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Health Analytics: how to exploit complex data to inform Precision Medicine and support Clinical Decision Making

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About me



Biosketch

Associate Professor of Statistics at MOX (2020-today)

Associate Head of the Center for Health Data Science @ Human Technopole (2021-today)

Senior Researcher in Statistics at MOX (2016-2020)

Junior Researcher in Probability and Statistics at Dept. of Mathematics, Università degli Studi di Milano (2013-2016)

Visiting at MRC Biostatistic Unit @ Cambridge (2013)

PhD in Mathematical Models and Methods for Engineering (2012)

MD in Mathematical Engineering (2008)

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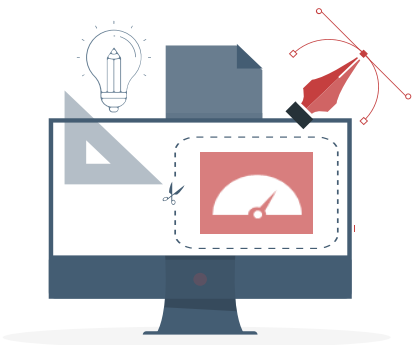
Research interests

My research is mainly focused on **statistical learning in biomedical context**, from a methodological and applied point of view. In particular, I deal with **health analytics for complex data in medicine**.

The most part of my activity is concerned with modelling data coming from integration of clinical surveys and administrative databanks. This data drove her scientific interest towards the study of **frailty Multi State Models and Stochastic processes** for *disease progression*, as well as Mathematical Modeling (**Multilevel models and Bayesian nonparametric hierarchical models**) for *Evaluation of Healthcare Processes*.

Moreover, I deepened the study of **depth measures for (multivariate) Functional Data and Functional Data Analysis** applied to *Pharmacoepidemiological* setting for addressing research issues concerning the analysis of complex data like *vital signs* or time varying covariates describing *drugs intake or biomarker evaluation within personalized predictive models*.

In the last years, I enlarged her interests to the study of **Machine Learning and Representation Learning** techniques, aimed at including fingerprints that patients provide in terms of *genomic or medical imaging data into predictive models for personalized medicine*.



- 🧠 Background & Setting
 - From the one-fits-all paradigm to Precision Medicine
 - Two ways for supporting decision medicine
 - Data sources and Health Analytics on Real World Data

- 🧠 Case studies
 - Clinical Registries & Administrative Data
 - Genomic Data
 - Medical Imaging

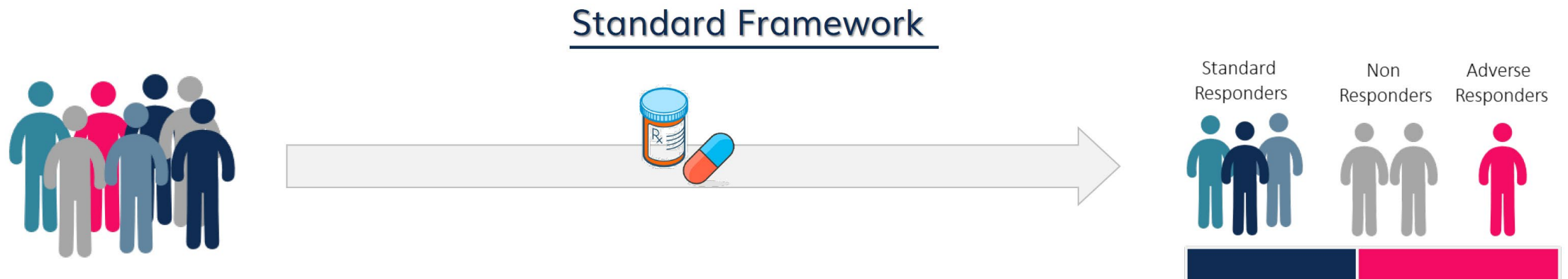
- 🧠 Take home messages

- 🧠 References



Background: Precision Medicine to support clinical decisions

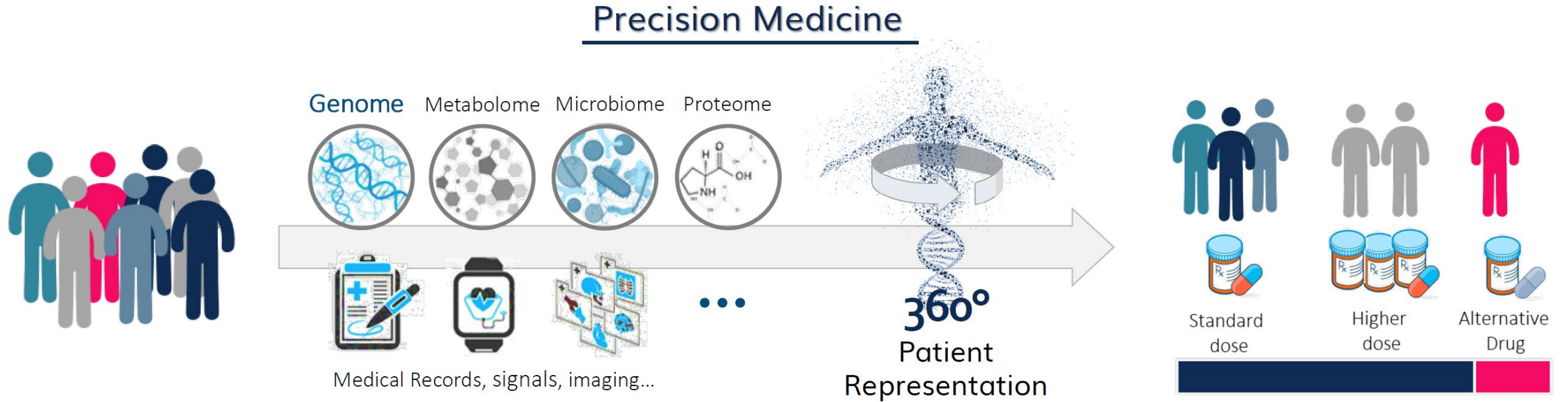
- The medical practice is currently undergoing a transformative era, shifting the paradigm from the primarily reactive medicine of the past to a more proactive and predictive medicine, and trying to outdo the traditional **one-size-fits-all approach** designed for the **average patient**.



- This **new paradigm** takes the name of **Precision Medicine**. Rather than treating a disease, the attention now is moving toward treating the individual patients. In other words, this methodological framework seeks to include a range of personal data in order to build a *Patient Representation*, that *combined with a tailored modelling* can answer relevant clinical research questions and **support clinical decisions**.



Background: Precision Medicine to support clinical decisions



- The power of precision medicine lies in its ability to **guide healthcare decisions** toward the most effective treatment for each individual, and thus, improve care quality, while reducing the need for unnecessary diagnostic testing and therapies.

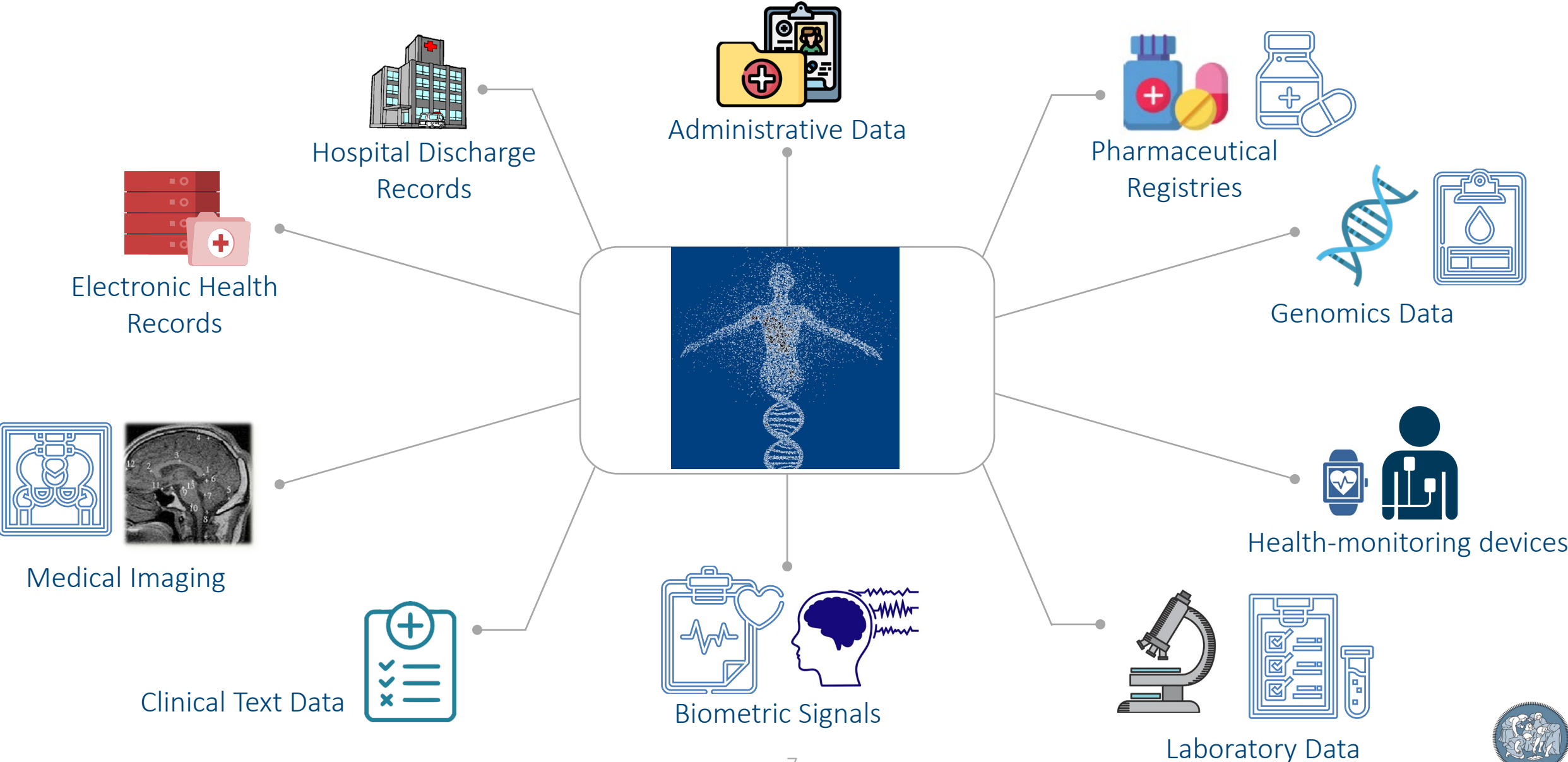


Background: Precision Medicine to support clinical decisions

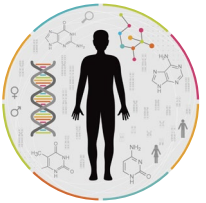
- There are essentially **two ways for supporting decision making in healthcare**:
 - **Supporting the Policy Making** with Real World Evidence
 - **Supporting Clinicians** with advanced analytics to exploit the potential of AI in medicine ★
- The **synthesis of the two is still far to come**, but represents the main challenge of the healthcare research.
- **Today**: focus on ★ and on challenges related to dealing with complex high dimensional data coming from modern clinical practice => explore situations where the use of advanced analytics designed on complex, multi modal and multi omics data allows for an effective support of clinical decision making in the oncological setting.
- **Examples**:
 1. Joint use of Functional Data Analysis within a time-to-event framework as a tool for risk stratification and personalized prediction, motivated by a real problem where the overall survival of patients affected by chronic conditions, in a pharmacoepidemiological setting.
 2. Use of Machine Learning techniques for predicting the development of toxicity adverse events due to radiotherapy in prostate cancer patients, starting from genomic information.
 3. Assessment of the potential of the virtual biopsy in predictive the treatment response of the patients.



Healthcare Real World Data & Patient Representation



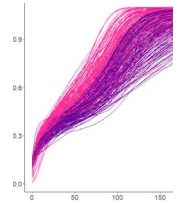
Health Analytics @MOX



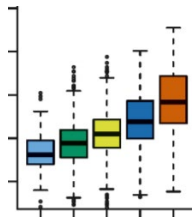
Representation Learning



Machine Learning



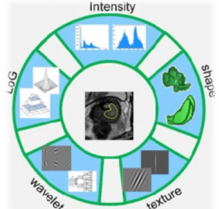
Functional Data Analysis



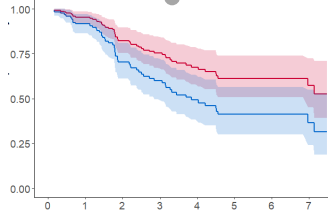
Nonparametric Statistics



Mixed Effect Models



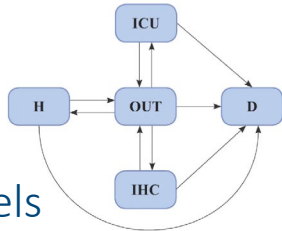
Personalized Medicine



Survival Analysis



Pharmacoepidemiology



Multistate Models





Block I

Data sources: Clinical Registries and Administrative Data

Methods: Functional Data Analysis – Stochastic Process Theory – Survival Modelling

Why Heart Failure



- Heart Failure (HF) is **widespread** all over the world (especially for > 65 years)
- HF is **chronic** disease characterized by a **high morbidity** and **mortality**
- Advances in **therapy** are changing the prognosis and **improving survival** with

✓ *reduction in symptoms*

✓ *decrease in the rate of hospitalizations*

✓ *prevention of premature death*



- Two key characteristics in HF treatment:



Re-hospitalizations



Drugs consumption

Angiotensin-Converting Enzyme (*ACE*) inhibitors
Beta-Blocking (*BB*) agents
Anti-Aldosterone (*AA*) agents

Domande che traducono supporto alle decisioni:

Gestione «ottimale» del pz cronico (tanti) passa da

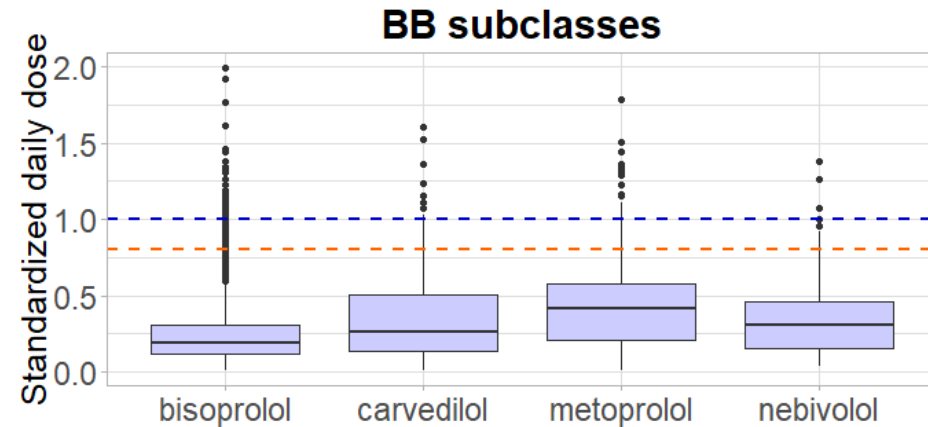
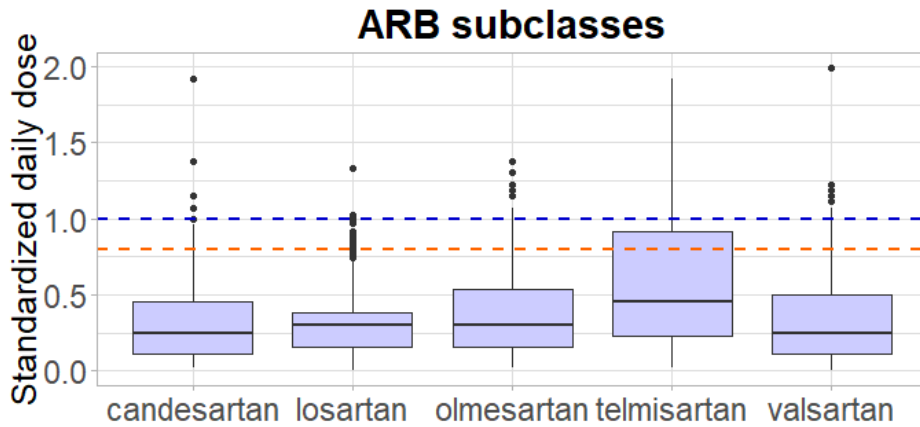
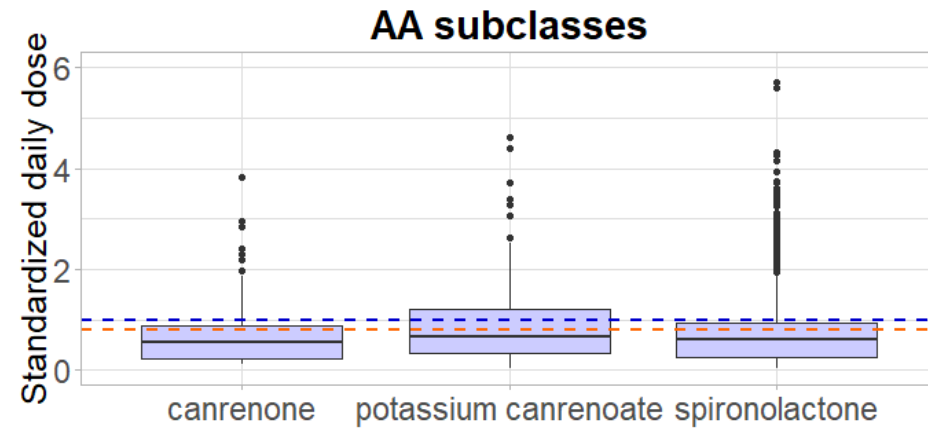
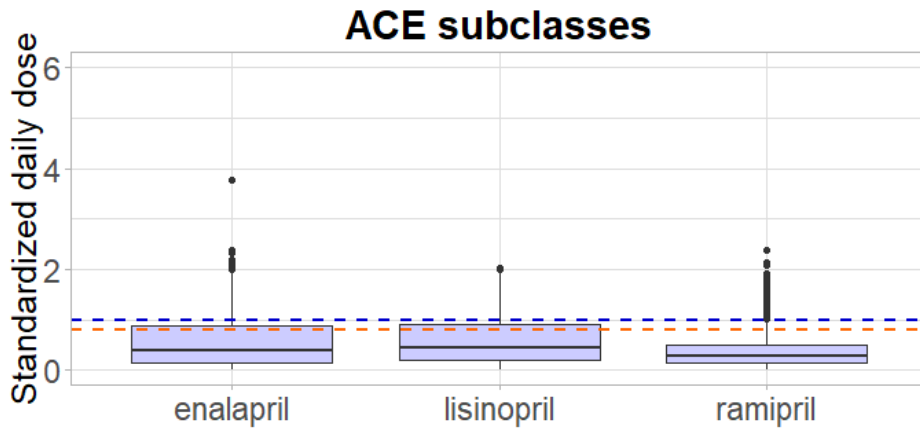
- Sua capacità di essere aderente a terapia
- Comprensione di come questo influisce su endpoint primari e secondari (ie sopravvivenza e riospedalizzazioni)

