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Mathematical and Numerical Challenges in Optical Tomography

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Work in collaboration with: A. Benfenati, G. Naldi

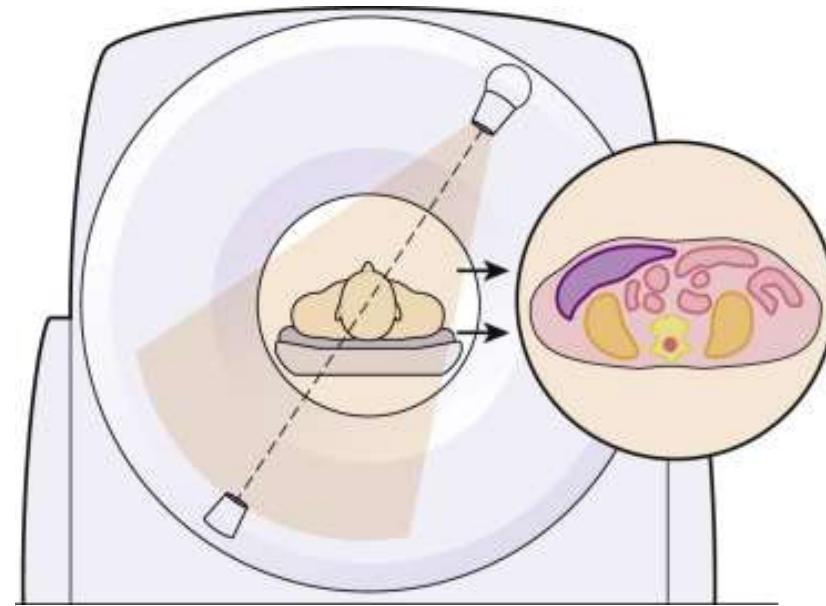


Georges de la Tour, The Education of the Virgin, ca. 1650

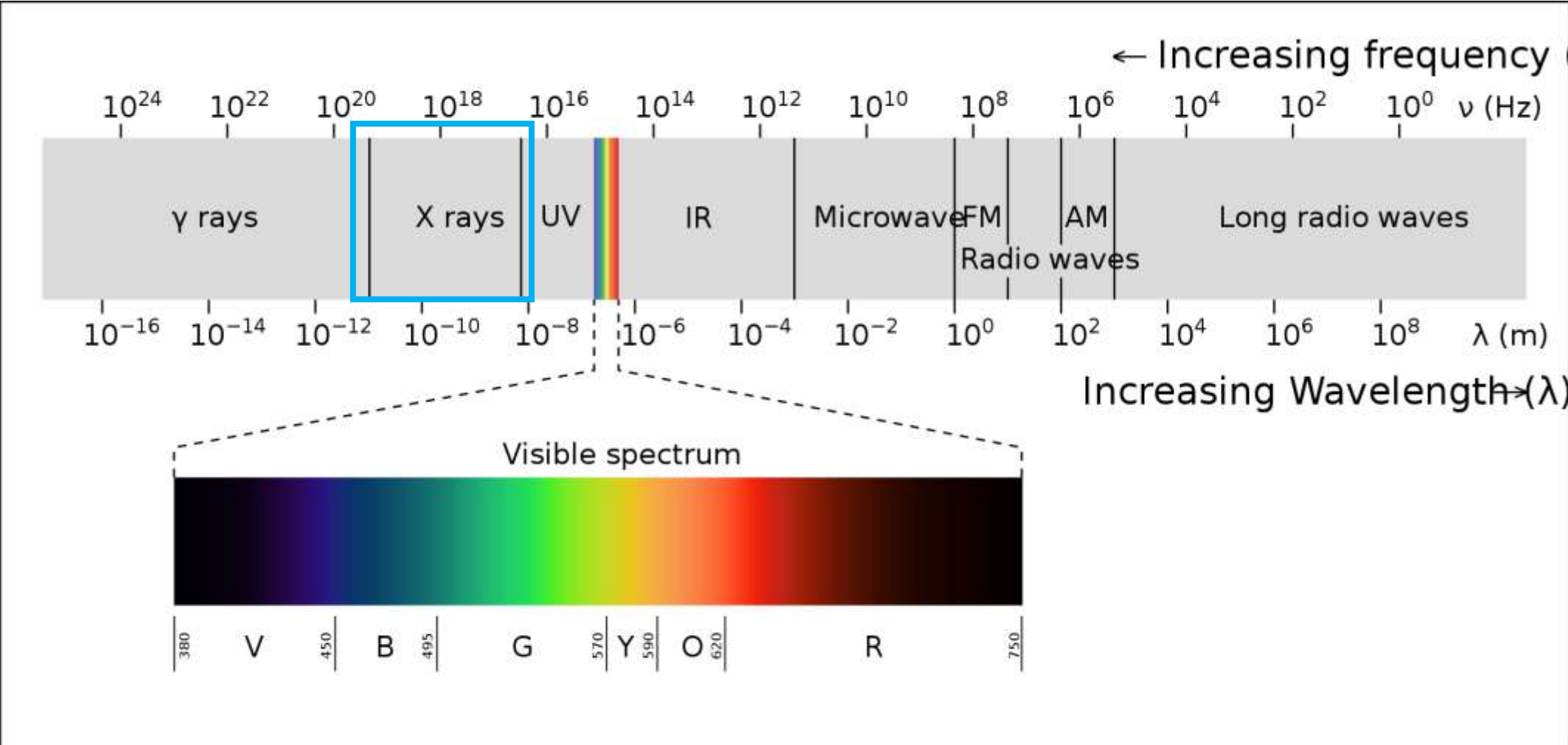
The idea of Computerized Tomography (CT)

Goal: provide **spatial information** about the **inside** of a sample by taking measurements from the **outside** from different perspectives

Here we focus on the application of CT to **medical imaging**, the technique and process of imaging the interior of a body for clinical analysis and medical intervention



The starting point: imaging via X-rays (10^{-3} to 10nm)



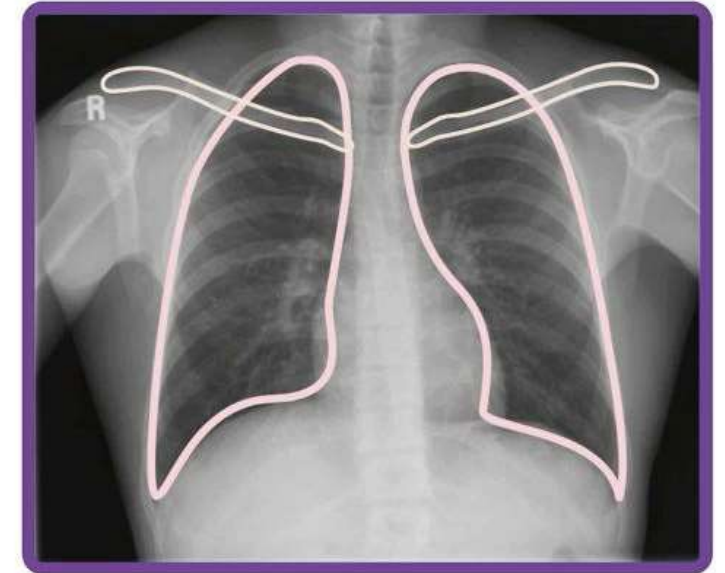
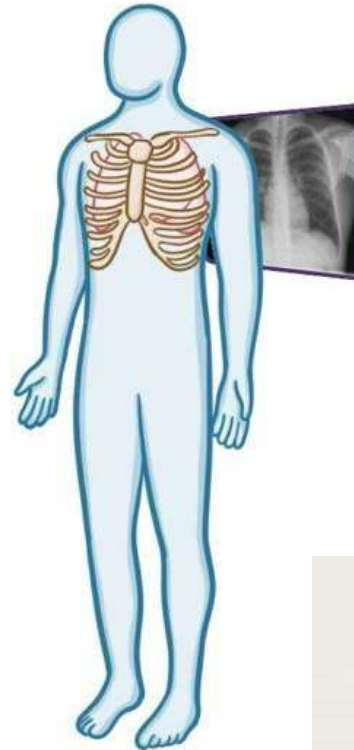
X-rays as investigating signal

Principle: the energy of the X-ray is attenuated from the tissue that it crosses. The denser the tissue region, the higher the attenuation

We are interested in a parameter called **absorption** (=attenuation) coefficient (μ_a). The result is expressed in relative Hounsfield units:

$$HU = \frac{\mu_a - \mu_{a,H_2O}}{\mu_{a,H_2O}} \times 100$$

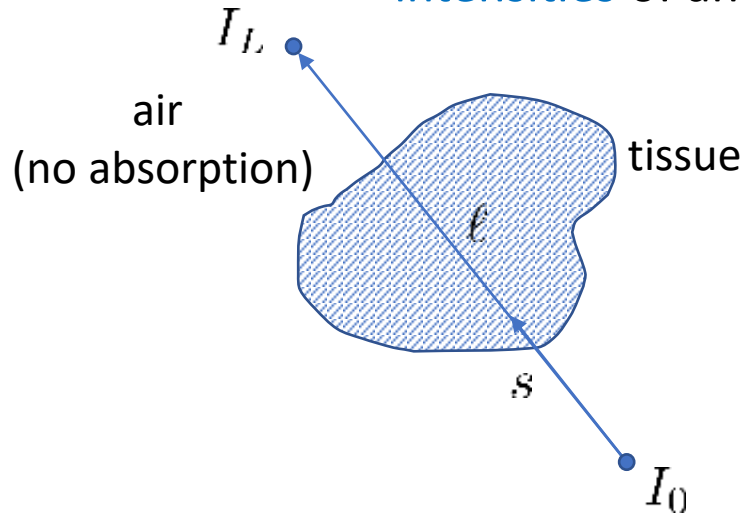
material	HU
water	0
air	-1000
bone	1086
blood	53
muscle	41



Muscles, tissue and bones attenuate differently the X-ray → different GLs: darker=less attenuation

