / 2<t<0$ such that $H_{\Gamma}^{t}$ is dense in $H_{\Gamma}^{-1 / 2}$. Then $\exists \mu=\mu(t)>0$ such that if $h_{j} / l_{j}=O\left(\mathrm{e}^{-\mu j}\right)$ then $w_{j} \rightarrow u$ as $j \rightarrow \infty$.

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Certainly not sharp!

- $h_{j} / l_{j}=O\left(\mathrm{e}^{-\mu j}\right)$ is a severe restriction
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We can do better if we replace $\Gamma_{j}$ by "fattened" versions: $\tilde{\Gamma}_{j}=\left\{x: \operatorname{dist}\left(x, \Gamma_{j}\right)<\varepsilon l_{j}\right\}$ for some $0<\varepsilon<\min \left\{\alpha, \frac{1}{2}-\alpha\right\}$.

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We require condition weaker than $h_{j}=o\left(l_{j}\right)$ if $H_{\Gamma}^{t}$ is dense in $H_{\Gamma}^{-1 / 2}$.
For simplicity, I'll show results on prefractals for \#DOF fixed but large.

## Numerical results: Cantor dust $\alpha=1 / 3(u \neq 0)$

$k=25, \quad 4096$ DOFs, prefractal level 1


## Numerical results: Cantor dust $\alpha=1 / 3(u \neq 0)$

$k=25,4096$ DOFs, prefractal level 2


## Numerical results: Cantor dust $\alpha=1 / 3(u \neq 0)$

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## Numerical results: Cantor dust $\alpha=1 / 3(u \neq 0)$

$k=25,4096$ DOFs, prefractal level 5


## Numerical results: Cantor dust $\alpha=1 / 3(u \neq 0)$

$k=25,4096$ DOFs, prefractal level 6


## Numerical results: Cantor dust $\alpha=0.1(u=0)$

$k=25, \quad 4096$ DOFs, prefractal level 1


Magnitude density [[du/dn]|
$\square$


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Magnitude density |[du/dn]|
$\times 10^{6}$
$-3.14:$
-3.14
-3.138
3.136
3.136
$-3.136$
-3.13 .
3.13:
3.13
$3.12 \varepsilon$
3.128
$3.12 t$
3.126
3.124
3.126

## Convergence of BEM solution norms: Cantor dust



Norms of the solution on the prefractals converge:

- to a positive constant values for $\alpha=1 / 3$ (left),
- to 0 for $\alpha=1 / 10$ (right).


## Numerical results: Sierpinski triangle

$k=45, \quad$ prefractal level 0, 2209 DOFs



## Numerical results: Sierpinski triangle

$k=45, \quad$ prefractal level 1, 2187 DOFs



## Numerical results: Sierpinski triangle

$k=45, \quad$ prefractal level 2, 2304 DOFs



## Numerical results: Sierpinski triangle

$k=45, \quad$ prefractal level 3, 2187 DOFs



## Numerical results: Sierpinski triangle

$k=45, \quad$ prefractal level 4, 2916 DOFs



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$k=45, \quad$ prefractal level 6, 2916 DOFs



## Numerical results: Sierpinski triangle

$k=45, \quad$ prefractal level 7, 2187 DOFs



## Convergence of BEM solutions: Sierpinski triangle




Right: $\frac{\left\|w_{j}-w_{7}\right\|_{L^{2}(\text { BOX })}}{\left\|w_{7}\right\|_{L^{2}(B O X)}}, \quad \frac{\left\|w_{j}-w_{7}\right\|_{L^{2}(\text { FarField })}}{\left\|w_{7}\right\|_{L^{2}(\text { FarField })}}$.
(Prefractal level 3 is when density maxima are located and all wavelength-size prefractal features are resolved: big error reduction!)

## Other shapes

$\triangleleft$ Sierpinski carpet.

## Real part scattered field



$\triangle$ "Square snowflake", limit of non-monotonic prefractals.

## Apertures

Field through bounded apertures in unbounded Neumann screens computed via Babinet's principle.

$n=1$, Cantor set $\alpha=1 / 3$, prefractal level 12: field through 0-measure holes!

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Field through bounded apertures in unbounded Neumann screens computed via Babinet's principle.

$n=1$, Cantor set $\alpha=1 / 3$, prefractal level 12: field through 0-measure holes!

Koch snowflake-shaped aperture.

## Experimental functional analysis!

Question: for $\Gamma$ the open Koch snowflake, is $\widetilde{H}^{ \pm 1 / 2}(\Gamma)=H_{\bar{\Gamma}}^{ \pm 1 / 2} ?$

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We can approximate $\Gamma$ from inside and outside with polygons $\Gamma_{j}^{ \pm}$:

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\Gamma_{1}^{-} \subset \underset{\text { open }}{\Gamma_{2}^{-} \subset \Gamma_{3}^{-} \subset \cdots \subset \bigcup_{j \in \mathbb{N}} \Gamma_{j}^{-}=\Gamma \subset \bar{\Gamma}=\bigcap_{j \in \mathbb{N}} \Gamma_{j}^{+} \subset \cdots \subset \Gamma_{3}^{+} \subset \Gamma_{2}^{+} \subset \Gamma_{1}^{+} . . . \text {.losed }}
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For a scattering BVP, $u_{j}^{-} \rightarrow u^{-} \in \widetilde{H}^{-1 / 2}(\Gamma), \quad u_{j}^{+} \rightarrow u^{+} \in H_{\bar{\Gamma}}^{-1 / 2}$, $u^{ \pm}$solution of BVPs in $\Gamma$ and in $\bar{\Gamma}$.

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We study numerically if $u^{-} \stackrel{?}{=} u^{+}$, i.e. if inner and outer limits coincide.

## Real part of fields on inner and outer prefractals


$k=61, \mathbf{d}=\left(0, \frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}\right)^{\top}, 3576$ to 10344 DOFs, different colour scales.
Now I compare $w_{j}^{-}$against $w_{j-1}^{+}$and $w_{j}^{+}$.

## Inner and outer snowflake approximations

Blue lines are $\left\|w_{j}^{-}-w_{l}^{+}\right\|_{H^{-1 / 2}\left(\mathbb{R}^{2}\right)}$, converging fast to 0 ! Evidence for $\widetilde{H}^{ \pm 1 / 2}(\Gamma)=H_{\bar{\Gamma}}^{ \pm 1 / 2}$ ?







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We can now prove $\widetilde{H}^{s}(\Gamma)=H_{\Gamma}^{s} \forall s \in \mathbb{R}$ for a class of snowflakes!

## Open questions

- How best to do numerical analysis in the joint limit of prefractal level and mesh refinement?
- Rates of convergence?
- Regularity theory for the fractal solution?
- Relation with "intrinsic" spaces?
- Approximation on fractals!
- What about curved screens?
- What about the Maxwell case? Other PDEs?
(Laplace, reaction-diffusion already covered.)


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## Thank you!