=> Quantificazione consente valutazioni economiche, costo/efficacia e quindi informa le policies in sanità

*How does proper/improper adherence to medication affect survival in Heart Failure?
What is the impact of re-hospitalizations and subsequent drugs consumption on survival?*



Why drugs?



Spreafico *et al.* (2020)
Adherence to disease-modifying therapy in patients hospitalized for Heart Failure: findings from a community-based study.
American Journal of Cardiovascular Drugs, 20: 179–190



Data & Information retrieval

Heart Failure project



Complex data integration among different administrative databases:
anagraphic, hospital discharge cards (SDO), pharmacological registries



Regione Lombardia



Personal characteristics



Date of birth
Gender
Date of death

Hospitalizations



Date of admission
Length of stay
Comorbidities

Pharmaceutical purchases



Date of purchase
ATC code
Covered days

Dataset

Anonymous information from Lombardy Region administrative RWD about **4,541 new-incident patients** hospitalized in 2006-2012 with primary diagnosis of HF.

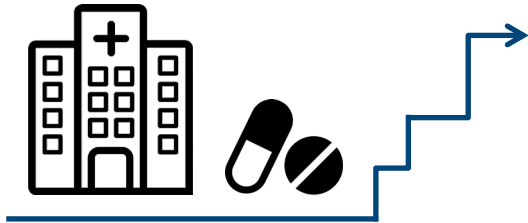
- Four time-varying processes: **Re-hospitalizations and drugs purchases** [Angiotensin-Converting Enzyme (ACE) inhibitors + Angiotensin Receptor Blockers (ARB), Beta Blockers (BB), Anti-Aldosterone (AA) agents]
- Time-to-event outcome: **Long-term survival**



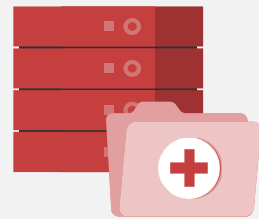
Data & Information retrieval



How can we *model the processes of re-hospitalizations and subsequent drugs consumption over time in HF patients?*
What is their *impact on long-term survival?*



- Processes of re-hospitalizations and drug purchases → stochastic process with **recurrent events**.
=> Need to model the **trajectories of the compensators** underlying the processes.



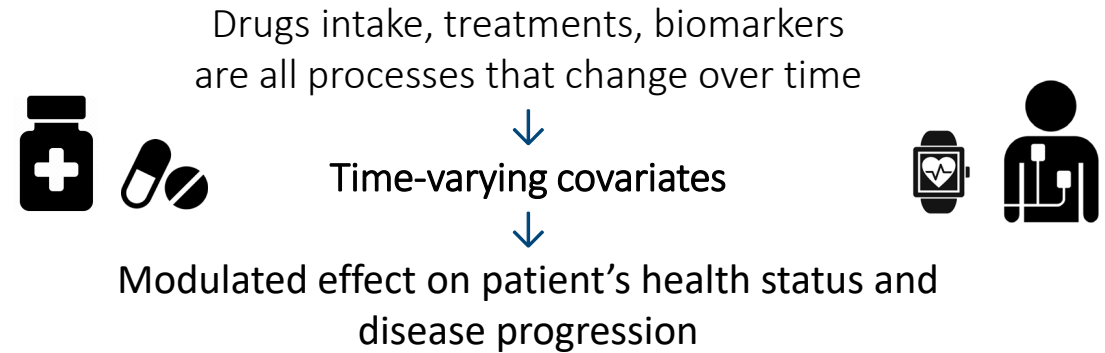
- **Administrative Real-World Data (RWD)** → Real-time monitoring of population-based records
 - Patients' **clinical history of hospitalizations or drugs consumption** could be reconstructed using:
 - i. administrative data related to admission to hospital (Hospital Discharge Charts);
 - ii. pharmaceutical purchases registries.
- **Develop methodologies able to extract** from RWD **additional information** related to these events in a novel and **tailored** way, properly taking into account their possibly **time-varying** nature.

Mazzali *et al.* (2016). Methodological issues on the use of administrative data in healthcare research: the case of heart failure hospitalizations in Lombardy Region, 2000 to 2012. *BMC Health Services Research*, 16 (1): 234

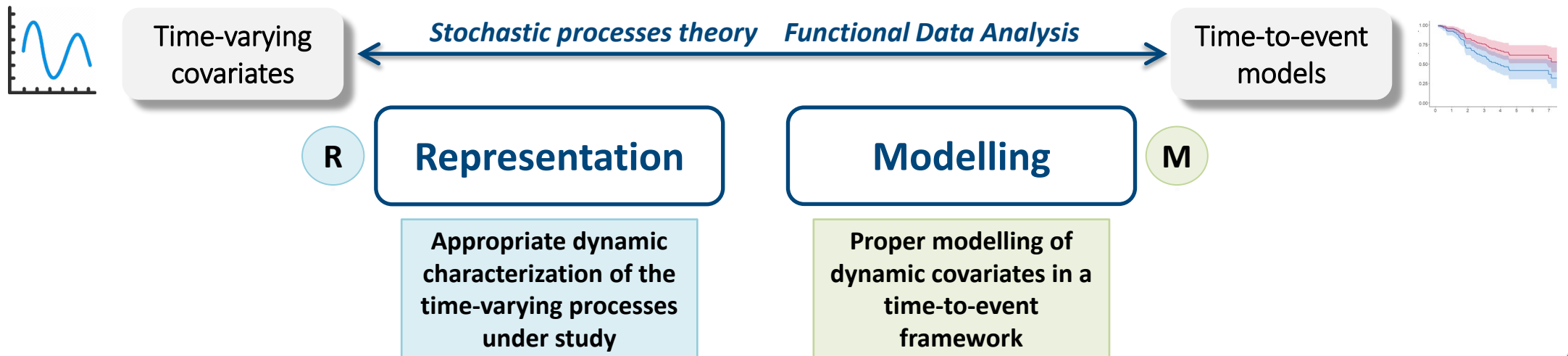


Research Questions

Characterizing the association between **time-varying** covariates and **time-to-event outcomes** (e.g. death) is a **challenging problem** in the actual clinical/healthcare setting



Idea: representation of dynamic covariates in terms of **functional data** + dimensionality reduction to plug them into **Cox type regression models**.



Functional modeling of recurrent events on time-to-event processes

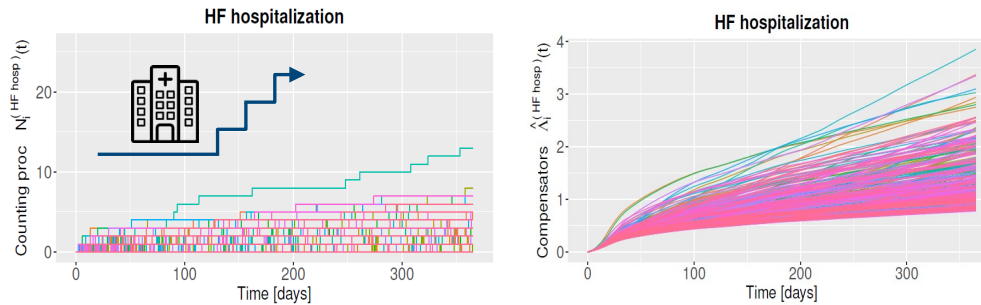
Administrative
databases

Time-varying
recurrent events

Functional
representations

Dimensionality
Reduction

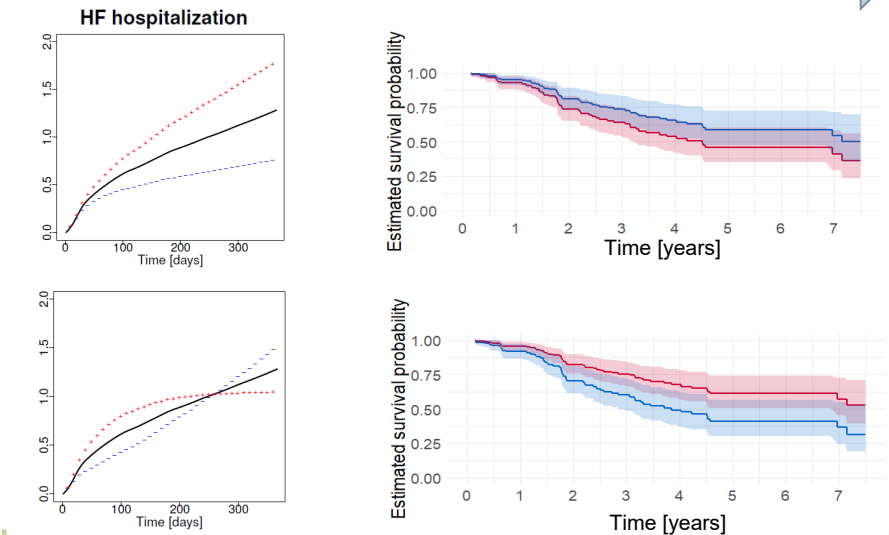
Survival
Analysis



R *Marked Point Process formulation
for Recurrent Events (MPPRE)*

Retrieve functional trajectories of the compensators of such processes (which may represent the rate at which events happen) by means of **Functional Data Analysis** theory.

Spreafico, M., Ieva, F. (2021). Functional modeling of recurrent events on time-to-event processes. *Biometrical Journal*. doi: 10.1002/bimj.202000374



*Multivariate Functional Linear Cox
Regression Model (MFLCRM)*

To quantify the association between the functional compensators and time-to-death.



Counting process formulation



Concurrent event processes: *re-hospitalizations, drugs purchases.*

Proper modeling of the concurrent process enables a useful quantification of the effects of the concurrent process on the dynamics of the outcome.

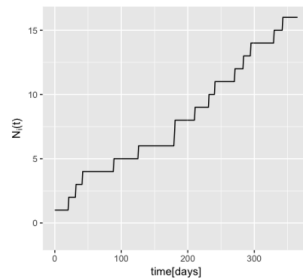
A *counting process* is a stochastic process $\{N(t), t \geq 0\}$ with values that are non-negative, integer, and non-decreasing:
 $N(t) \geq 0$, with jumps of size +1.

- The stochastic **intensity process** $\lambda(t)$ of the counting process $N(t)$ adapted to a filtration $\{\mathcal{F}_t, t \geq 0\}$ is:

$$\lambda(t) = \lim_{h \rightarrow 0} \frac{1}{h} \mathbb{E} [N(t+h) - N(t) | \mathcal{F}_t]$$

- Counting process formulation for Recurrent Events (Doob-Meyer decomposition):

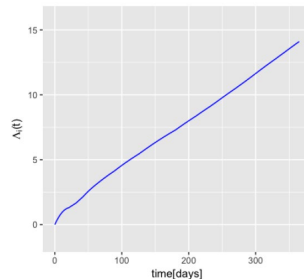
Counting process



$$N(t)$$

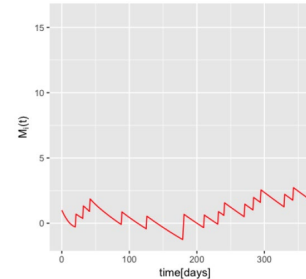
D-M
=

Compensator



$$= \Lambda(t) = \int_0^t \lambda(s) ds +$$

Martingale residual



$$M(t)$$



A counting process where **jumps may have different size** can be modeled as a point process, assuming that a given distribution regulates the size of the jumps.



Cumulative hazard function of MPP intensity

- **Marked Point Process (MPP):** couple of processes describing the behavior of **jumps** and **marks**, whose intensity for individual i related to process h may be modeled as follows

$$\underbrace{\lambda_i^{(h)}(t, \mathbf{m}_i^{(h)})}_{\text{Conditional intensity function}} = \underbrace{\lambda_{ig}^{(h)}(t)}_{\text{Ground Intensity}} \underbrace{f_i^{(h)}(\mathbf{m}_i^{(h)})}_{\text{Multivariate density of the marks } \mathbf{m}_i^{(h)}}$$

HP: conditional independence of jump times and marks

- Let $N_i^{(h)}(t)$ be the stochastic process which counts the observed events of type $h \in \mathcal{H}$ for the i -th individual ($i = 1, \dots, n$) with possibly censored observations of multiple events. The following distribution for the **conditional intensity function** is assumed:

$$\lambda_i^{(h)}(t, \mathbf{m}_i^{(h)}) = Y_i^{(h)}(t) \lambda_0^{(h)}(t) \exp \left\{ \boldsymbol{\beta}^{(h)T} \mathbf{x}_i^{(h)}(t) + \boldsymbol{\gamma}^{(h)T} \mathbf{z}_i^{(h)}(t) \right\} = \lambda_i^{(h)}(t)$$

marks \leftrightarrow covariates
 $\mathbf{m}_i^{(h)} \leftrightarrow \mathbf{z}_i^{(h)}(t)$

Idea: reconstruction of the **hazard function** of the marked counting process (i.e., the **compensator**) that describes the time-varying event of interest

Cumulative hazard function or Compensator

$$\Lambda_i^{(h)}(t) = \int_0^t \lambda_i^{(h)}(s) ds = \sum_{j=1}^{N_i^{(h)}(t)} \exp \left\{ \boldsymbol{\beta}^{(h)T} \mathbf{x}_i^{(h)}(t_{i,j-1}) + \boldsymbol{\gamma}^{(h)T} \mathbf{z}_i^{(h)}(t_{i,j-1}) \right\} \left[\Lambda_0^{(h)}(\min(t_{i,j}^{(h)}, t)) - \Lambda_0^{(h)}(t_{i,j-1}^{(h)}) \right]$$

- h = type of recurrent event process
- $\mathbf{x}_i^{(h)}(t)$ = covariates of the with coefficients $\boldsymbol{\beta}^{(h)}$
- $\mathbf{z}_i^{(h)}(t)$ = covariates related to the marks $\mathbf{m}_i^{(h)}$ with coefficients $\boldsymbol{\gamma}^{(h)}$
- i = individual index
- $0 = t_{i,0}^{(h)} < t_{i,1}^{(h)} < \dots < t_{i,N_i^{(h)}(t)}^{(h)}$ sequence of jump times
- $\Lambda_0^{(h)}(t) = \int_0^t \lambda_0^{(h)}(s) ds$ is the cumulative baseline hazard function