Beer-Lambert law

The Beer-Lambert law connects the **initial (known)** and **final (measured) intensities** of an X-ray:



$$\begin{cases} \frac{dI}{ds} = -\mu_a I, & \mu_a = \mu_a(s) \\ I(s=0) = I_0 & \text{source} \\ I(s=L) = I_L & \text{detector} \end{cases}$$

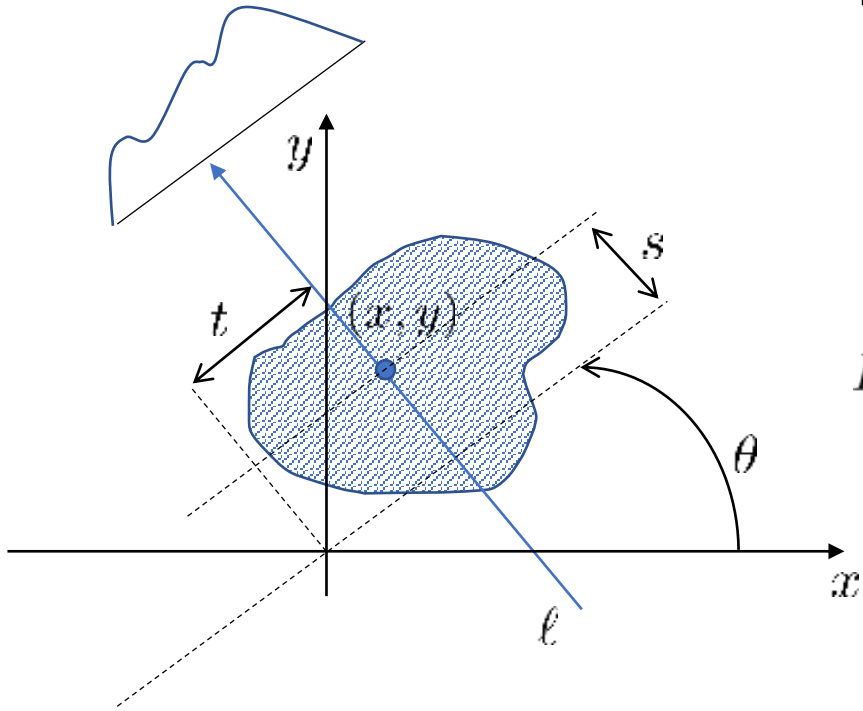
$$I(s) = I_0 \exp\left(-\int_{\ell} \mu_a(s) ds\right) \longrightarrow \int_{\ell} \mu_a(s) ds = -\log\left(\frac{I_L}{I_0}\right)$$

Pb.: we only possess **line integrals** of the quantity of interest

We need **several projections along different directions** to reconstruct the 3D spatial structure

Beer-Lambert law and Radon transform

Projection $P_\theta(t)$



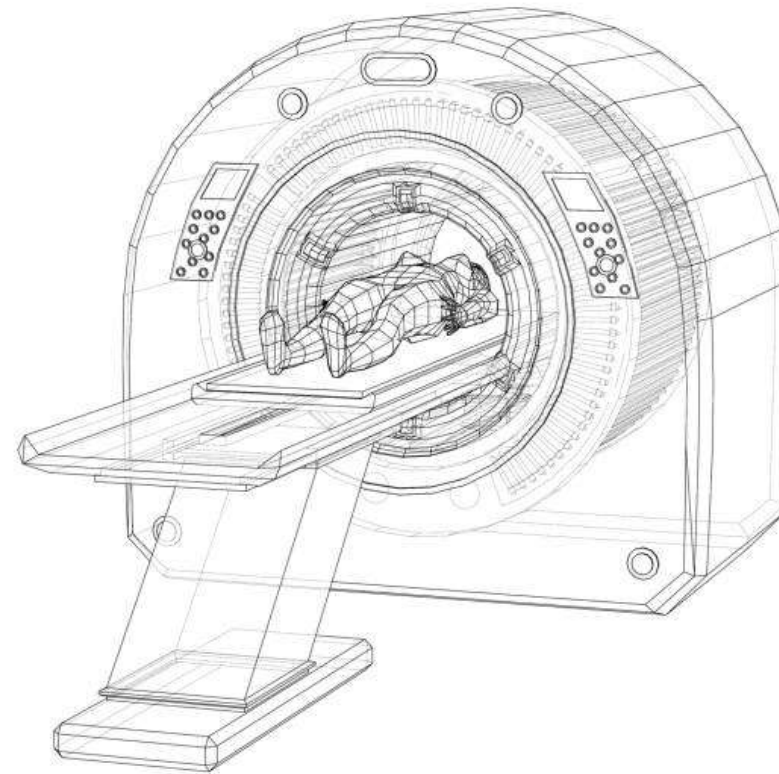
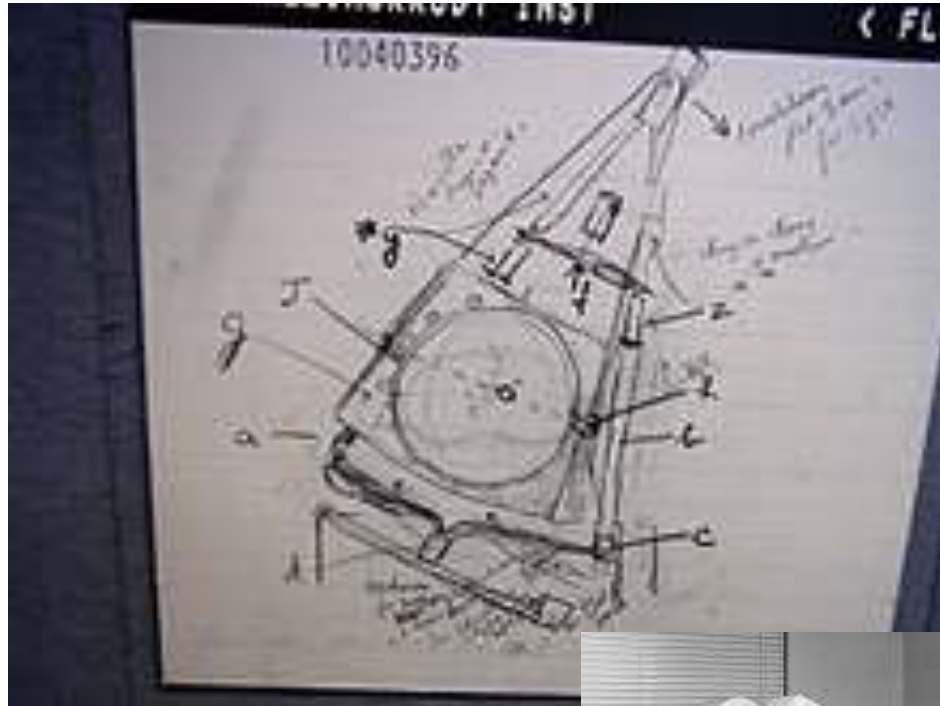
The Beer-Lambert law is connected to the Radon transform

Let $f(x, y) : \Omega \subset \mathbb{R}^2 \rightarrow \mathbb{R}^2$ density function

$$P_\theta(t) = \int_\ell f(x, y) ds = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} f(x, y) \delta(x \cos(\theta) + y \sin(\theta) - t) dx dy$$

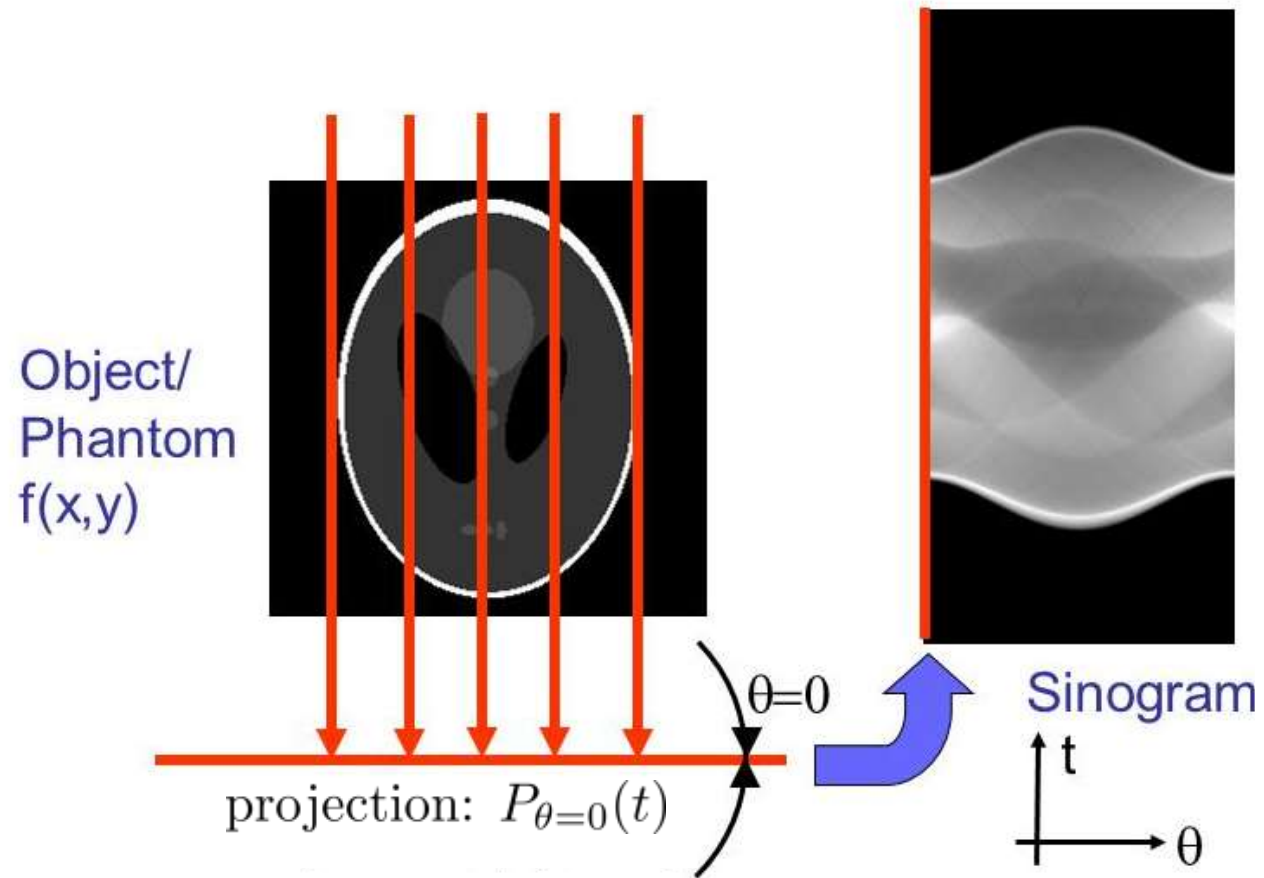
$$\int_\ell \mu_a(s) ds = -\log \left(\frac{I_L}{I_0} \right)$$

CT scan [1972 Cormack, Hounsfield - Nobel Prize 1979]



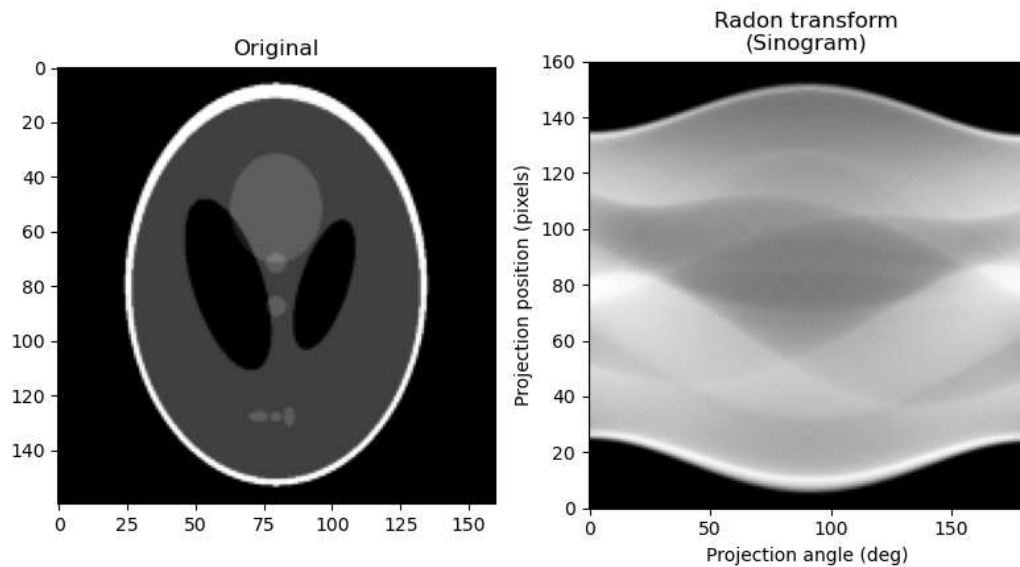
The sinogram

For every angle we obtain a projection, a collection of projections is called **sinogram**



'Computational' version

- Sinogram (measured data): already discrete (finite set of angles, finite set of detectors)
- Sample: discretize in voxels
- Various approaches to discretize (compute or approximate) the line integrals



$$\int_{\mathbb{R}^2} \underbrace{\delta(x \cos(\theta) + y \sin(\theta) - t)}_{\mathbf{K}(x,y;\theta,t)} f(x,y) dx dy = \mathbf{y}(\theta, t)$$

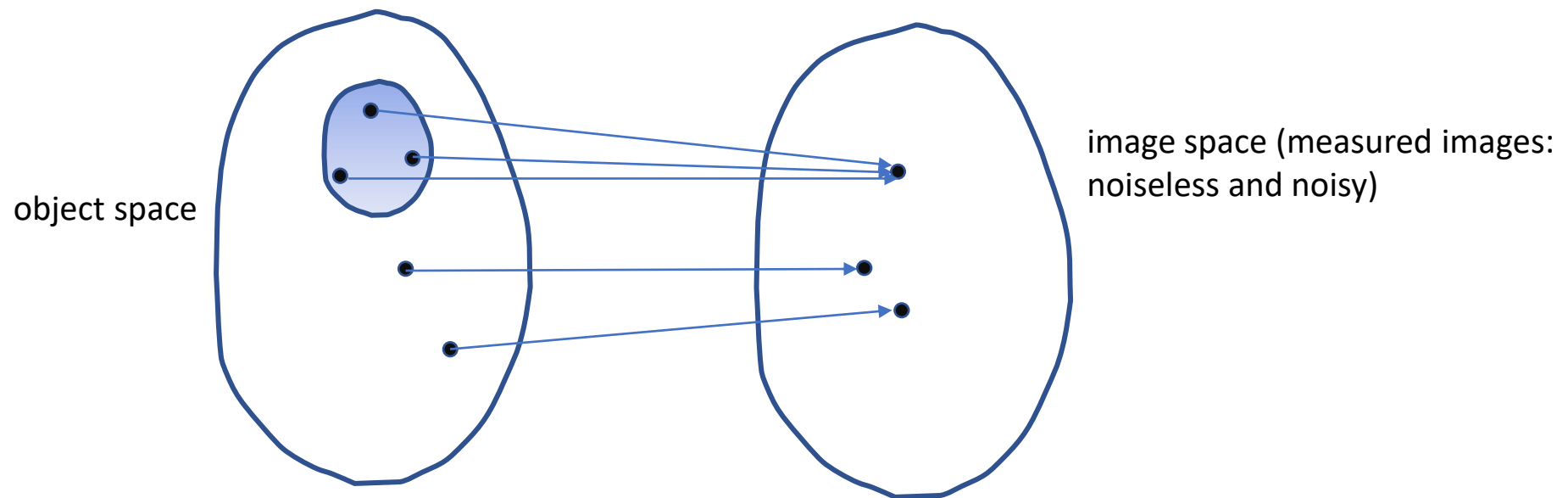
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$$\mathbf{Kf} = \mathbf{y}$$

Mathematical problem in CT reconstruction

Find hidden model parameters (biophysical parameters) given noisy/subsampled indirect observations

- **Direct problem:** oriented along a cause-effect sequence with a natural (“beneficial”) loss of information. The solution is generally smoother than the data (ex: the image provided by a bandlimited system is smoother than the real object imaged)
- **Inverse problem:** needs to accomplish a transformation which implies a gain of information



Mathematical problem in CT reconstruction

Find hidden model parameters (biophysical parameters) given noisy/subsampled indirect observations

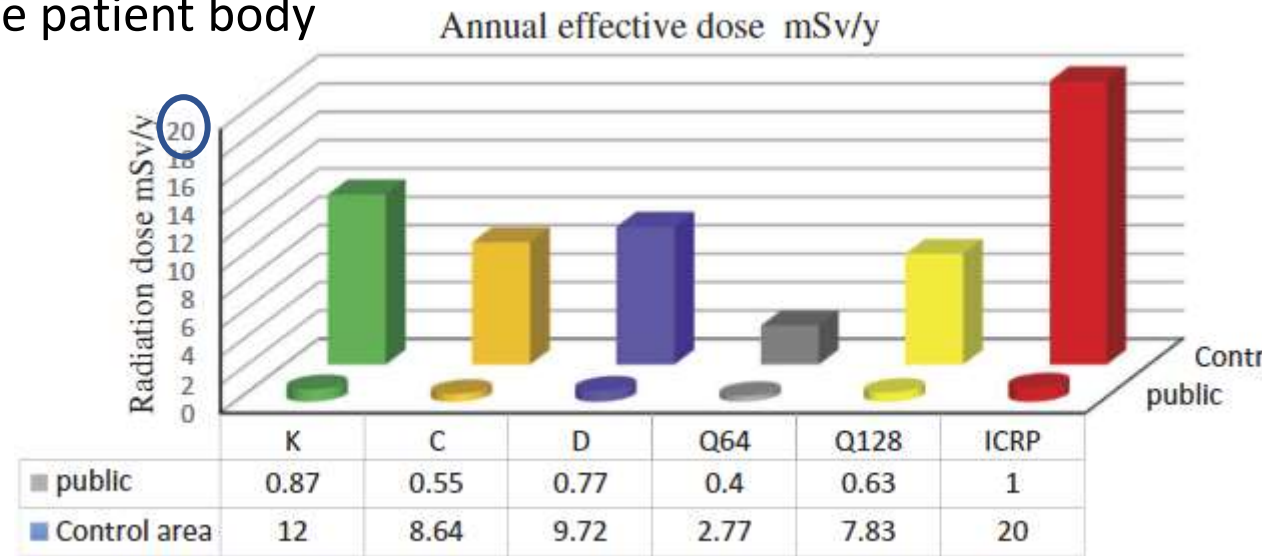
- **Direct problem:** oriented along a cause-effect sequence with a natural (“beneficial”) loss of information. The solution is generally smoother than the data (ex: the image provided by a bandlimited system is smoother than the real object imaged)
- **Inverse problem:** needs to accomplish a transformation which implies a gain of information

Ill-posedness:

- small errors in the data may lead to large errors in the model parameters
- several possible model parameter values consistent with the same observations

How much radiation (is too much)?