Realizations of each compensator $\Lambda_i^{(h)}(t)$ and relative estimate $\widehat{\Lambda}_i^{(h)}(t)$

For each recurrent event process $h \in \mathcal{H}$, let $0 = t_{i,0}^{(h)} < t_{i,1}^{(h)} < \dots < t_{i,N_i^{(h)}(\tau)}^{(h)}$ be the sequence of jump times related to process $N_i^{(h)}(t)$ for individual i , with τ being the censoring time (possibly equal for all individuals or not)

$$\begin{aligned} \Lambda_i^{(h)}(t) &= \int_0^t \lambda_i^{(h)}(s) ds = \int_0^t Y_i^{(h)}(s) \lambda_0^{(h)}(s) \exp \left\{ \boldsymbol{\beta}^{(h)T} \mathbf{x}_i^{(h)}(s) + \boldsymbol{\gamma}^{(h)T} \mathbf{z}_i^{(h)}(s) \right\} ds \\ &= \sum_{j=1}^{N_i^{(h)}(t)} \int_{t_{i,j-1}^{(h)}}^{\min(t_{i,j}^{(h)}, t)} \lambda_0(s) \exp \left\{ \boldsymbol{\beta}^{(h)T} \mathbf{x}_i^{(h)}(t_{i,j-1}) + \boldsymbol{\gamma}^{(h)T} \mathbf{z}_i^{(h)}(t_{i,j-1}) \right\} ds \\ &= \sum_{j=1}^{N_i^{(h)}(t)} \underbrace{\exp \left\{ \boldsymbol{\beta}^{(h)T} \mathbf{x}_i^{(h)}(t_{i,j-1}) + \boldsymbol{\gamma}^{(h)T} \mathbf{z}_i^{(h)}(t_{i,j-1}) \right\}}_{\substack{\text{Partial likelihood estimation} \\ (\widehat{\boldsymbol{\beta}}^{(h)}, \widehat{\boldsymbol{\gamma}}^{(h)})}} \underbrace{\left[\Lambda_0^{(h)} \left(\min(t_{i,j}^{(h)}, t) \right) - \Lambda_0^{(h)} \left(t_{i,j-1}^{(h)} \right) \right]}_{\substack{\text{Breslow estimators} \\ \downarrow \\ \text{Constrained smoothing} \\ \widetilde{\Lambda}_0^{(h)}(t)}} \end{aligned}$$

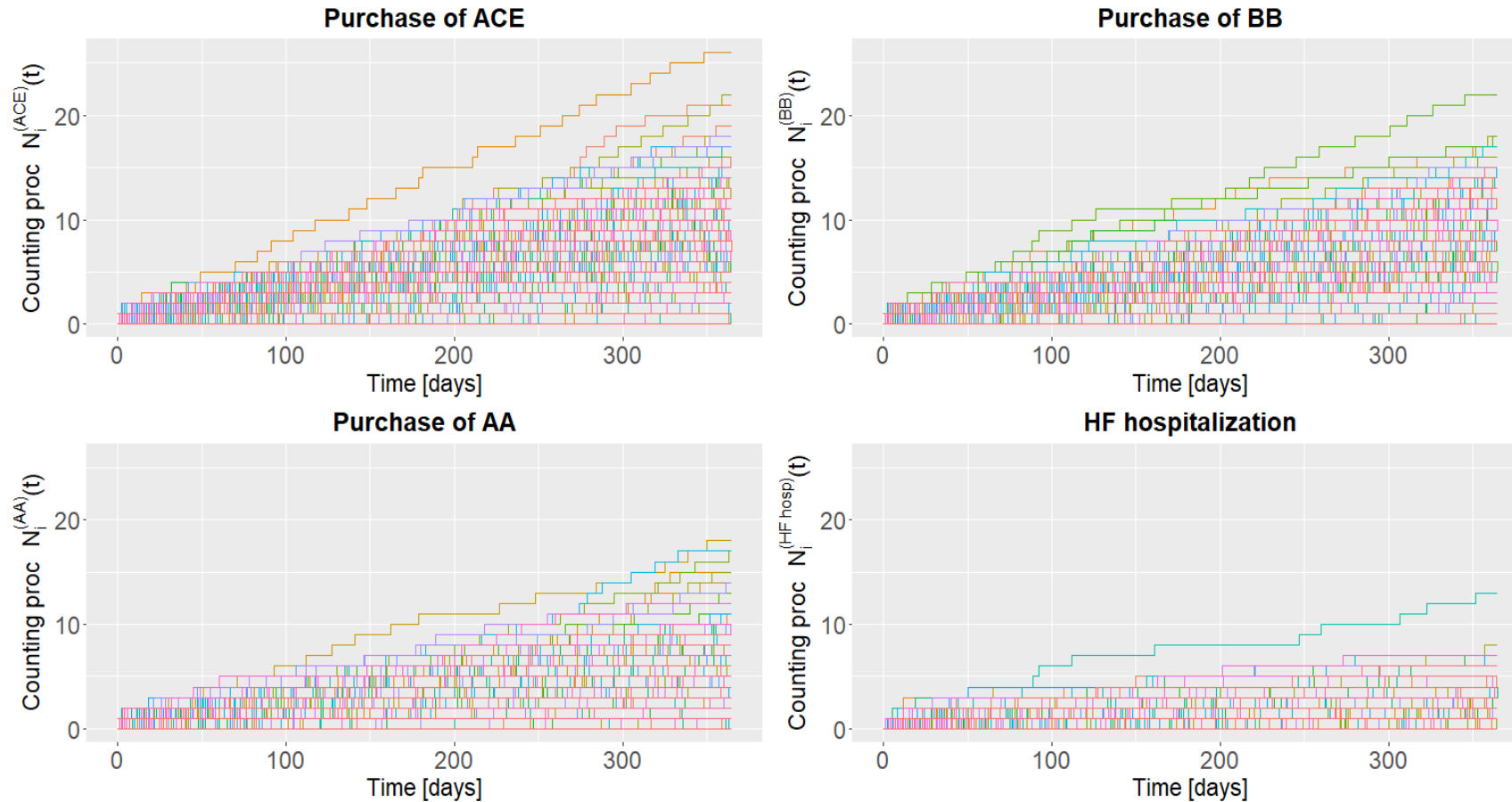
$$\widehat{\Lambda}_i^{(h)}(t) = \sum_{j=1}^{N_i^{(h)}(t)} \exp \left\{ \widehat{\boldsymbol{\beta}}^{(h)T} \mathbf{x}_i^{(h)}(t_{i,j-1}) + \widehat{\boldsymbol{\gamma}}^{(h)T} \mathbf{z}_i^{(h)}(t_{i,j-1}) \right\} \left[\widetilde{\Lambda}_0^{(h)} \left(\min(t_{i,j}^{(h)}, t) \right) - \widetilde{\Lambda}_0^{(h)} \left(t_{i,j-1}^{(h)} \right) \right]$$



MPP approach for drug purchases and HF re-hospitalizations

Drug purchases (ACE or BB or AA) and HF re-hospitalizations events can be seen as a marked point processes (MPPs) with:

- *jump times* equal to event times
- *jump marks* equal to the *duration of the prescription* or *length of stay in hospital*

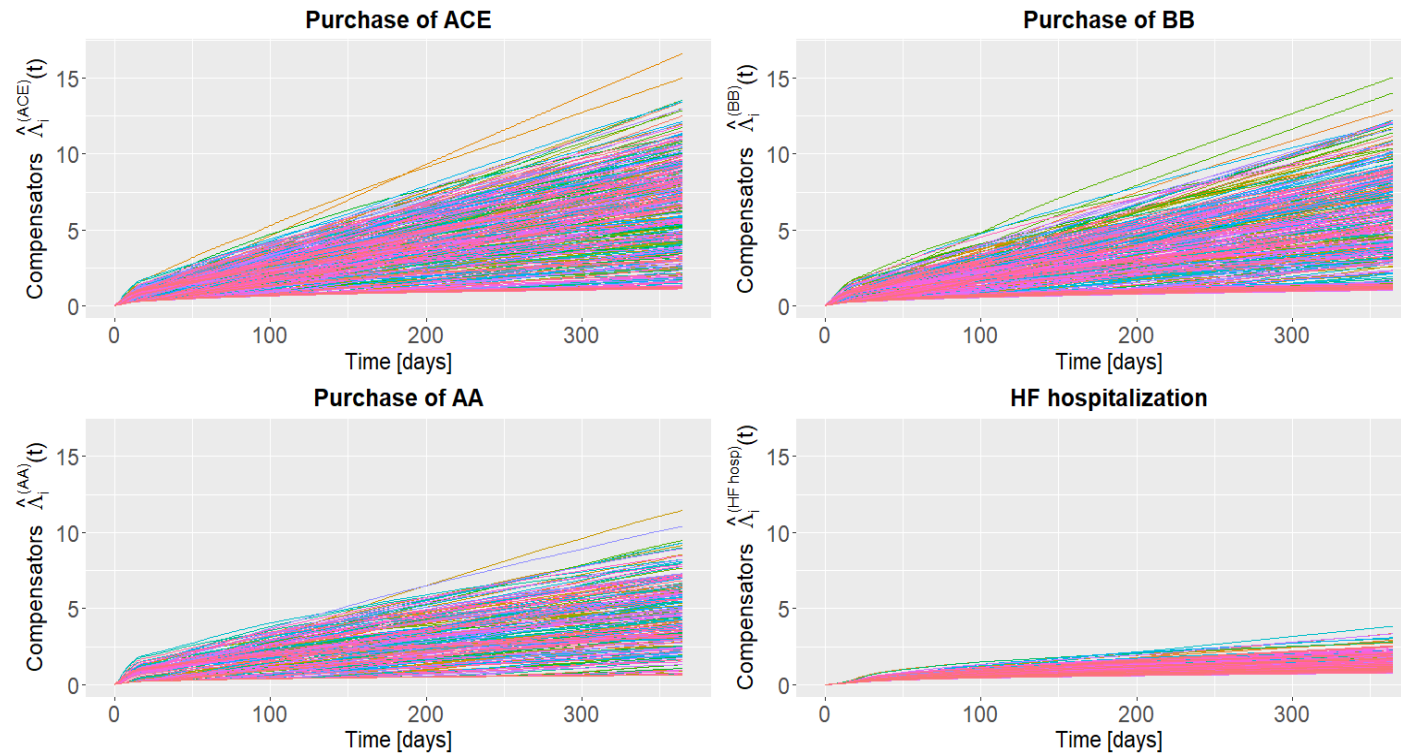


Four types of recurrent event processes: $h \in \mathcal{H} = \{ACE, BB, AA, HF\}$



Functional compensators of drug purchases and HF re-hospitalizations MPPs

- Four time-varying processes (MPPs): drug purchases (ACE or BB or AA) and HF re-hospitalizations
- Functional compensators of the MPPs: $\{\widehat{\Lambda}_i^{(h)}\}_{h \in \mathcal{H}} = \{\widehat{\Lambda}_i^{(ACE)}, \widehat{\Lambda}_i^{(BB)}, \widehat{\Lambda}_i^{(AA)}, \widehat{\Lambda}_i^{(HF)}\}$



Compensators are our functional data used to enrich the information available for modelling survival



Highlight *trends and variations in the shape* of the processes over time

R

- ✓ Expected number of events by time t , given the covariates \rightarrow *Dynamic evolution of the events risk*
- ✓ Higher the curve \rightarrow higher the cumulative risk of a new event
- ✓ The variability of the compensators across different patients reflects the **variability of the realizations** of their recurrent events.



Multivariate Functional Linear Cox Regression Model for long-term survival

Multivariate Functional Linear Cox Regression Model

includes the functional compensators $\{\widehat{\Lambda}_i^{(h)}\}_{h \in \mathcal{H}}$ with $\mathcal{H} = \{ACE, BB, AA, HF\}$ in the classical Cox model using the following form:

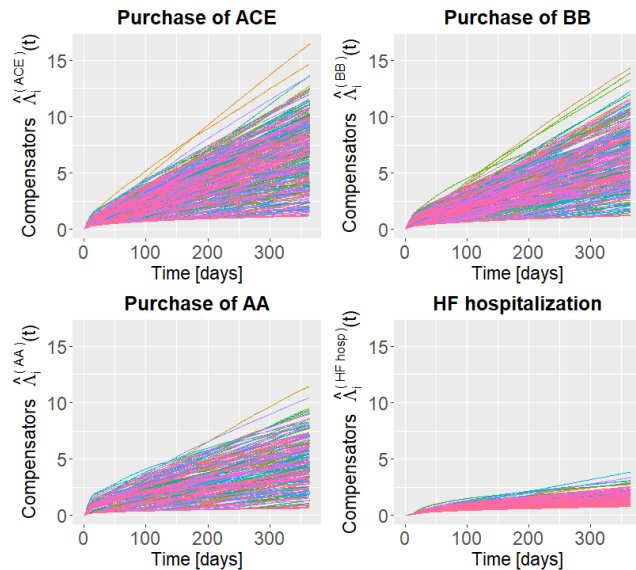
$$\eta_i \left(t \mid \boldsymbol{\omega}_i, \{\widehat{\Lambda}_i^{(h)}\}_{h \in \mathcal{H}} \right) = \eta_0(t) \exp \left\{ \boldsymbol{\theta}^T \boldsymbol{\omega}_i + \sum_{h \in \mathcal{H}} \int_{T_0}^{T_0^*} \widehat{\Lambda}_i^{(h)}(s) \alpha^{(h)}(s) ds \right\}$$

- Patient's index: $i \in \{1, \dots, N\}$
- Event index: $h \in \mathcal{H} = \{ACE, BB, AA, HF\}$
- $\eta_0(t)$ = baseline hazard function
- $\boldsymbol{\omega}_i$ = vector of baseline covariates with regression parameters $\boldsymbol{\theta}$
- $\{\widehat{\Lambda}_i^{(h)}\}_{h \in \mathcal{H}}$ realizations of the functional compensators for the i -th individual, with functional regression parameters $\alpha^{(h)}(s)$

→ *Functional Principal Component Analysis (FPCA)* applied to compensators ends up with a Cox type regression model where the *FPC scores* $f_{ik}^{(h)}$ are treated as standard covariates.

$$\eta_i \left(t \mid \boldsymbol{\omega}_i, \{\widehat{\Lambda}_i^{(h)}\}_{h \in \mathcal{H}} \right) = \eta_0^*(t) \exp \left\{ \boldsymbol{\theta}^T \boldsymbol{\omega}_i + \sum_{h \in \mathcal{H}} \sum_{k=1}^{K_h} f_{ik}^{(h)} \alpha_k^{(h)} \right\}$$

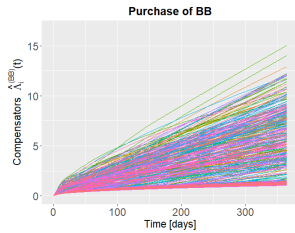
* $\boldsymbol{\omega}_i$ and K_h are chosen by cross-validation



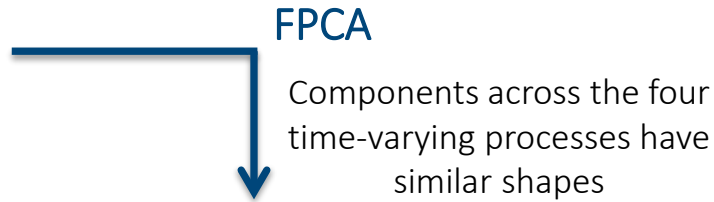
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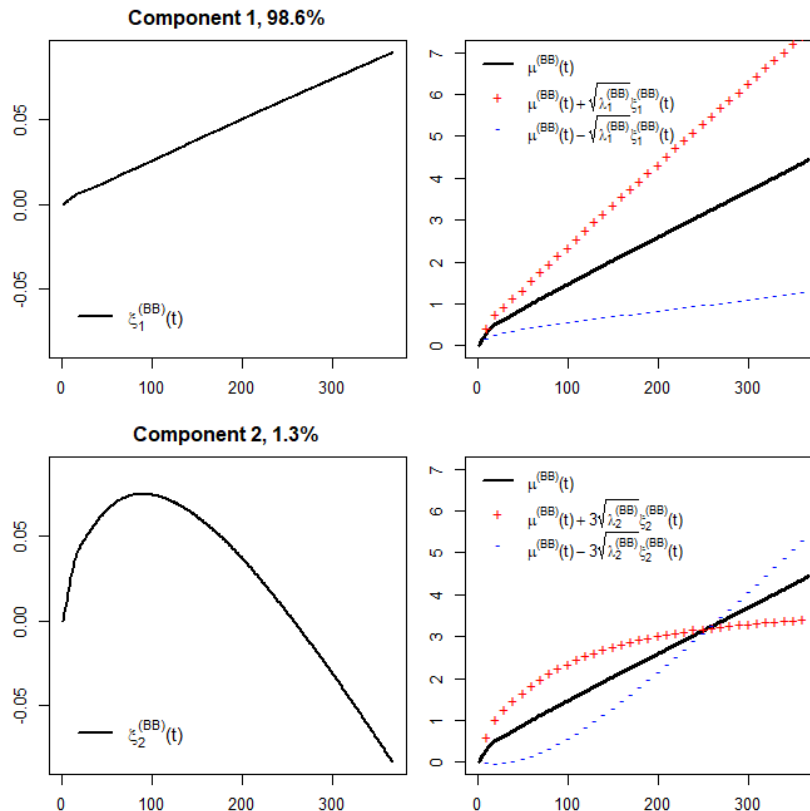
FPCA on functional compensators



Dynamic evolution of the events risk



Dimensionality reduction to summarise information emerging from the functional compensators to a finite set of covariates, while losing a minimum part of the information



FPC I: Different events risk



A patient with a **high score** is likely to experience **more events** than a patient with a low score.