In a common CT scan in clinical practice, about 1000 angular measurements are taken by rotation of the X-ray tube around the patient body

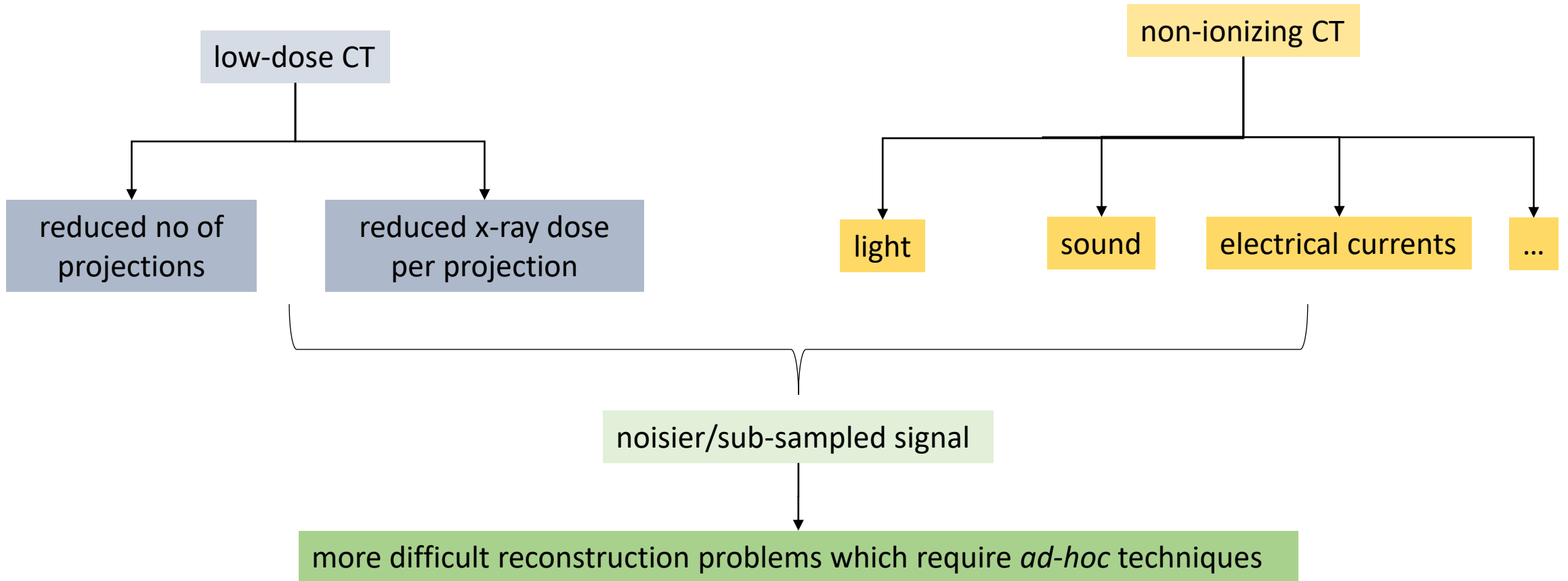


Hospitals anonymous names	Parameters	Time (s)	mAs ^a	No. of slices	CTDI _{vol} (mGy)	DLP (mGy cm)	Patient effective dose in mSv
K (AS-64 slices)	Control area	8.14	364	48	59.5	947.2	1.99
	Control area	9.10	193	139	8.5	436.7	6.11
	Control area	5.71	206	168	12.1	550.3	8.25
C (GE-64 slices)	Control area	7.25	330	175	55.15	1285	2.70
	Control area	2.87	650	220	8.91	505	7.07
	Control area	3.43	680	236	13.78	1630	24.45
Q (AS-64 slices)	Control area	8.01	363	45	57	919.5	1.93
	Control area	9.22	186	139	8.46	432.7	6.06
	Control area	5.31	206	168	12.1	524.6	7.87
Q (ICT-128 slices)	Control area	7.73	274	85	62.6	1357	2.85
	Control area	8.00	298	252	8.8	487.1	6.82
	Control area	5.16	486	512	13.79	1415.8	21.24
D (Nesoft-16 slices)	Control area	12.3	329	53	74.84	490.6	1.03
	Control area	8.32	274	102	8.98	508.4	7.12
	Control area	8.7	288	40	19.95	481.4	7.22

[Evaluation of arising exposure of ionizing radiation from computed tomography and the associated health concerns
 JOURNAL OF RADIATION RESEARCH AND APPLIED SCIENCES, 2020]

``Sustainable`` CT

Reduce the exposure to harmful X-rays by pursuing:



Towards diagnosis by light: Diffuse Optical Tomography (DOT)



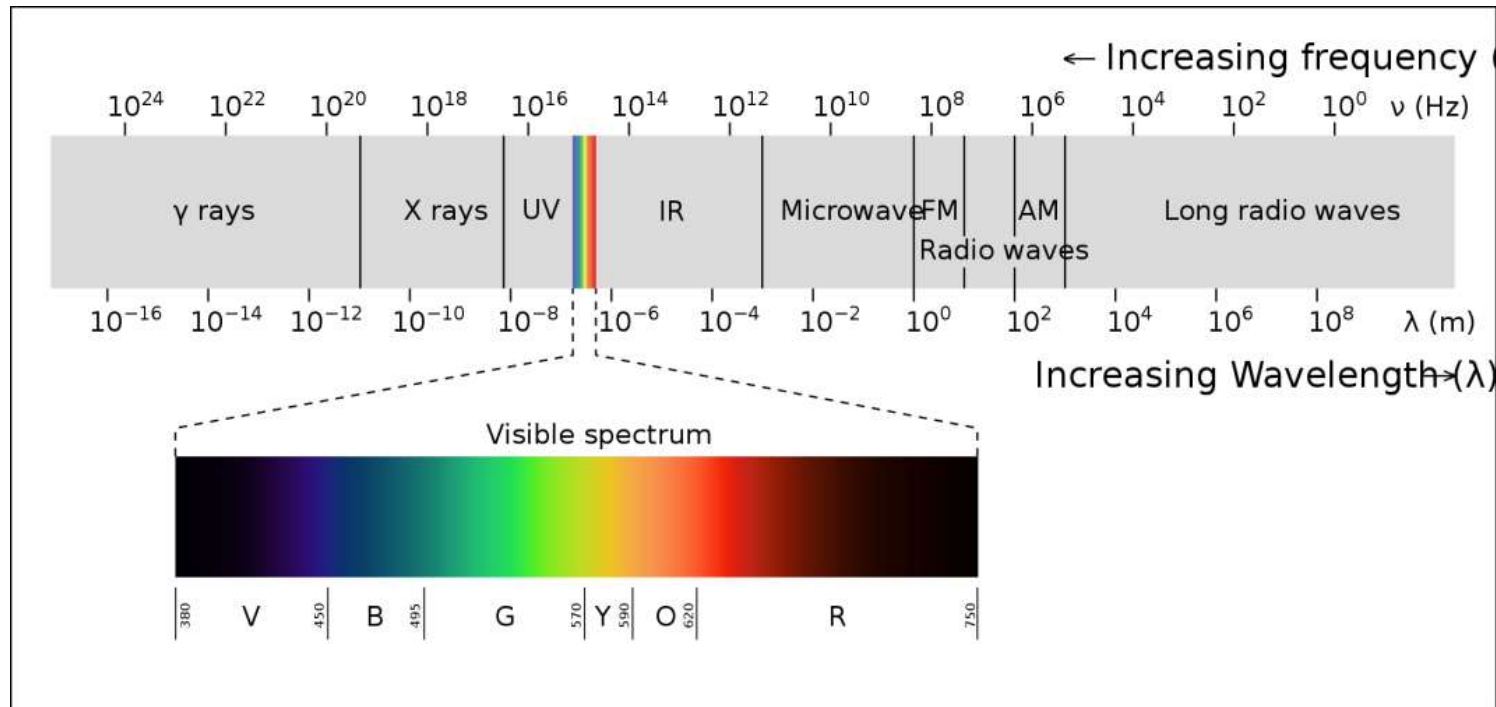
Common experience suggests that light can pass travel through biological tissues and be detected on the other side



Traditionally, clinicians have evaluated a patient health condition by his/her complexion (that is, the hue appearing from underneath the skin)

DOT principles

DOT employs near-infrared (NIR, 600 - 900nm) light sources to illuminate the biological tissue *in vivo* from different perspectives, similarly to x-ray tomography, with the aim of inferring the tissue optical parameters



DOT principles

DOT employs near-infrared (NIR, 600 - 900nm) light sources to illuminate the biological tissue *in vivo* from different perspectives, similarly to x-ray tomography, with the aim of inferring the tissue optical parameters

The propagation of light through biological tissues is affected by the optical parameters:

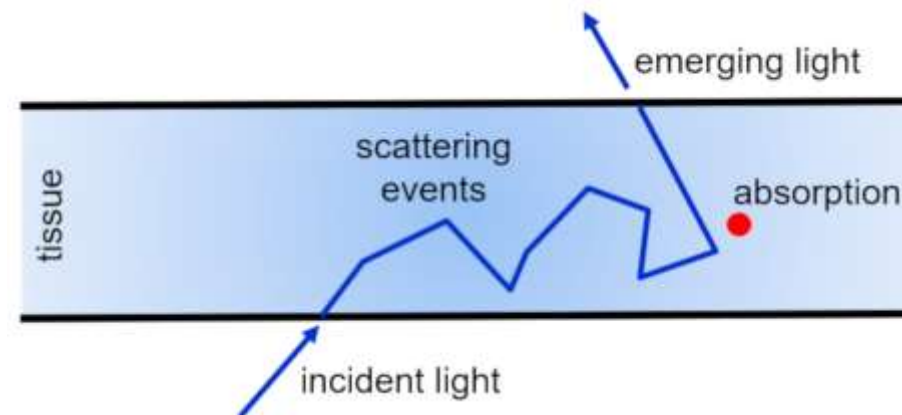
absorption μ_a [$1/cm$] and scattering μ_s [$1/cm$]

at NIR Wavelengths: scattering \gg absorption

Typical values:

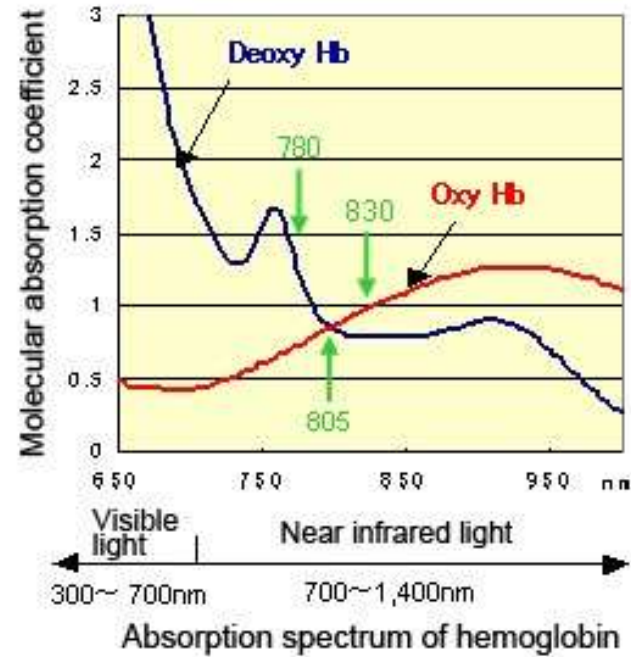
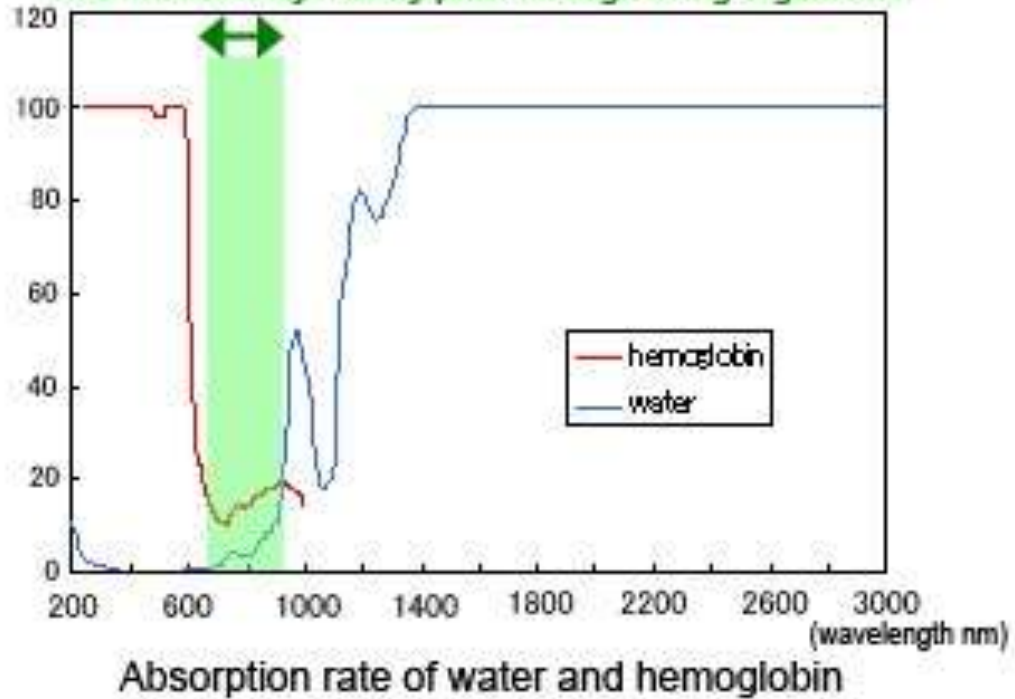
μ_a : 0.01 - 0.30 cm^{-1}

μ_s : 2 - 20 cm^{-1}

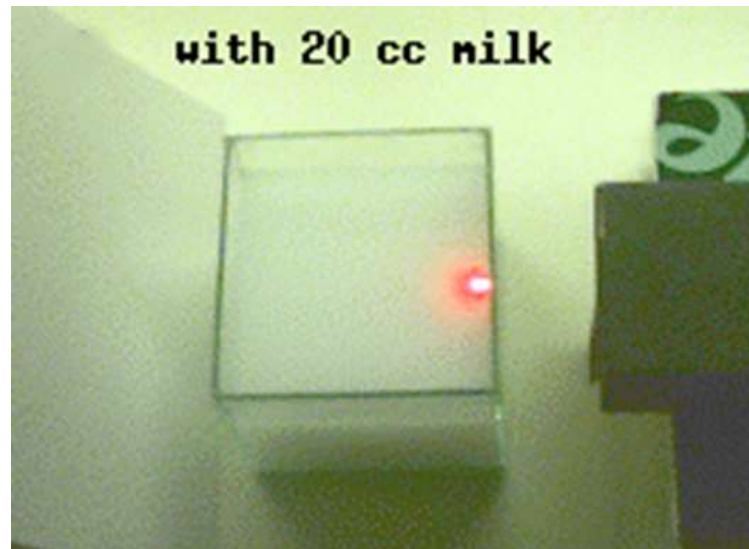
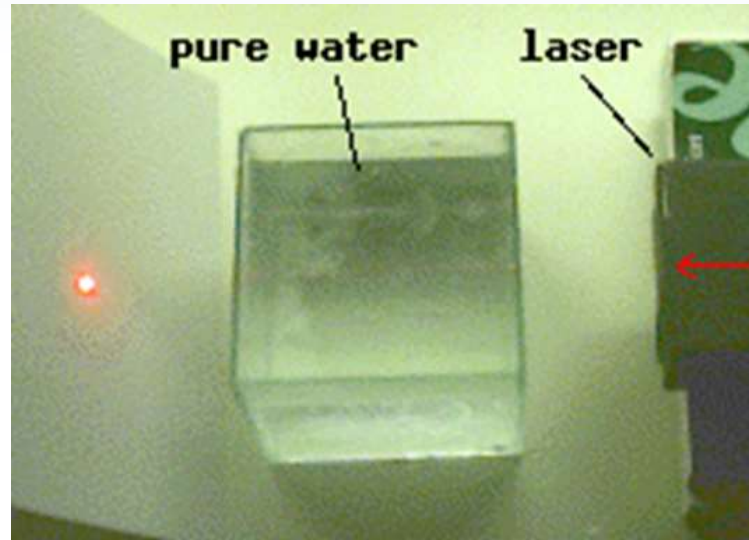


The NIR window

Window to living organisms: Wavelength range in which near infrared rays easily pass through living organisms



...but.. scattering of light in turbid media



https://omlc.org/classroom/scat_demo/

Physics-driven (variational) methods

Reconstruct the model parameters $x \in X$ from measurement $y \in Y$ by solving the minimum problem:

$$x^* = \arg \min_x \underbrace{[d(\mathcal{G}(x), y)]}_{\text{discrepancy (data fidelity)}} + \underbrace{\mathcal{R}(x)}_{\text{regularization (encodes prior knowledge on the solution)}}$$

discrepancy (data fidelity)

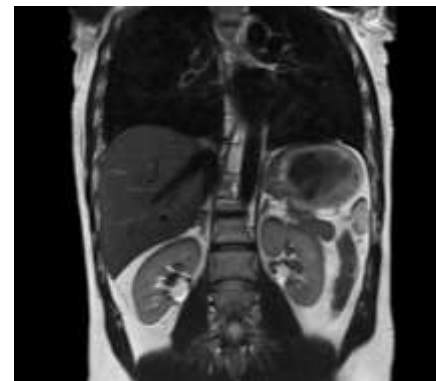
regularization (encodes prior knowledge on the solution)

$\mathcal{G} : X \rightarrow Y$ forward (direct) model

discrepancy functional (example)

$$d(\mathcal{G}(x), y) := \frac{1}{2} \|\mathcal{G}(x) - y\|_2^2$$

hard priors: exams performed with other techniques (ex MRI)



soft priors:

- sparsity of the solution
- range of values
- positivity/negativity

↓
 L_2, L_1, TV, \dots

Physical models of light propagation in turbid media

The propagation of light with scattering and absorption is rigorously governed by the [radiative transfer equation](#) (RTE)

Key quantity: light radiance $L = L(r, s, t)$ W/cm²sr

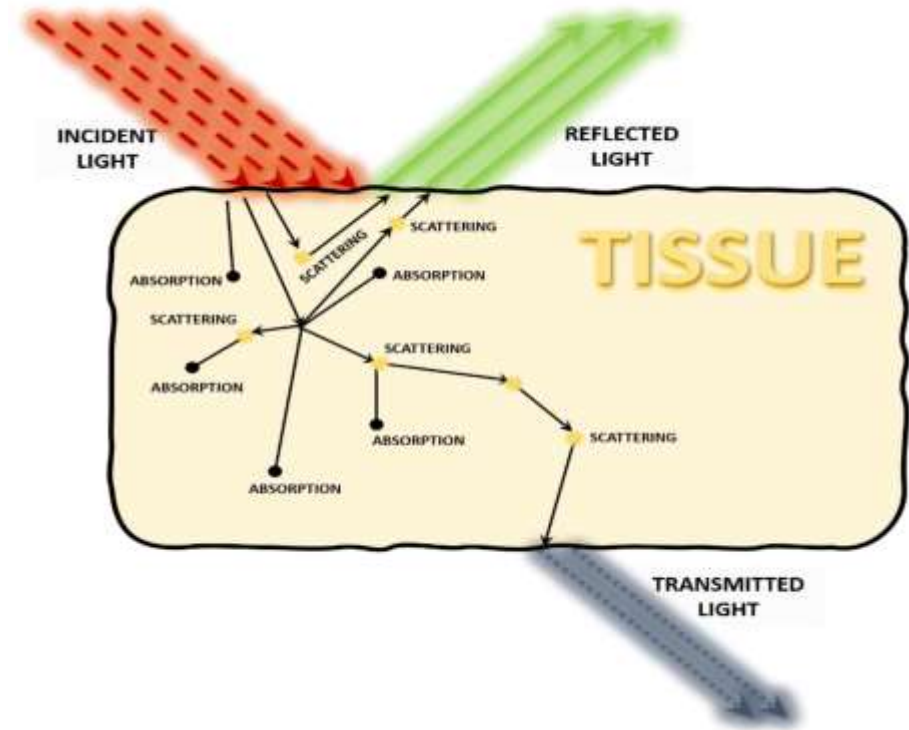
light power per unit area traveling in the s direction at position r

Solving the RTE is computationally very intensive
so that many simplifications have been sought in literature



[Diffusion approximation](#): valid when the photon scattering is the governing phenomena in the tissue: true for biological matter such as skin, bone, brain matter or breast tissue (absorption \ll scattering)

In any case...PDE models (more complex than the Beer law!)