FPC II: Different events timing



A patient with a **high score** is likely to experience **more events in the first part of the year** and less events in the last months of the year than a patient with a lower score.

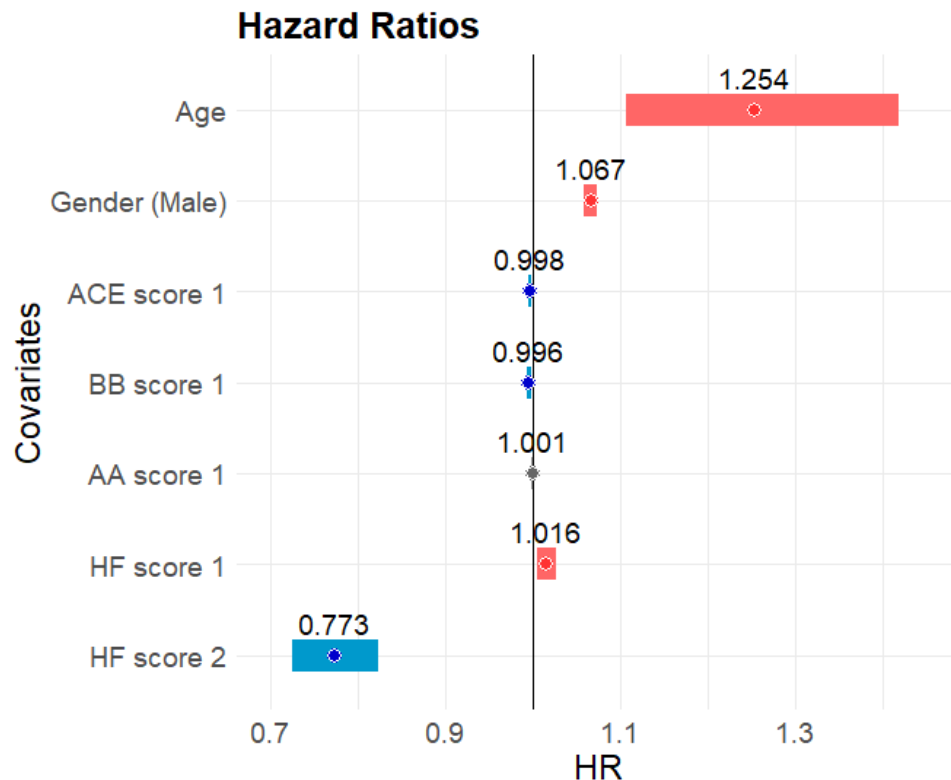


Multivariate Functional Linear Cox Regression Model for long-term survival

According to the highest median Concordance Index, the selected MFLCRM was the following:

$$\eta_i \left(t | \omega_i, \left\{ \Lambda_i^{(h)} \right\}_{h \in \mathcal{H}} \right) = \eta_0^*(t) \exp \left\{ \theta_1 \text{age}_i + \theta_2 \text{gender}_i + \alpha_1^{(ACE)} f_{i1}^{(ACE)} + \alpha_1^{(BB)} f_{i1}^{(BB)} + \alpha_1^{(AA)} f_{i1}^{(AA)} + \alpha_1^{(HF hosp)} f_{i1}^{(HF hosp)} + \alpha_2^{(HF hosp)} f_{i2}^{(HF hosp)} \right\}$$

M



Higher risk of death for:

- **elder** patients (6% each year)
- **male** patients
- patients having experienced **many hospitalizations**

Lower risk of death for:

- patients assuming **more ACE inhibitors**
- patients assuming **more BB agents**
- patients who had **many hospitalizations at the beginning of the year** and few in the end correspond to the ones who have already experienced a critical phase of the disease and survived from it (*effect of the hospitalizations trend over time*)



Block I – take home messages

- Starting from the need for novel and tailored methodologies capable of extracting additional information from Real-World Data (e.g., Administrative Data), our method is able to characterize the association between **time-varying** covariates and **time-to-event** data.
- **New** methodology based on **stochastic processes theory** and **Functional Data Analysis** able to effectively extract and resume information from functional data, intended as **trajectories of compensators representing recurrent events**.
→ *Marked Point Process formulation for Recurrent Events*
- Functional compensators contains information about different events **risk** and different events **timing**.
→ Highlight **trends and variations in the shape** of the processes over time
- One of the first attempts in literature of **merging potential of Functional Data Analysis and Survival Analysis**.
- Flexible methodology to quantify the **effect of personal behaviours and therapeutic patterns on survival**.
→ *New insights for personalized treatment*

PB: Observation period and immortal time bias





Block II

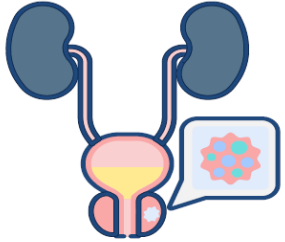
Data sources: genomic/epigenomic data, SNPs, expression data

Methods: Deep Sparse Autoencoders – Network Theory – Itemset Rule Mining

- Precision medicine framework often has the need to **model the relationship between some phenotypic trait or health outcome and one or more omics-based information sources.**
- However, irrespectively of the clinical inquiry, **raw genotype data (and -omics data, in general) naturally carry characteristics that hinder the applicability of most traditional statistical and biostatistical methods.**
- Indeed, traditional approaches often rely on strict assumptions (s.a. independence between predictors, linear and additive effect on the outcome, normally distributed predictors, etc) that are unrealistic to model the complexity of the genotype, and oftentimes suffer some practical facets of these information sources and of their real-world application settings.
- **Need** for development of methodologies that construct **effective biological system complexity-aware representations** to enhance and complement interpretable and robust statistical approaches to classification, regression or survival modeling
 - => map the input into informative and manageable spaces where complexities are resolved
 - => **tackle the complexity of genomic data** (unbalanceness, interactions, high dimensionality, computational scalability,...), extracting meaningful information (feature selection,)



RadPrecise: personalize radiotherapy



- **Prostate cancer** is the most diffused cancer affecting the male population in Europe
 - Complications (**toxicity side effects**) resulting **from radiotherapy in the long run** may arise, but are very rare
- Traditional methods (**Normal Tissue Complication Probability Models, NTCP**) based on patients' phenotypic characteristics and treatment details **fail in stratifying** the treated population.



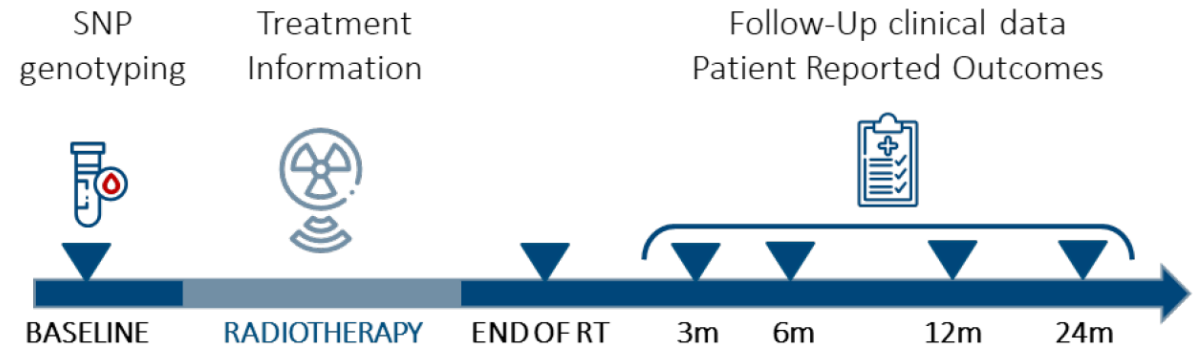
DATA, COHORT & OUTCOMES

1405 patients were included

43 SNPs from literature

5 endpoints:

- rectal bleeding 11.7%,
- **urinary frequency 4%**,
- haematuria 5.5%,
- nocturia 7.8%,
- decreased urinary stream 17.1%.



REQUIRETE

RadPrecise: personalize radiotherapy



→ Including genotype information may aid treatment outcome modeling and allow personalized treatment planning



Combined model to stratify patients and drive treatment decision-making

1.

Validating genetic risk factors (SNPs) previously identified in literature as related to late toxicity after radiotherapy

FEATURE (SNP) SELECTION

2.

Building a SNP-SNP interaction-aware Radiation Toxicity Score to stratify patients with higher risk of late toxicity

INTERACTION REPRESENTATION

3.

Combine the Polygenic Risk Score (PRSi) with clinical covariates in NTCPs for personalized treatment planning.

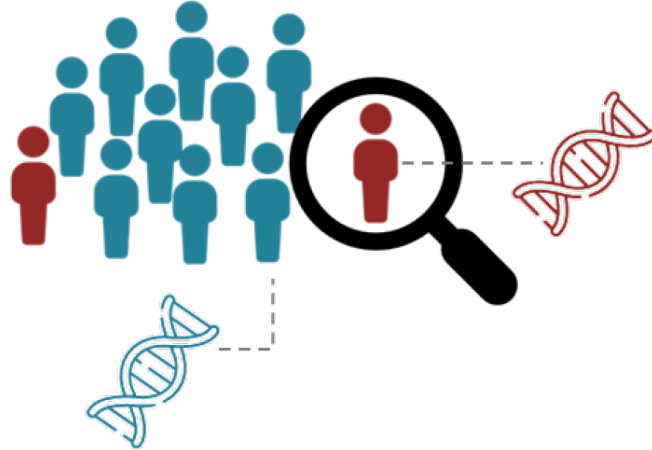
MODELING



RadPrecise: personalize radiotherapy

1.

Validating genetic risk factors (SNPs)

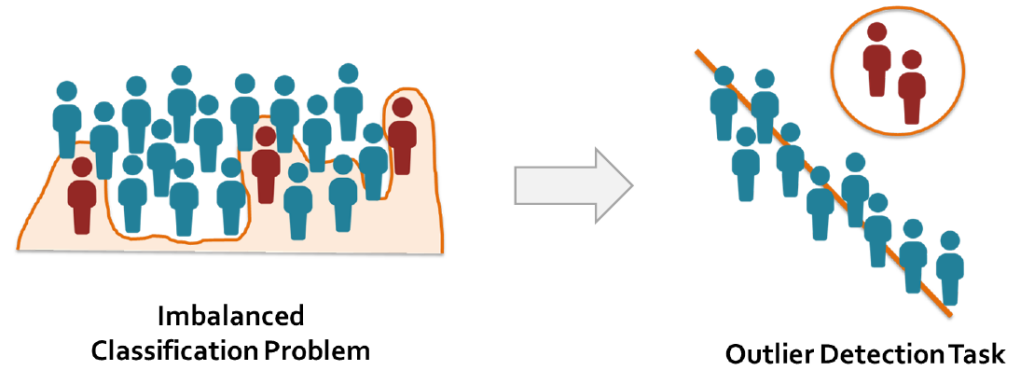


METHODOLOGICAL PROBLEM SETTING

- We seek to find differences in features (SNPs) between two **strongly imbalanced groups**, with a
- very **small minority class sample size**.
- The method need to be scalable to **very high dimensionalities**
- We want to consider **complex non-linear interactions** between SNPs
- Data can be **noisy** (imputed SNPs)

OUR SOLUTION

*What characteristics (features) make the underrepresented population appear as an **outlier** of the overall population?*



Massi M.C., Gasperoni F., Ieva F. *et al.* (2020). A Deep Learning Approach Validates Genetic Risk Factors for Late Toxicity After Prostate Cancer Radiotherapy in a REQUITE Multi-National Cohort, *Frontiers in Oncology*, Vol. 10 : 2033

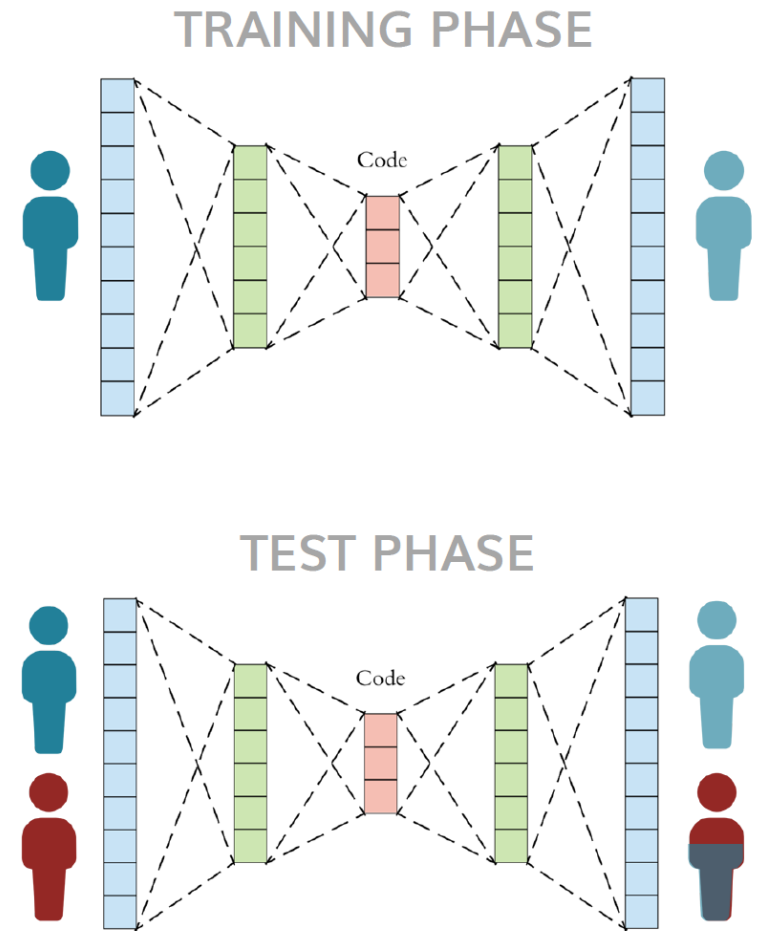


AutoEncoders to *characterize outliers*

1. Train a **Deep Sparse Autoencoder (DSAE)** to learn how to reconstruct *majority class* observations.

→ *The learnt data distribution does not include the characterization aspects of *minority class* instances*

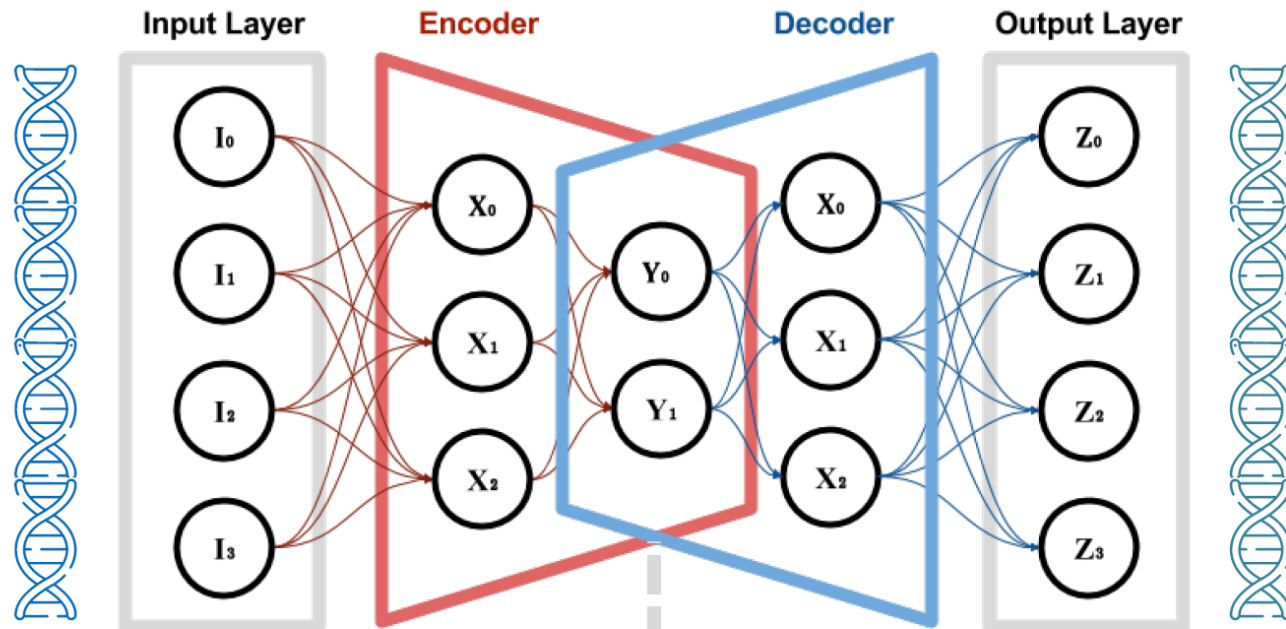
2. **Test** the model on *majority* and *minority* classes
3. The model is expected to make higher **Reconstruction Errors (RE)** on *anomalous observations* (minority class)



RadPrecise: personalize radiotherapy

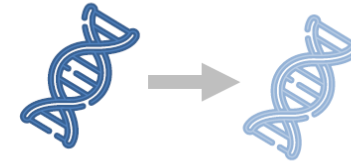
AutoEncoders (AE) are Neural Networks trained to reconstruct their input.