Direct and inverse mathematical models for DOT

Direct problem: given the optical properties $D \simeq \frac{1}{\mu_s}$ and μ_a solve the **diffusion equation** for photon fluence $\Phi = \Phi(r)$ [W/cm²]

$$\left\{ \begin{array}{l} \left[\Delta - \frac{\mu_a}{D(r)} \right] \Phi(r) = -\frac{S(r)}{D} \quad \text{in } \Omega (= \text{breast}), \\ \Phi = 0 \quad \text{on } \Gamma_D, \quad \frac{\partial \Phi}{\partial n} + A\Phi = 0 \quad \text{on } \Gamma_R \end{array} \right.$$

Inverse DOT problem: given photon fluence measurements on the boundary, deduce the optical field μ_a

Rytov approximation

$$\mu_a = \mu_{a,0} + \delta\mu_a$$

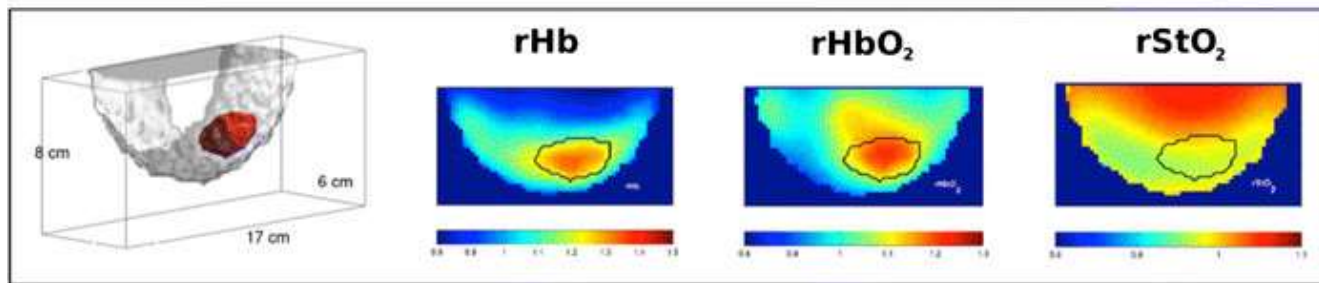
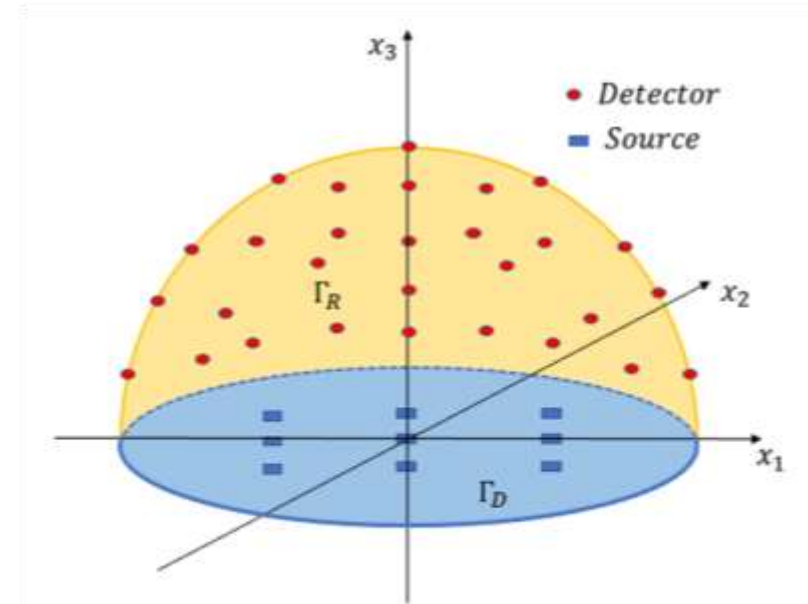
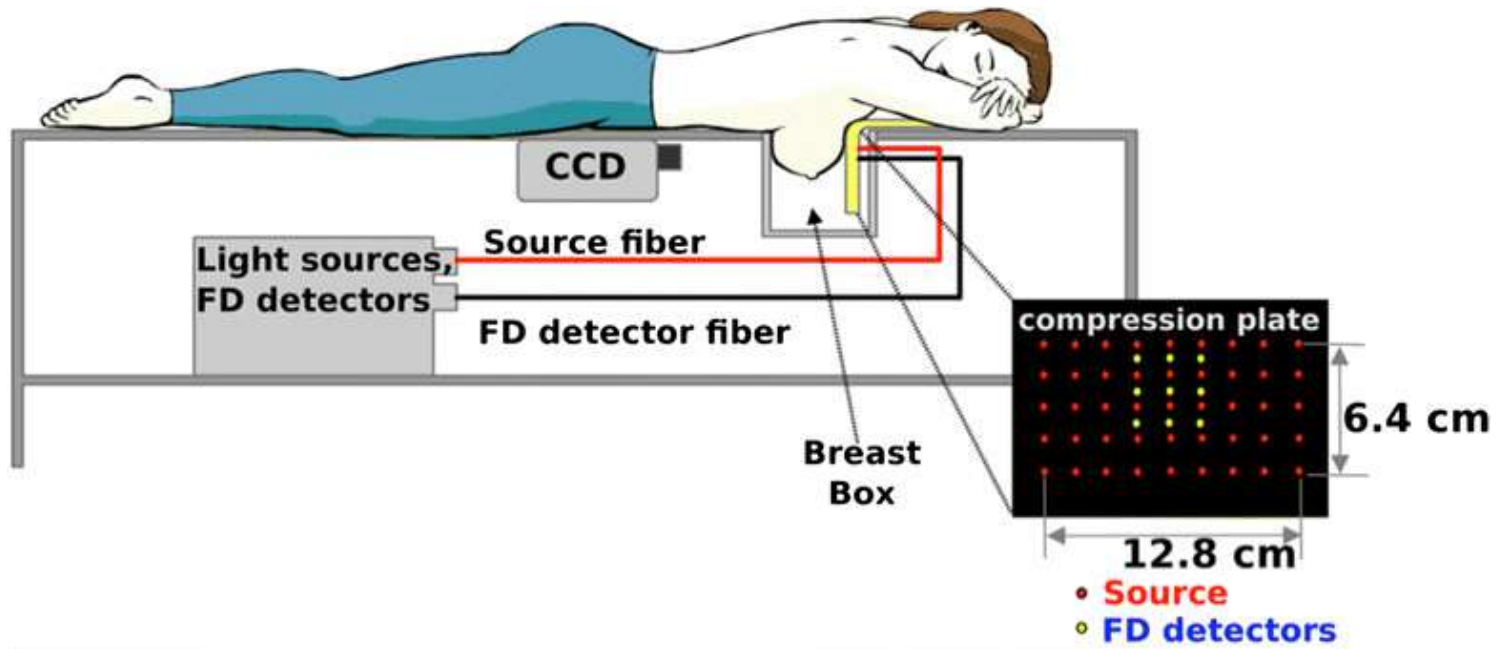
$$\Phi = e^{\Psi_0 + \Psi_1} = \Phi_0 e^{\Psi_1}$$

solution via Green's function (meshless)

after some algebra:

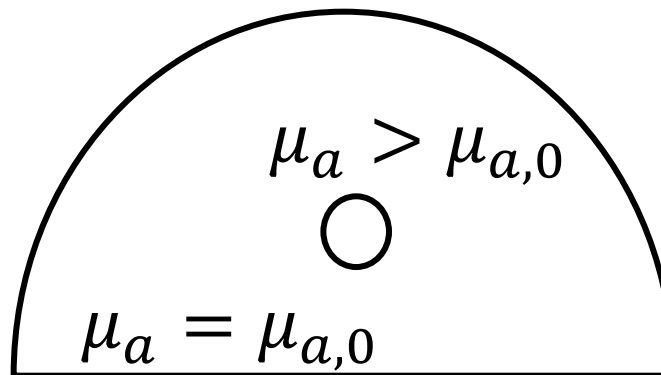
$$\left[\Delta - \frac{\mu_{a,0}}{D} \right] (\Phi_0 \Psi_1) = \frac{\delta\mu_a}{D} \Phi_0 \quad \Psi_1 = \frac{1}{\Phi_0 D_0} \int_{\Omega} G(r - r') \delta\mu_a(r') \Phi_0(r') dr'$$

Application of DOT to breast screening

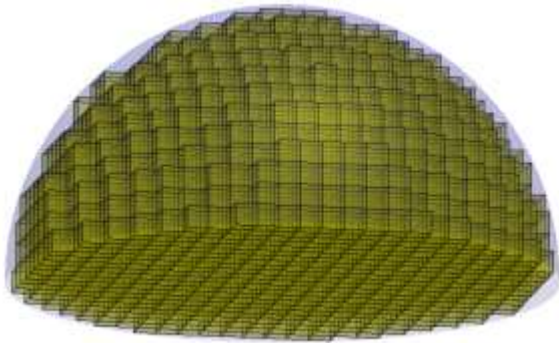


DOT and clinics

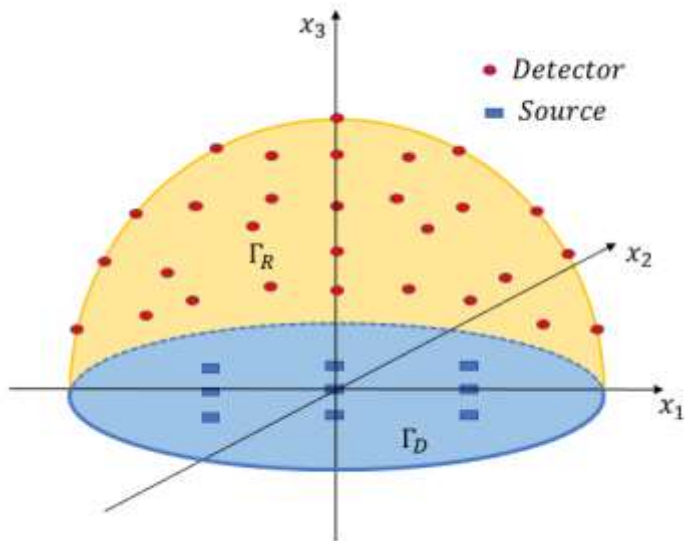
Altered optical coefficients might indicate pathogenic processes in the tissue, for example an increased μ_a may be marker of tumoral lesions (related to angiogenesis)



Discretization



Voxelization of the domain (N voxels)



$$\psi_1 = \log\left(\frac{\Phi_i}{\Phi_{0,i}}\right)$$

$$\underbrace{\sum_{j=1}^N \frac{\Delta V_j}{D_0 \Phi_0(r_i^s, r_i^d)} G(r_i^d - r_j) \Phi_0(r_i^s, r_j) \delta \mu_a}_{J \text{ sensitivity matrix}} \simeq \underbrace{\log\left(\frac{\Phi_i^M}{\Phi_{0,i}^M}\right)}_y \text{ from measures}$$

$$J \delta \mu_a \simeq y$$

Solution and Regularization

Solve $J\delta\mu_a = y \rightarrow \delta\mu_a = \operatorname{argmin} \|\mathbf{J}\delta\mathbf{x} - \mathbf{y}\|_2^2$

Elastic Net regularization [Zou et al., 05]

$$\delta\mu_a = \operatorname{argmin} \frac{1}{2} \|\mathbf{J}\delta\mathbf{x} - \mathbf{y}\|_2^2 + \lambda \left(\alpha \|\delta\mu_a\|_1 + (1 - \alpha) \|\delta\mu_a\|_2^2 \right), \alpha \in [0,1]$$

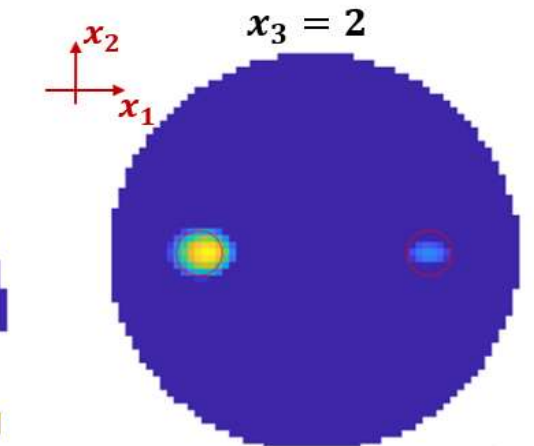
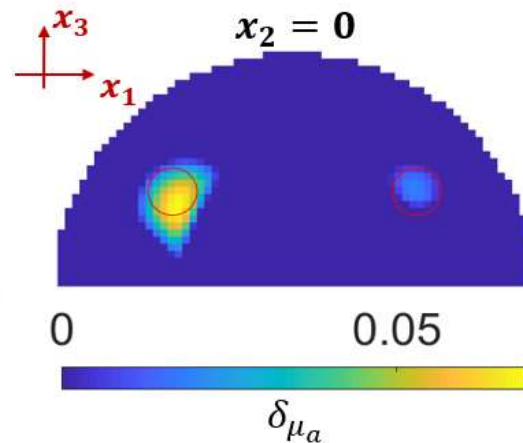
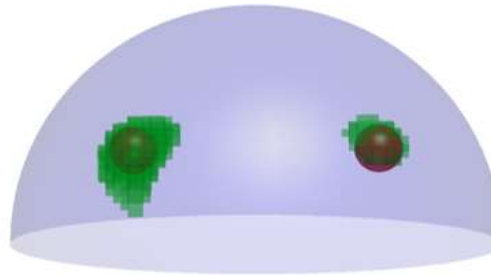
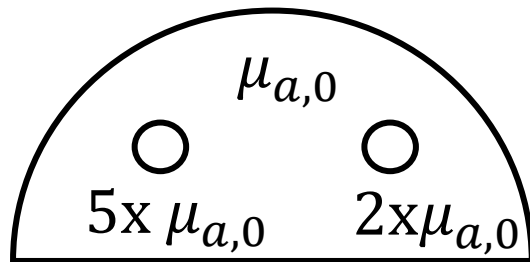
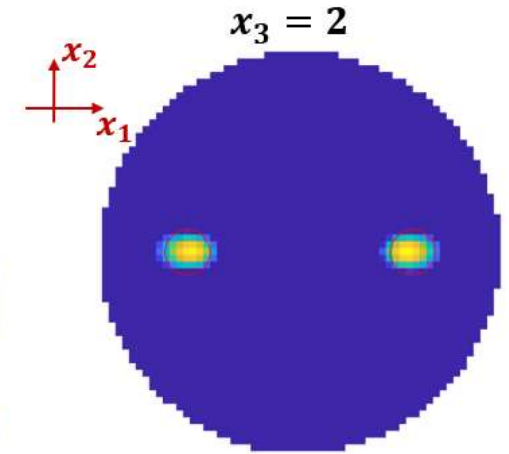
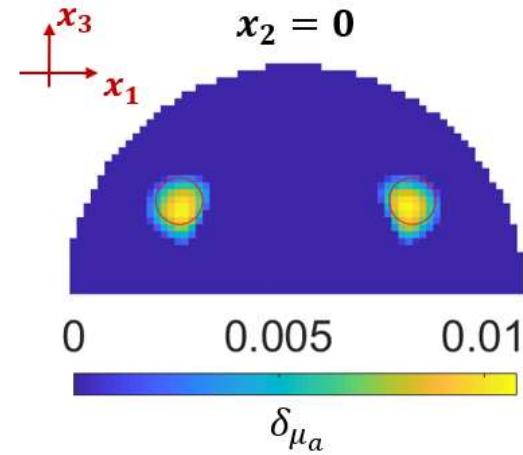
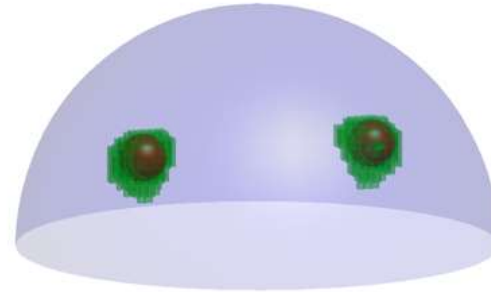
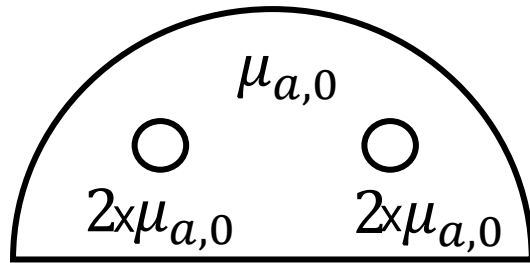


ℓ_1 -norm: finds strong components
(enhance sparsity)



ℓ_2 -norm (Tikhonov): robust regularization

Results on a simulated test case

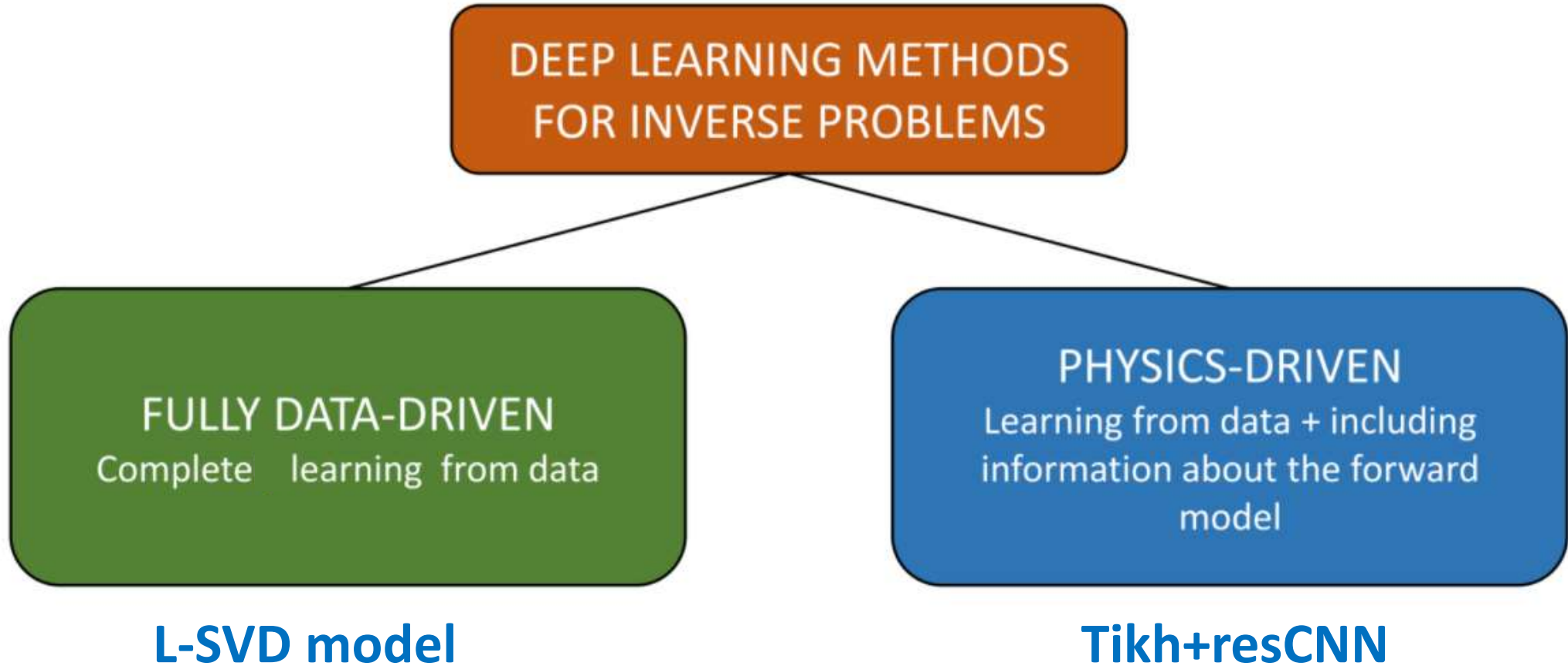


[P.C., M. Lupieri, G. Naldi, RM Weishaepf. *Mathematical and numerical challenges in optical screening of female breast*, Int J Numer Method Biomed Eng (2020)]