They are powerful *non-linear dimensionality reduction models*



The **bottleneck layer** forces the model to learn a representation of the input that is reduced in dimensionality and informative enough to reconstruct the input precisely

Complex and non-linear mapping that models interrelationships between features



Learns the most relevant aspects of the input

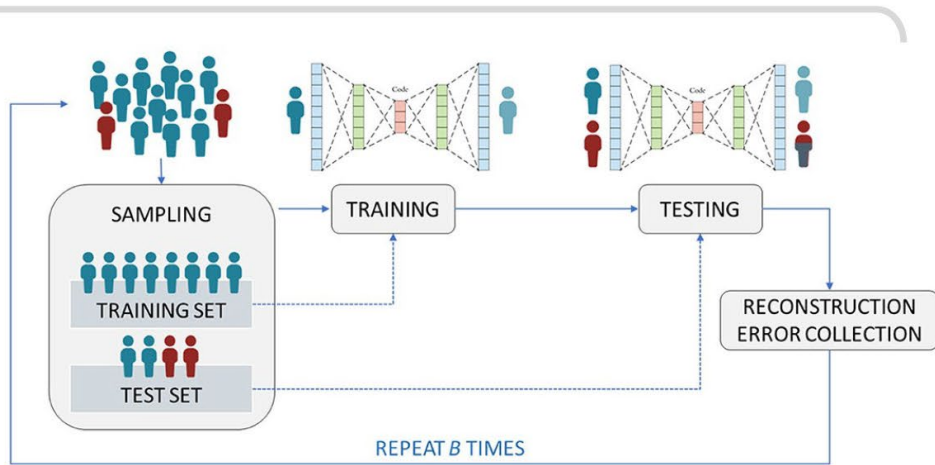


Can be used for outlier detection...

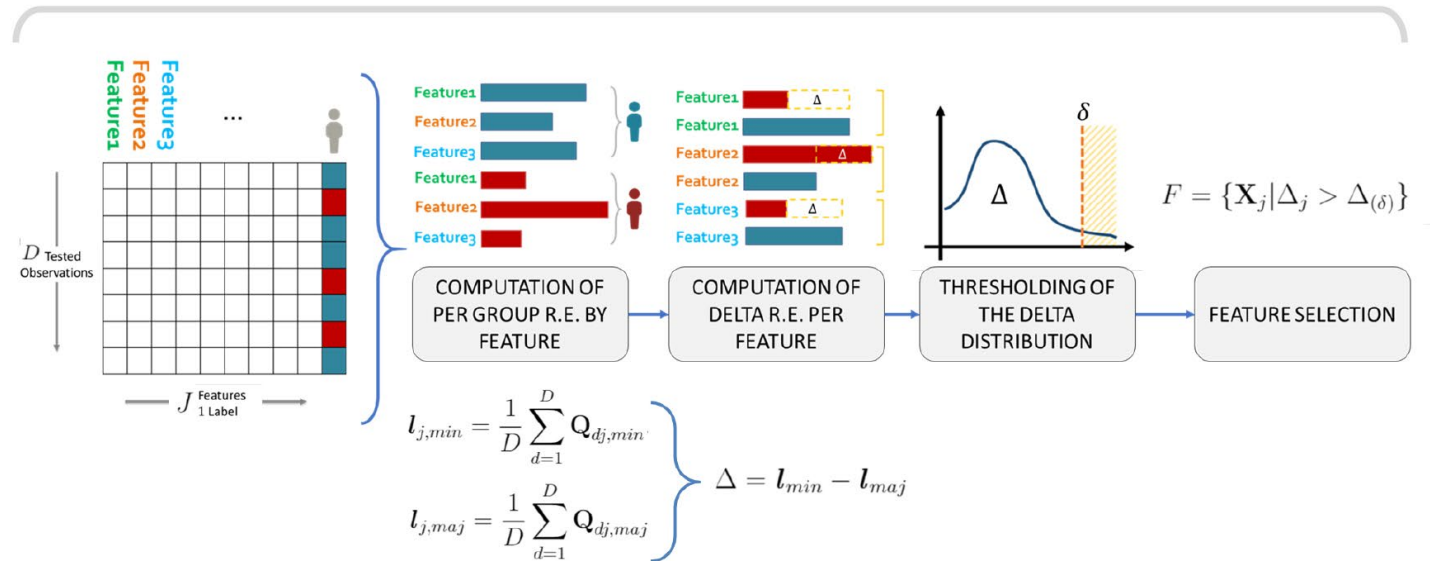
...how?

RadPrecise: personalize radiotherapy

ENSEMBLE LEARNING



AGGREGATION AND FEATURE SELECTION



RadPrecise: personalize radiotherapy



- Cohort of **1,296** patients
- 55 (4.2%) of which experiencing Late Toxicity
- **43 SNPs**
- **9 SNPs identified in literature^[a]** for this endpoint

Table. Association between SNPs and toxicity endpoint when using logistic regression on REQUITE cohort

ODDS RATIO [Kerns et al.]	SNPs to validate DSAEE 85 th quantile	p-value [REQUITE]
3,2	rs7366282	0.05
3,12	rs17599026	0.61
2,66	rs10209697	0.86
2,41	rs8098701	0.48
1,8	rs10101158	0.70
1,74	rs7356945	0.47
0,51	rs342442	0.79
0,51	rs6003982	0.63
0,49	rs4997823	0.44
TOTAL SELECTED	7	
TOTAL VALIDATED	4	
PERCENTAGE VAL/SEL	57.14%	
PERCENTAGE SEL/TOT	16.28%	

Table 2. in green SNPs selected by DSAEE



RadPrecise: personalize radiotherapy

2.

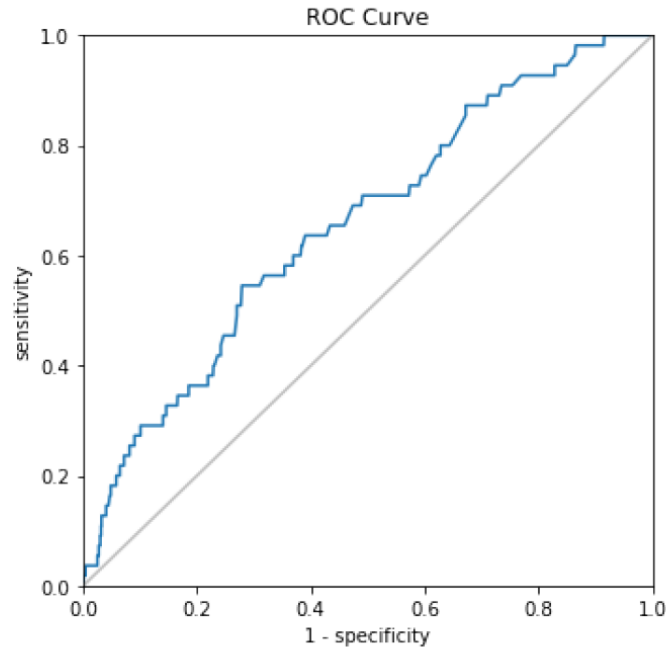
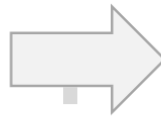
Polygenic Risk Scoring

PROBLEM

The DSAE accounted for SNPs interactions to perform feature selection, but we have no direct access to such information for later use.

Most relevant SNPs filtered by DSAEE

SNP ₂	SNP ₅	SNP ₁₀	...	SNP ₂₃	SNP _n	
2	0	0	0	2	2	Red
0	0	1	1	1	1	Teal
2	1	0	1	2	2	Red
1	2	2	1	2	1	Teal
1	2	1	1	2	1	Teal
1	2	1	1	2	2	Red



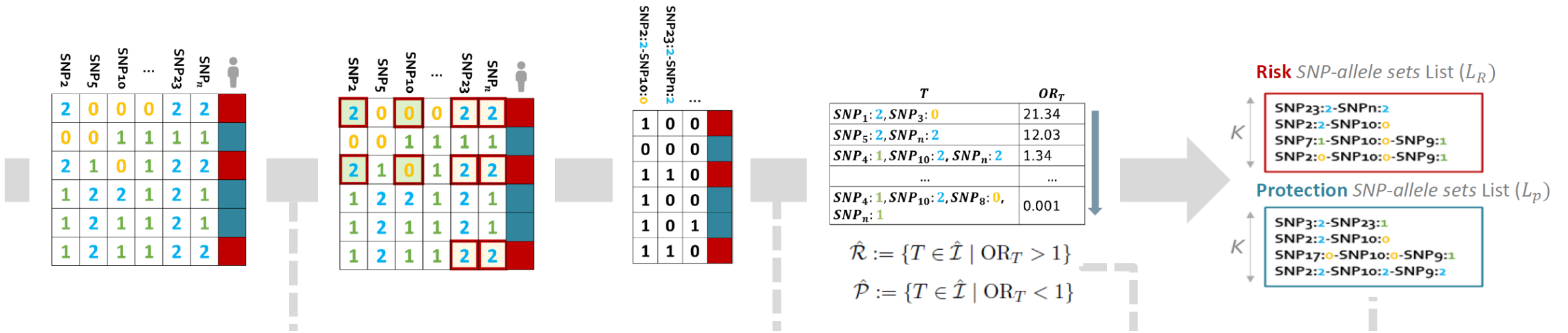
Area under the curve: 0.66

Statistics at optimality:

- Odds-ratio: 2.74
- Sensitivity: 63.64%
- Specificity: 61.00%
- Neg. predictivity: 97.43%
- Pos. predictivity: 6.74%

Ignoring interaction terms results in classifiers with bad performances

RadPrecise: personalize radiotherapy



For each patient i we define the two scores RS_i and PS_i , as the percentage of risk or protection SNP-sets in x_i .

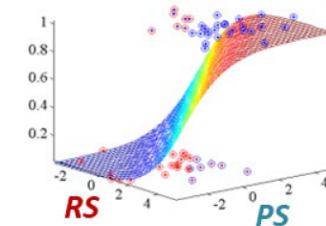
Fit a Logistic Model of the form:

$$\log(\mathbb{P}(y = 1)) = \alpha RS + \beta PS + \gamma$$

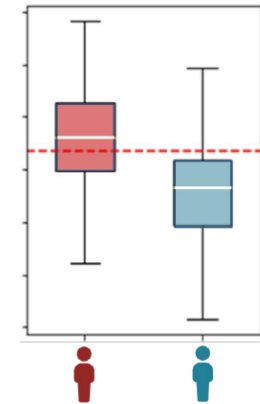
Once obtained α and β , the combined Interaction-aware PRS is

$$PRSi = \alpha RS + \beta PS$$

RS	PS	
0.89	0.03	■
0.20	0.56	■
0.97	0.04	■
0.10	0.78	■
0.09	0.95	■
0.56	0.23	■



$$PRSi = \alpha RS + \beta PS$$



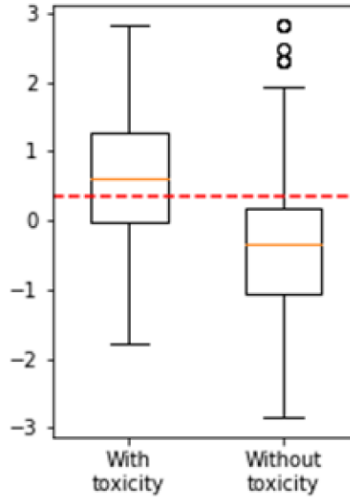
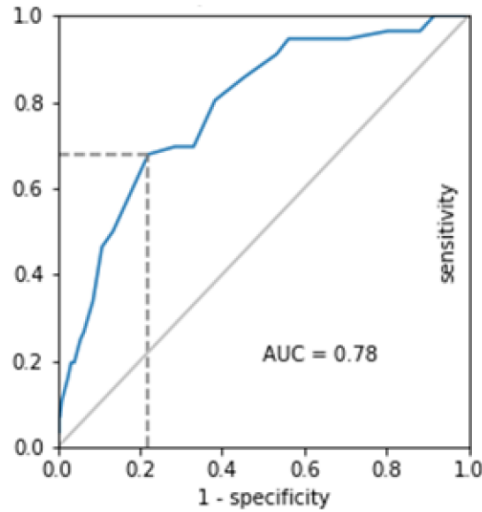
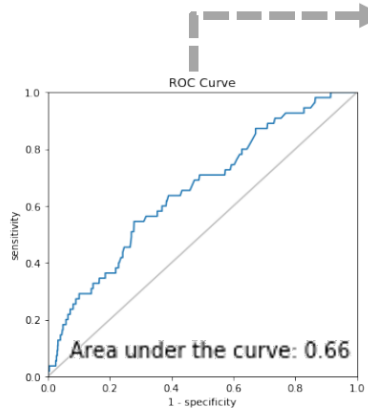
Franco N.R., Massi M.C., Ieva F. et al. (2021) Development of a method for generating SNP interaction-aware polygenic risk scores for radiotherapy toxicity, Radiotherapy and Oncology



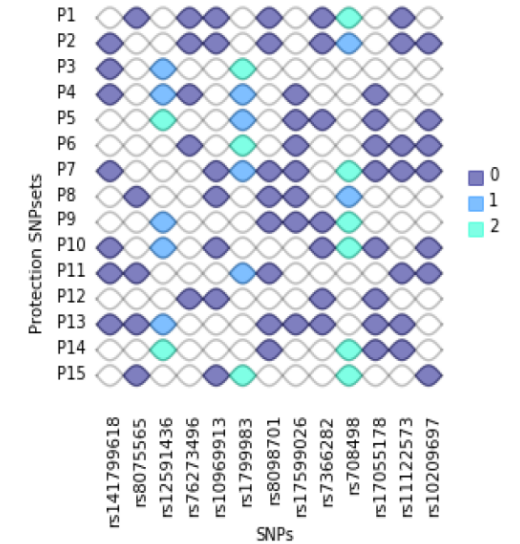
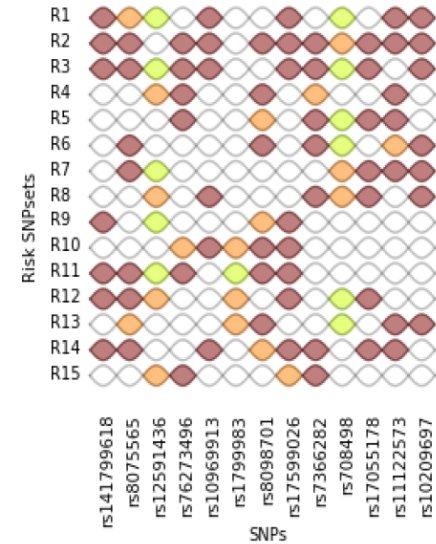
RadPrecise: personalize radiotherapy



PRSi classification performance



Risk and Protection SNP-sets



- Distributions of PRSi differed significantly in patients with/without toxicity with AUCs ranging from 0.61 to 0.78.
- PRSi performed better than the classical Polygenic Risk Score based on SNPs additive effect
- Readable and interpretable list of predictive interactions



RadPrecise: personalize radiotherapy

3.

Combined Modeling

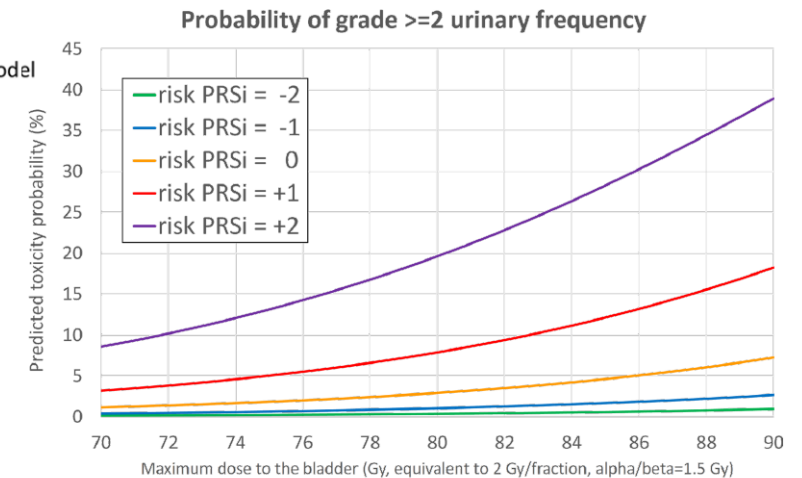
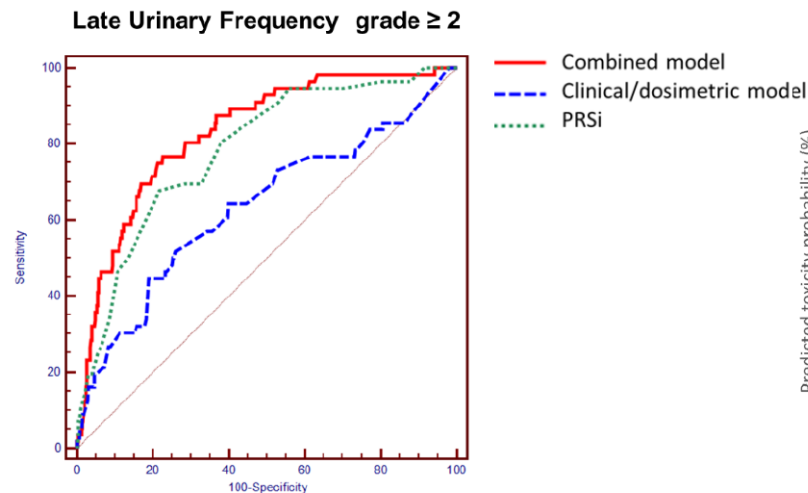
Combined NTCP model with PRSi and clinical/dosimetric data

→ Evaluation of added value of the genetic information



RESULTS

Late urinary frequency grade ≥ 2	OR clinical/dosimetric	OR PRSi	OR combined model
Bladder maximum dose	1.09		1.1
alpha/beta=1.5 Gy (1 Gy increase)			
baseline urinary frequency symptoms (no symptoms vs mild)	2.5		2.7
diabetes	1.65		1.8
prostatectomy	2.1		2.3
Polygenic risk score with SNP-allele interaction (PRSi)		2.7	2.9
AUC	0.64	0.78	0.83



CONCLUSIONS

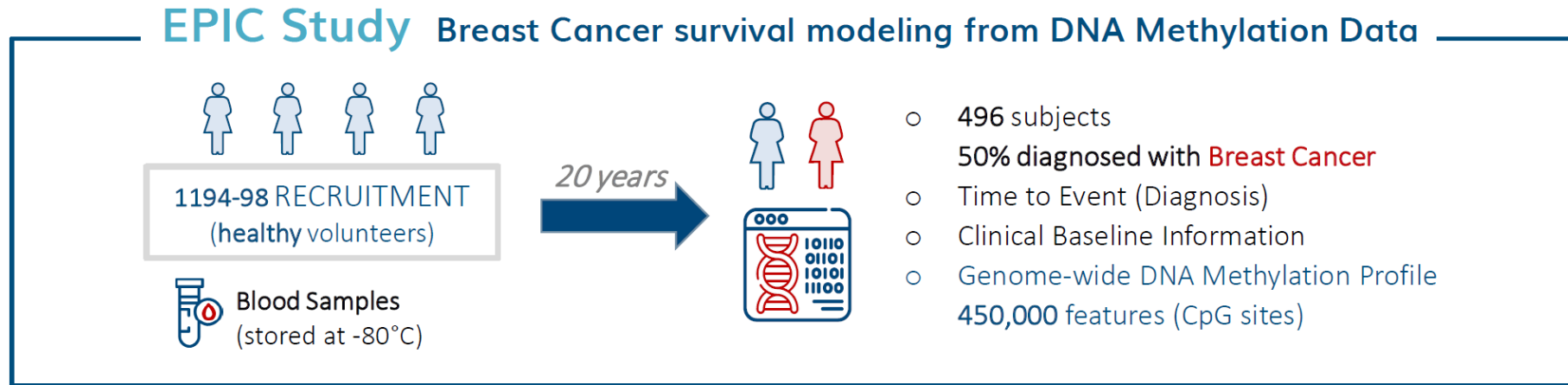
Toxicity probability depends on

→ PRSi, i.e. genetic background of the patient: can't be changed, should be acknowledged

→ Maximum dose to the bladder: *this could be optimized for personalized treatment*

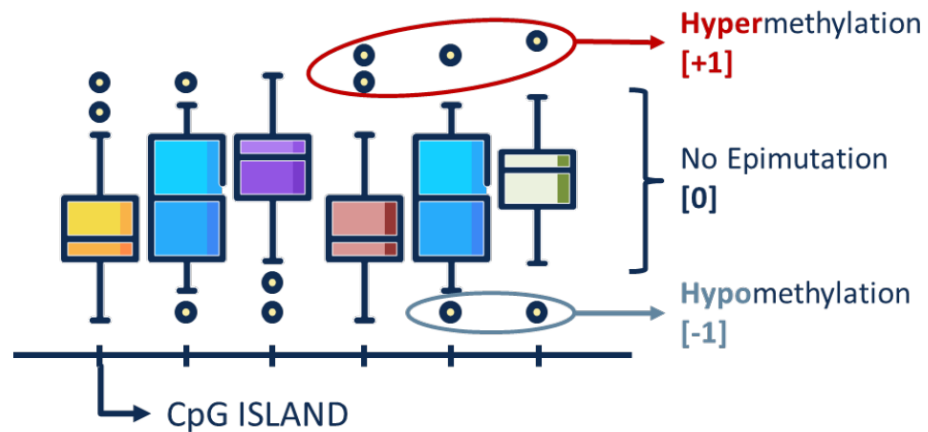
T. Rancati, M. Massi, et al (2021). "PH-0656 Prediction of toxicity after prostate cancer RT: the value of a SNP-interaction polygenic risk score", Radiotherapy and Oncology

EPIC: non-invasive prediction of cancer development



DNA methylation data (DNAm) can be codified as:

- A. Continuous β values [0,1]
- B. Categorical epimutation data

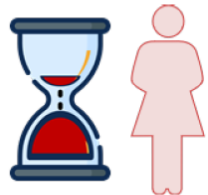


EPIC: non-invasive prediction of cancer development

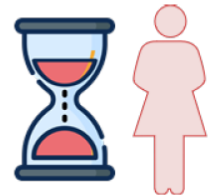
What differs in terms of DNA methylation patterns between patients with an **early** or a **late** cancer diagnosis w.r.t. the healthy control population?

Huge **dimensionality**, noisy **categorical** data, **sparse** information
Failure of traditional **Survival Models** with or without regularization

Breast Cancer Cohort



<5 years



5-10 years

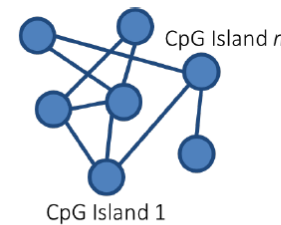
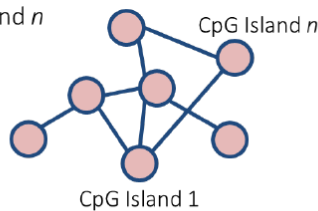
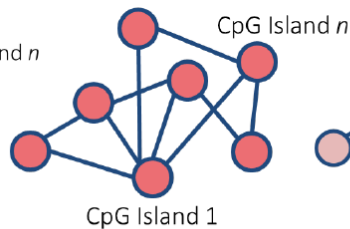
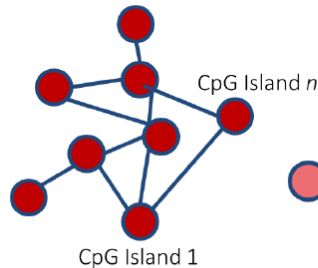


>10 years



Matching controls

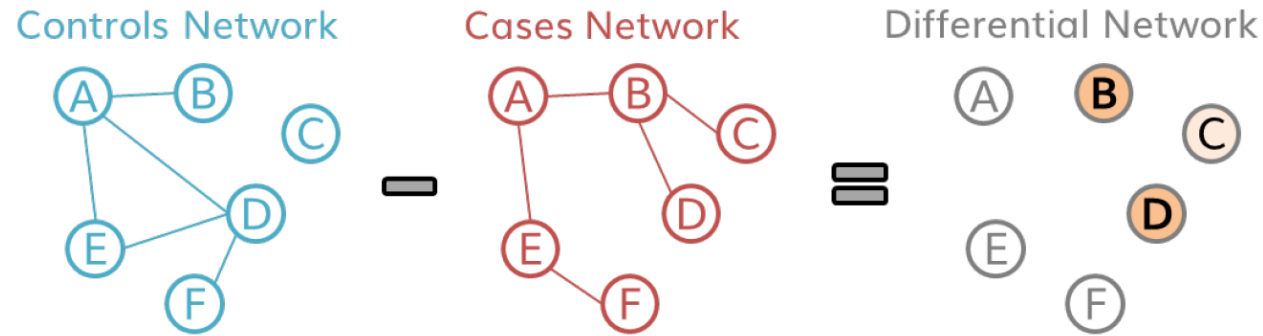
Co-Occurrence Networks



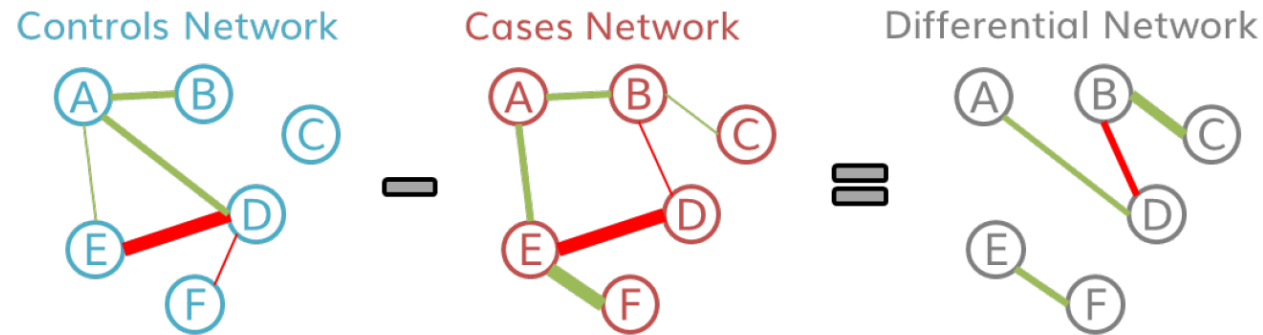
Differential Network Analysis



EPIC: non-invasive prediction of cancer development



Comparing **network topologies**
(i.e. degrees of vertices, modularities, network flow, etc.)

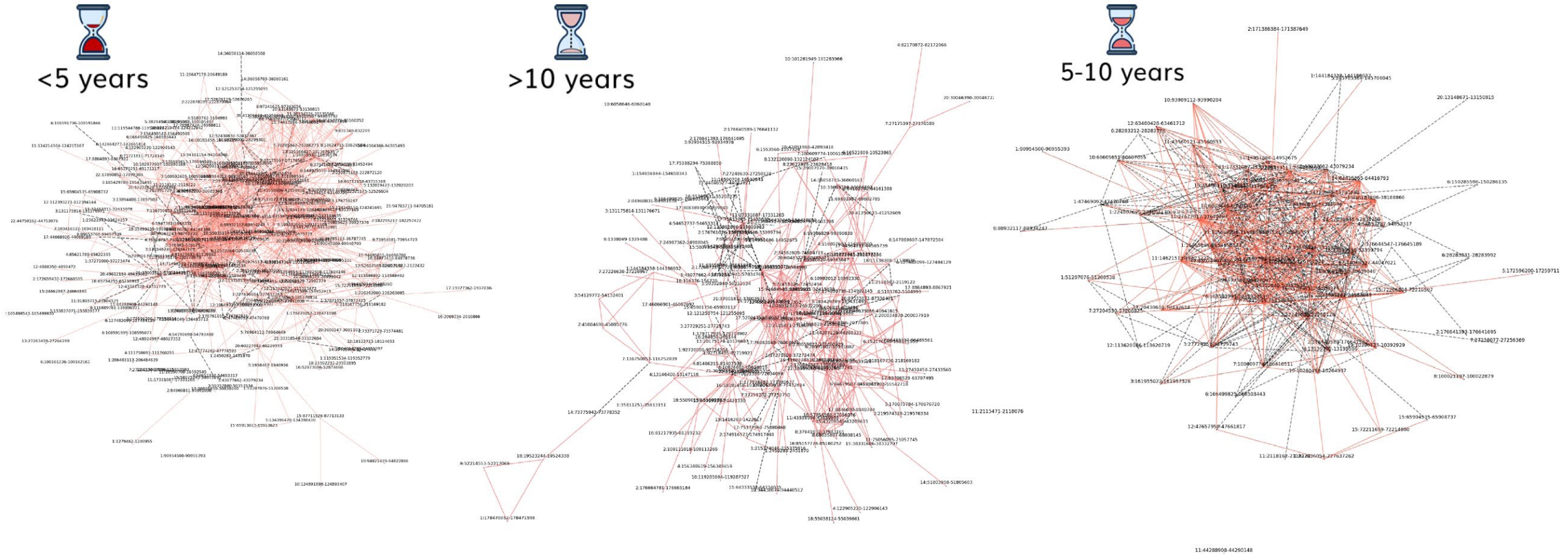


Comparing **weighted group-specific networks** for **edge-specific weight differences**



EPIC: non-invasive prediction of cancer development

What differs in terms of DNA methylation patterns between patients with an **early** or a **late** cancer diagnosis w.r.t. the healthy control population?



EPIC: non-invasive prediction of cancer development

Survival data is comprised of three elements: a patient's baseline data x , a failure event time T , and an event indicator E . The **hazard function** is the probability an individual will not survive beyond t , given they have already survived up to time t .

Cox Proportional Hazards model (CoxPH)

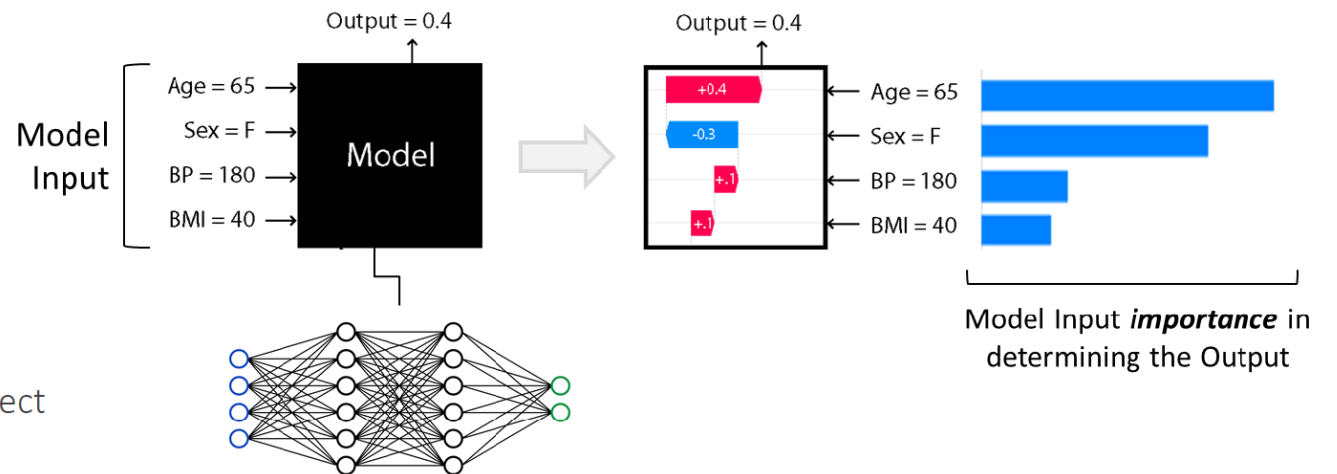
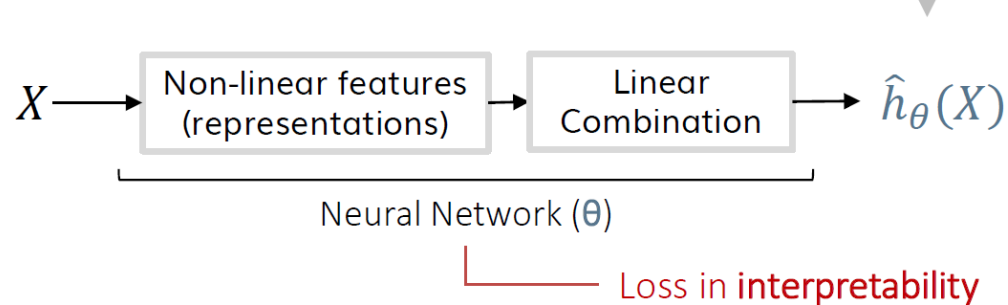
$$\lambda(t|X) = \underbrace{\lambda_0(t)}_{\text{Baseline hazard}} \cdot \overbrace{e^{\mathbf{h}(X)}}^{\text{Log-risk}} = \lambda_0(t) \cdot e^{(\beta_1 x_1 + \beta_2 x_2 + \dots + \beta_M x_M)}$$

Easily interpretable model



We cannot assume the data as-is satisfies the **linear proportional hazards** condition
 → We should include **high-order interaction** terms

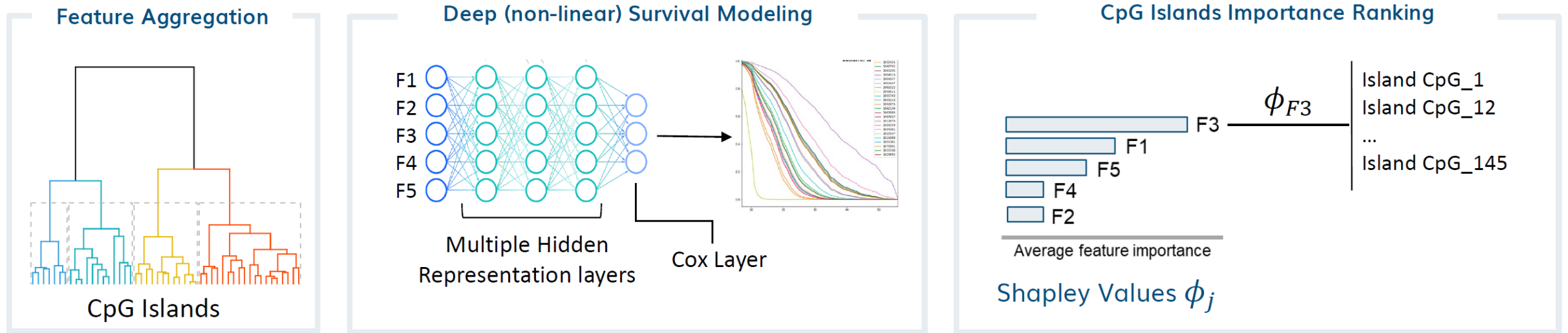
Non-linear Survival Analysis



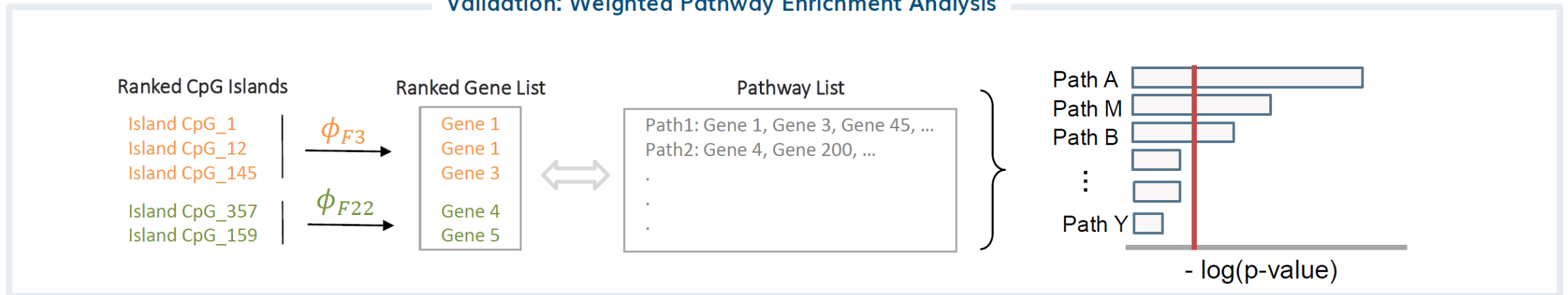
We can exploit powerful non-linear models and then trace back the effect of each input thanks to *explanation methods*.



EPIC: non-invasive prediction of cancer development



Validation: Weighted Pathway Enrichment Analysis



Block II – take home messages

- Through ML and proper representations of the input data we can account for, and alleviate, data and context-specific complexities, overcoming the limitations of the traditional approaches to several precision medicine-oriented analyses of biological and medical data.
- Exploiting a Deep Representation Learning (RL) model as a building block of our ensemble algorithm allows to **model the complex non-linear interactions between all genetic features together and their relationship with the phenotype while performing feature selection**, accounting for high-order interaction between SNPs.
- **Co-Occurrence Network-based algorithm for categorical and extremely sparse genotype data**, tailored to deal with imbalanced settings such as studies seeking rare variants' association with Extreme Phenotypes.
- Several of the methods we presented have the ability to manage data sources that are different in nature, i.e. omics but also unstructured medical data in general. Indeed, by picking the right tool to represent each data type-specific view, and by finding the best way to combine them, we will aim at building truly 360 degree Patient Representations, that have the potential to being informative and effective in dealing with all the facets of the complex system of biological and clinical information each of those patients embodies.





Block III

Data sources: Medical Imaging

Methods: Trees – Convolutional Neural Networks – Depth Measures – Penalized Regressions – Survival Clustering

The standard scenario



Resistant clones
Sensitive clones



Tumor Biopsy

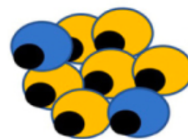


Invasive
Under-representative sample
**INTRA PRIMARY TUMOR
HETEROGENEITY**

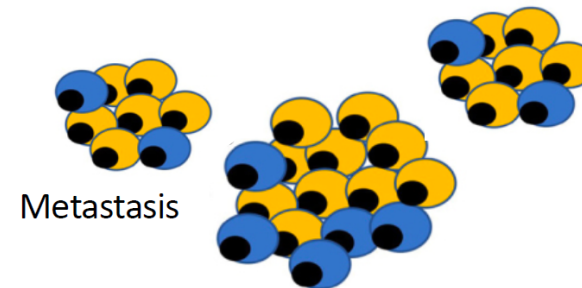


First **diagnosis**:
Biomarker identification
and treatment decision

First line treatment



Relapse



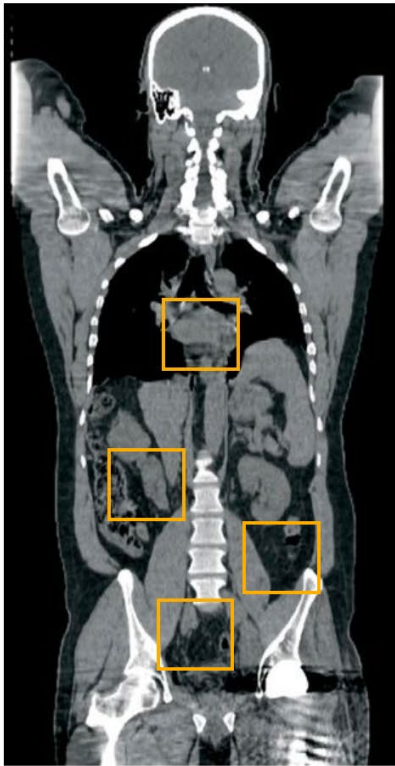
One-tumor assessment
**INTRA INDIVIDUAL
TUMOR HETEROGENEITY**

Late **diagnosis** and
new treatment
regimen design

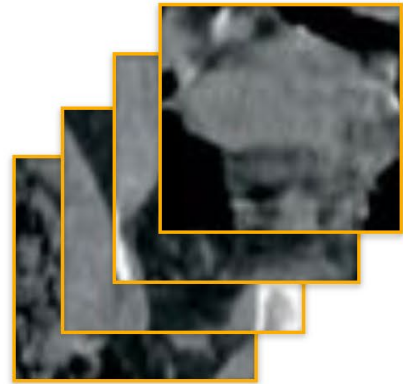


The precision medicine scenario: Virtual Biopsy

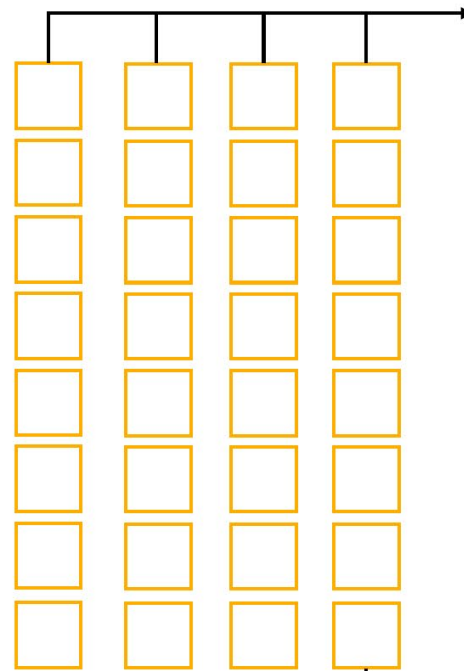
Tumor **Virtual** Biopsy



CT/PET
IMAGING

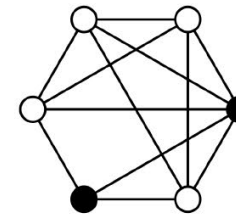


CT/PET
SUBIMAGES



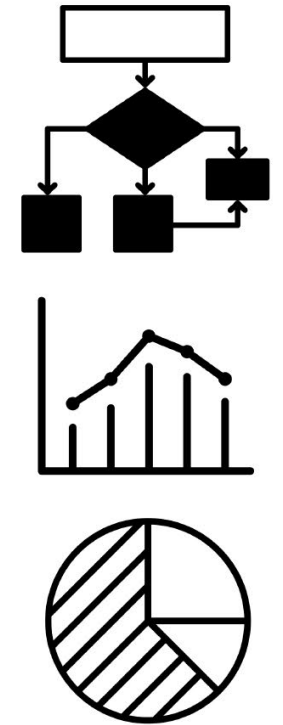
DIMENSIONALITY
REDUCTION

INTRA INDIVIDUAL
TUMOR HETEROGENEITY



INTRA PRIMARY TUMOR
HETEROGENEITY

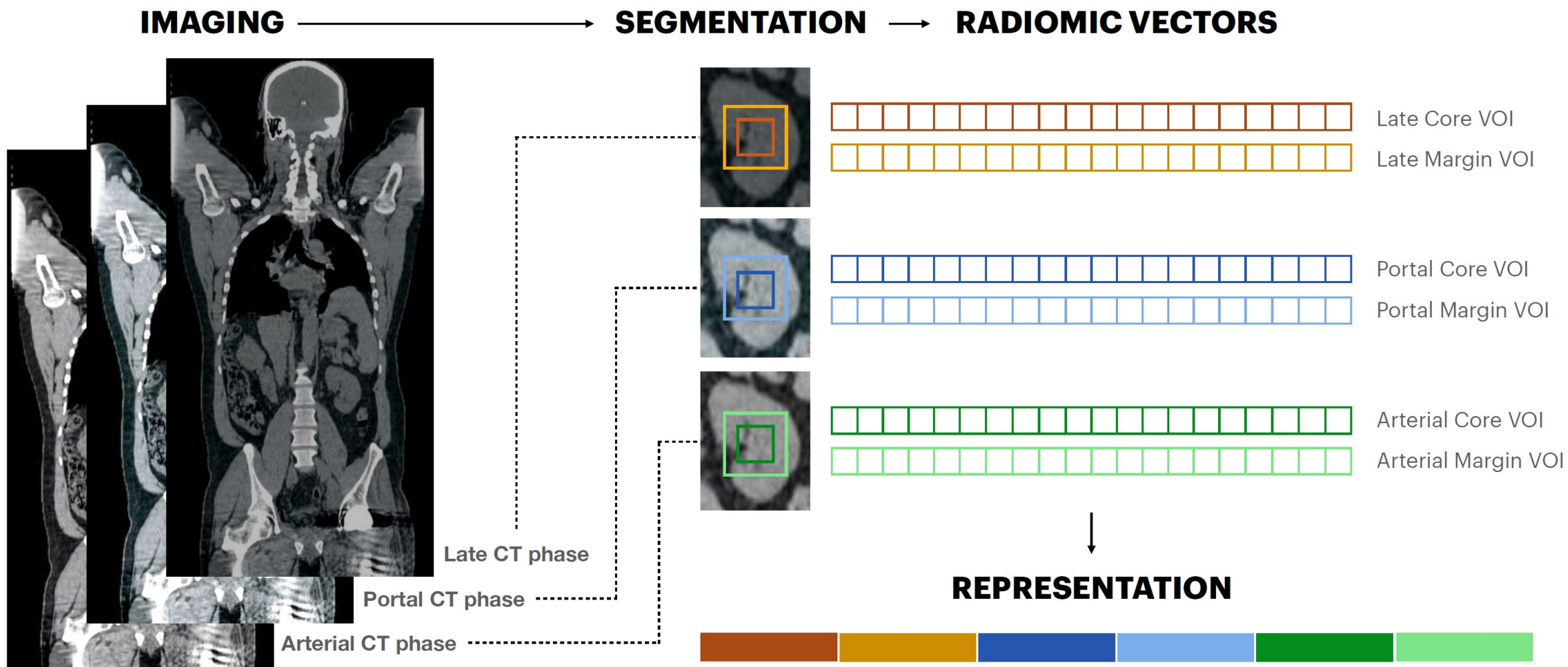
PATIENT
REPRESENTATION



MODEL



The precision medicine scenario: Virtual Biopsy



Research Questions

- How can we summarize the complex multi-view information about the patient?

=> **Representation issue**

- Can radiomic be of added value in predicting pathology evolution and survival response in IHC patients?
- Which radiomics information are the most informative?

=> **Dimensionality reduction issue**

- Reliable identification of prognostic factors and cohort stratification criteria
- Cancer subtyping

=> **Explainability issue**

- Assessment of the role of core vs margin information
- Assessment of the information content of the different phases of the CT scan
- Are there any differences between centers?

=> **Transfearability issue**



Hodgkin Lymphoma

Sollini, M., Bartoli, F., Cavinato, L., Ieva, F., Ragni, A., Marciano, A., Zanca, R., Galli, L., Paiar, F., Pasqualetti, F., Erba, P.A. (2021) [18F]FMCH PET/CT biomarkers and similarity analysis to refine the definition of oligometastatic prostate cancer. EJNMMI Research, Nov 27; 11(1): 119 PMID: 34837532

Sollini, M., Kirienko, M., Cavinato, L., Ricci, F., Biroli, M., Ieva, F., Calderoni, L., Tabacchi, E., Nanni, C., Zinzani, P.L., Fanti, S., Guidetti, A., Alessi, A., Corradini, P., Seregini, E., Carlo-Stella, C., Chiti, A. (2020) Methodological framework for radiomics applications in Hodgkin's Lymphoma European Journal of Hybrid Imaging. 4: 1-17 .

Variable Selection

Clinical rationale

Clinical and laboratory variables were selected according to a priori knowledge

+

Backward stepwise regression

Multivariate regression has been run for predictive features selection

PCA - radiomics

4 different PCAs on textural matrices: GLCM, GLRLM, NGLDM, GLZLM, keeping all the components for 95% of variability explained

Redundancy

Cut off variables with correlation higher than 85%

Model

Logistic regression

Linear multivariate association of variables and response

Trees and RF

Non linear multivariate association of variables and response

Methodological contribution

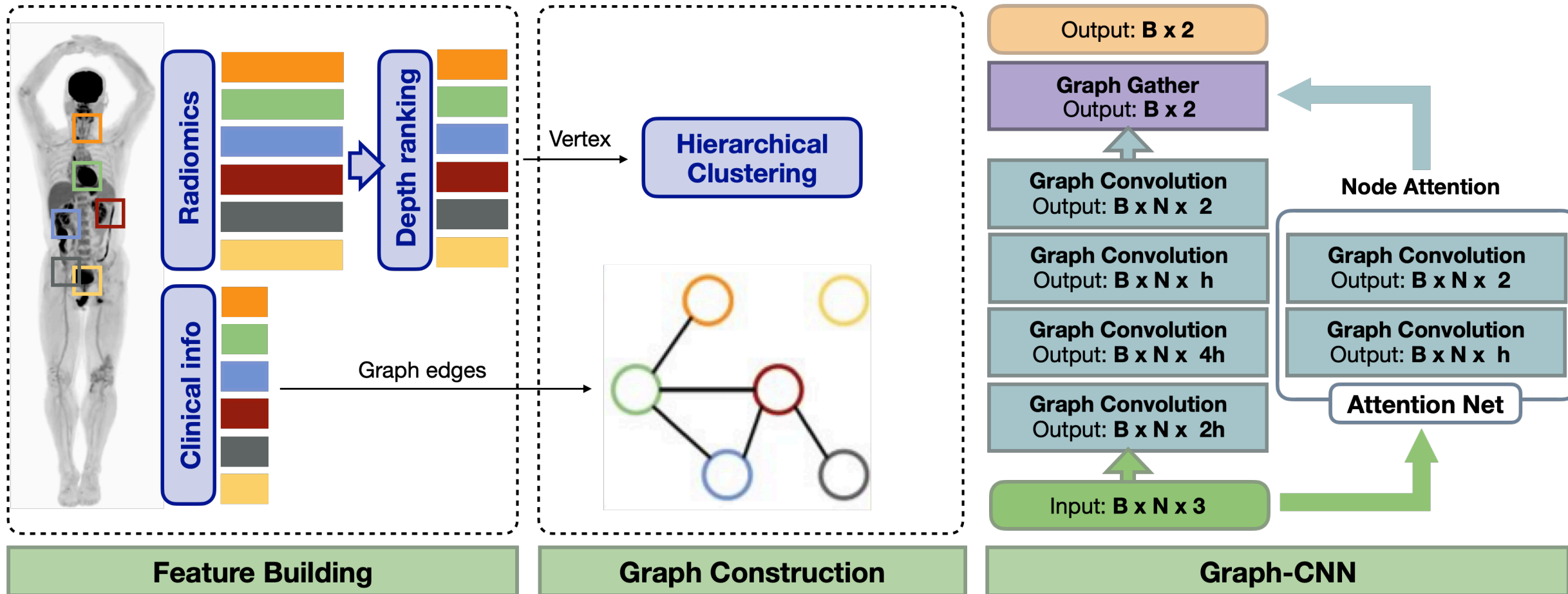
Rigorous feature selection framework for healthy tissue

Relevance of imaging information as prognostic factor (wrt only clinical prognosticators)

High performance application of virtual biopsy engine workflow



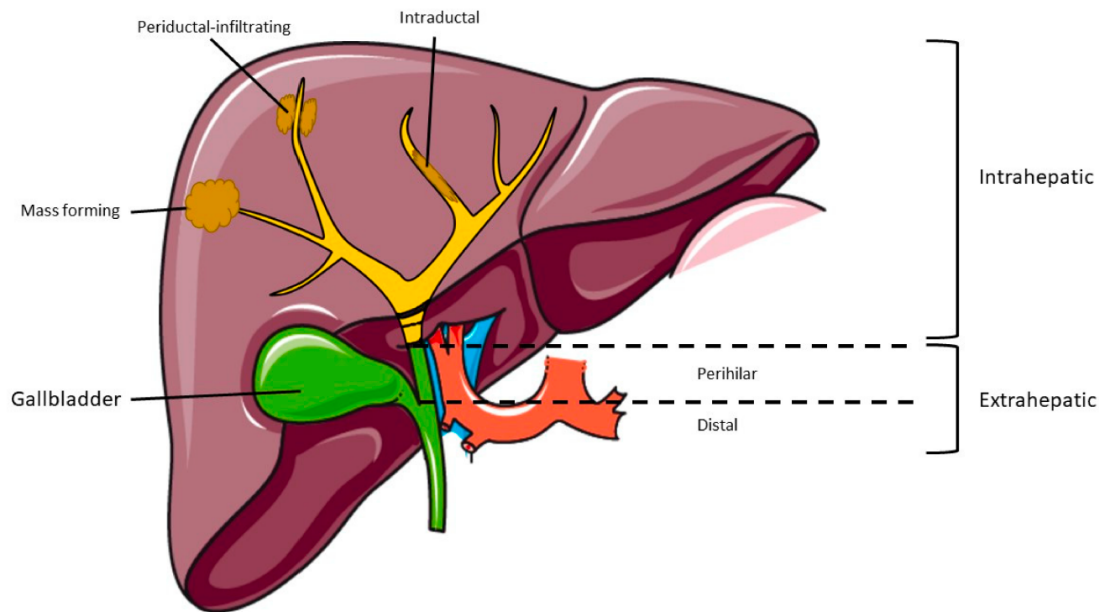
Hodgkin Lymphoma



Cavinato, L. et al (2021). Recurrence-specific supervised graph clustering for subtyping Hodgkin Lymphoma radiomic phenotypes. 43rd Annual International Conference of the IEEE Engineering in Medicine and Biology Society (EMBC).



Intrahepatic Cholangiocarcinoma



Intrahepatic cholangiocarcinoma (IHC) is an aggressive disease that affects the liver.

It is the second most common primary hepatic tumor and its incidence is increasing over last decades.

Diagnosis is difficult at early stages, due to IHC complicated biology.

The main treatment is surgery, chemotherapy has a limited effectiveness.

Five-years survival rate ranges from 25% to 40%.

Prognostic factors

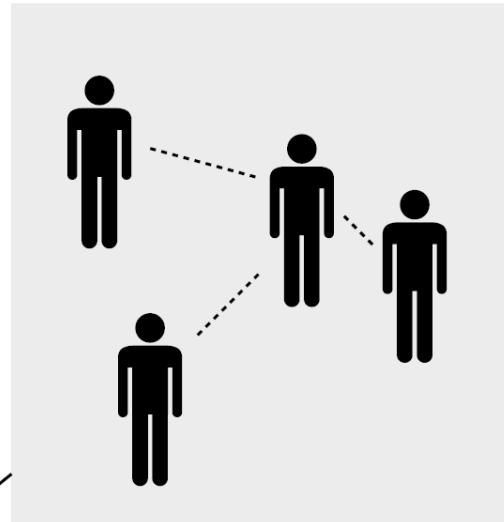
- Tumor size, number and distribution
- Tumor differentiation
- Vascular invasion
- Lymph nodes metastases
- Metabolic tumor volume
- R Status

BUT !!

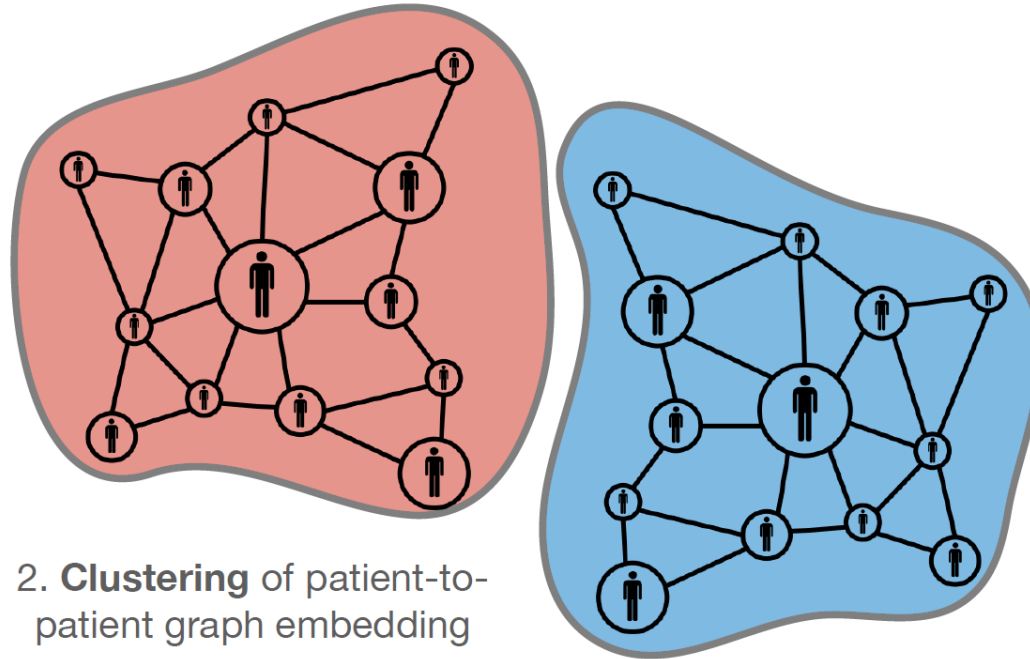
They are still debated, robust biomarker are lacking and precision medicine approach with an adequate **non-invasive preoperative assessment** of tumor biology and prognosis is still not available.

Cavinato, L., et al. (2021). Virtual Biopsy for Diagnosis of Chemotherapy-Associated Liver Injuries and Steatohepatitis: A Combined Radiomic and Clinical Model in Patients with Colorectal Liver Metastases. *Cancers*, 13(12), 3077.
Viganò, L. et al. (2021) Chemotherapy-associated liver injuries. Unmet needs and new insights for surgical oncologists. *Annals of Surgical Oncology*, 28(8): 4074–4079 doi: 10.1245/s10434-021-10069-z

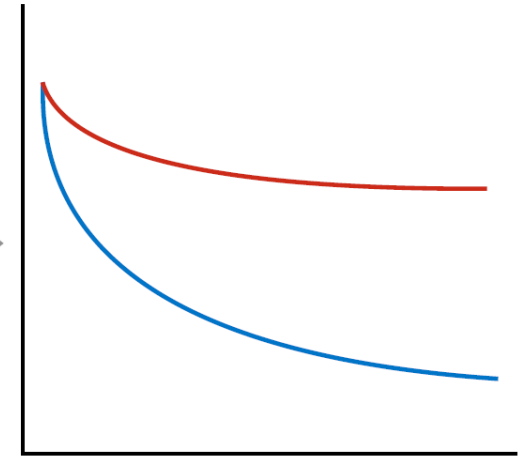
Intrahepatic Cholangiocarcinoma



1. Computation of **similarity** between patients and graph embedding



2. **Clustering** of patient-to-patient graph embedding



3. Group-wise **survival** comparison



4. Groups can thus be characterized in terms of significant covariates, both **endogenous** and **exogenous**

Similarity between patients is computed by quantifying the **similarity** of their **imaging** characteristics and similarity of their **time to event** (i.e., death or recurrence). According to similarities, patients are arranged in a **graph** where distance between nodes (patients) represents pair-wise similarity.

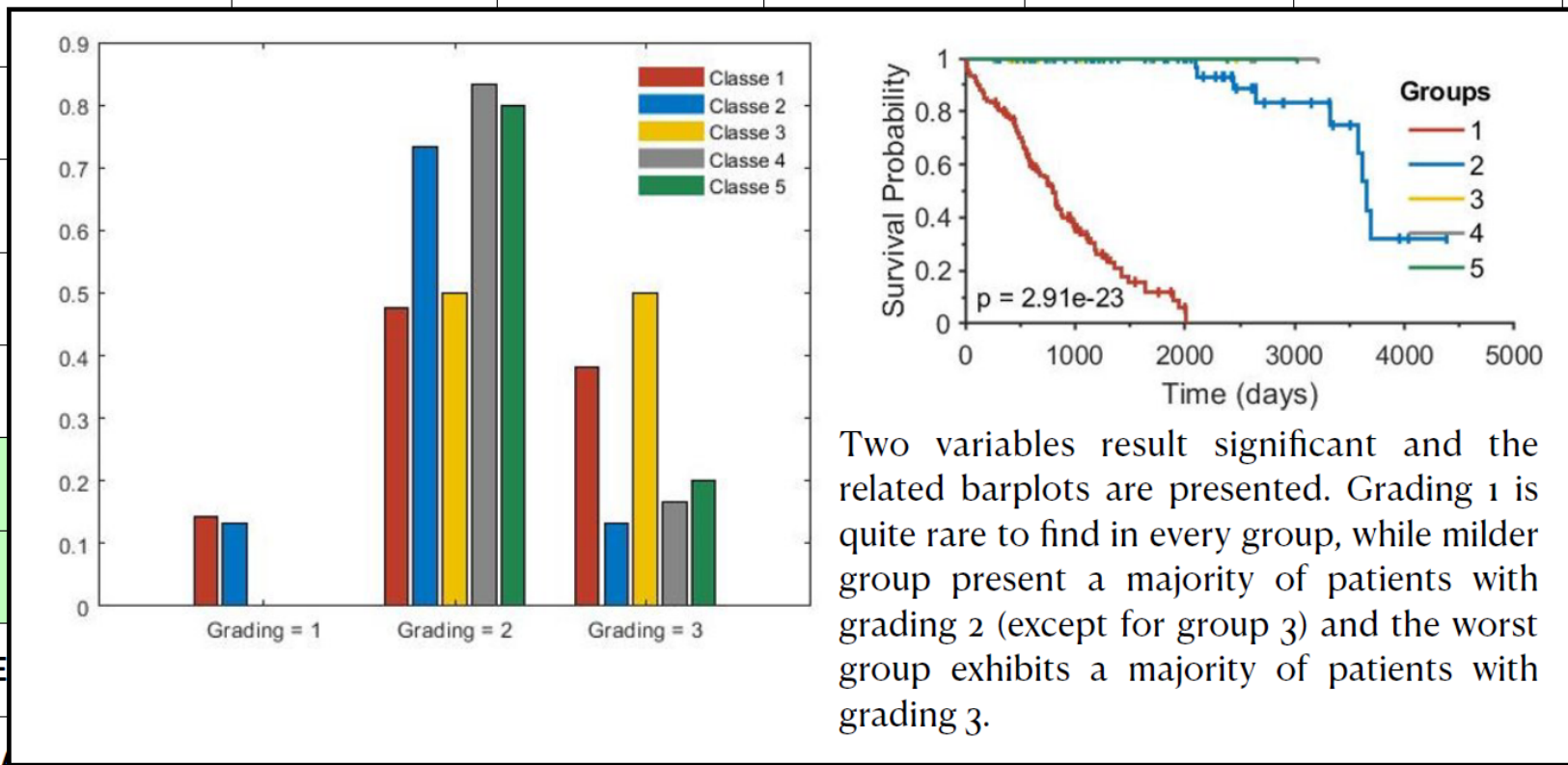
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Intrahepatic Cholangiocarcinoma

Cluster interpretation according to exogenous clinical variables

Variabili (% nel gruppo)	GROUP 1	GROUP 2	GROUP 3	GROUP 4	GROUP 5	P-value
PATTERN = 1						0.1730
PATTERN = 2						0.4856
PATTERN = 3						0.3603
SINGLE NODULE						0.4682
GRADING = 1						0.5990
GRADING = 2						0.0074
GRADING = 3						0.0081
INFILTRAZIONE PERIDUCALE						0.0653
CHEMIOTERAPIA ADIUVANTE	17%	56%	55%	55%	28%	0.5717



Case Study III – take home messages

- **Early detection** of responders/not responders or long/short-term survivors may allow for personalized and more effective treatments

=> Medical imaging is the most promising driver of the non-invasive predictive medicine.

- Unfortunately, the lack of standardization in image processes, the need of human intervention for segmentation and reconstruction still pose issues in transferrability of results and general assessment of efficacy in personalized prediction

=> Suitable representation methods are of crucial importance, as well as methods which are able to account for hierarchical structure of the data in multicenter trials

- Despite its limitations, radiomics is one of the most common way to process medical images in order to plug their information into a predictive machinery

=> Balance between interpretability and predictive power to enforce clinical actionability





Take Home Messages

Take Home Messages

- The increasing complexity of healthcare research and data require nowadays a **major effort in developing novel statistical models and algorithms for personalized prediction.**
- Such effort should be devoted to the development of robust evidence to support the development of precision policies, in a context of **Evidence Based Decision Making.**
- This is definitively not an easy task, since many issues still remain (lack of standardization, regulation of data access, privacy, among others).
- **Data are not enough.**
 - => More sophisticated and tailored analytics methods (new systems of health analytics, i.e., **integrated pipelines** going from data collection, to pre-processing tools and statistical models)
 - Shared (transdisciplinary) attention to a critical interpretation of the evidences generated as well as to their transfer to the decision level.
- **Complexity ask for new methods, not for more data**
- **Data cannot replace decisions => Keep humans into the loop**



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Thanks for your attention!