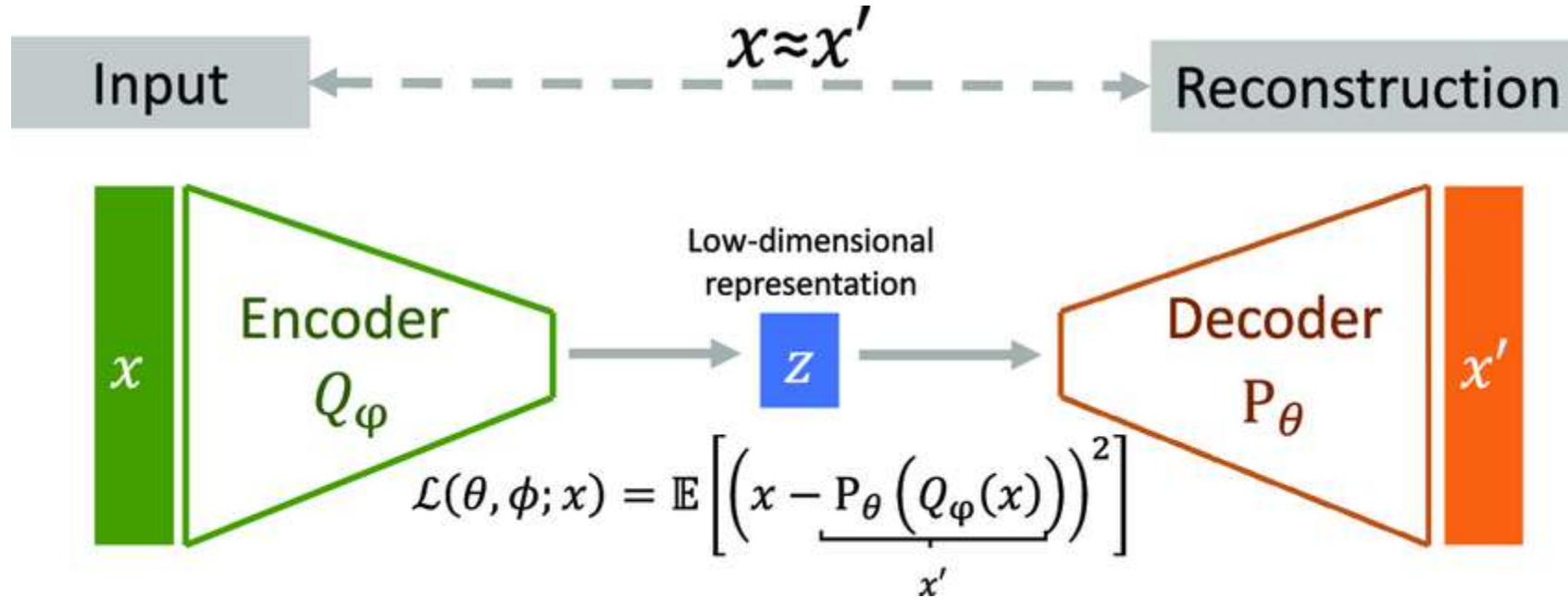
Variational approach: pro & cons

- well established approach
- difficult choice of the regularization parameters, *ad-hoc* for each single case
- there are conditions that are *never* correctly reconstructed (*e.g.*, more than one inclusion with different absorption coefficients, second row of the previous example)

Deep Learning for DOT reconstruction

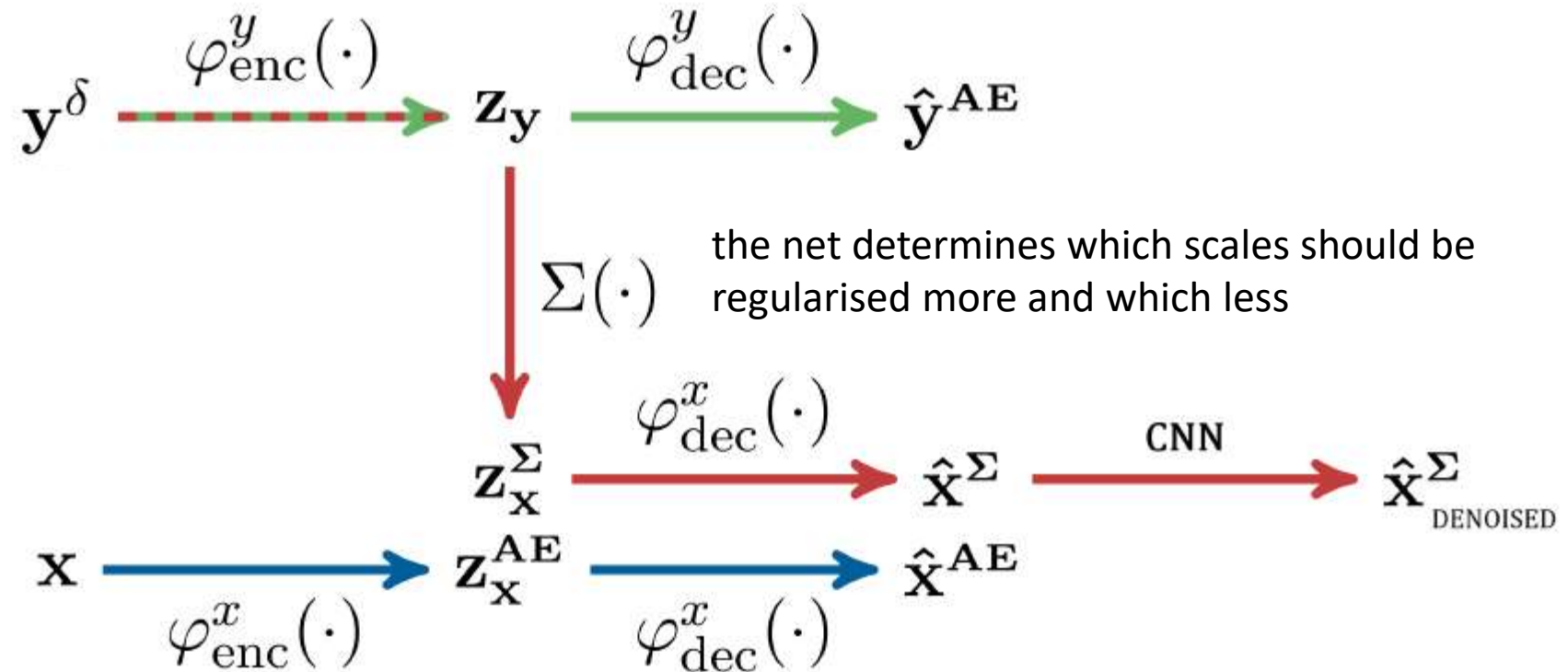


Before starting...: what is an autoencoder?



Fully data drive model: Learned-SVD (L-SVD)

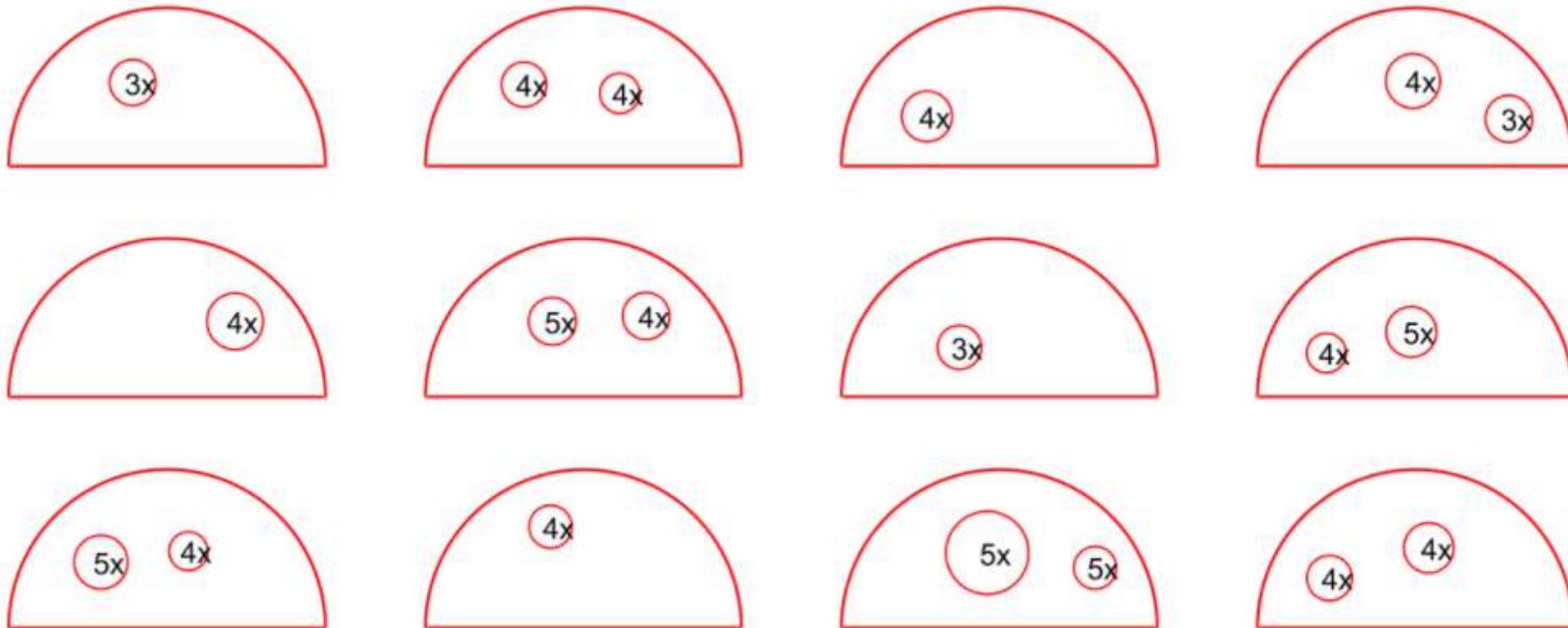
nonlinear learned variant of SVD $\mathcal{N} := \underbrace{\varphi_{enc}^y \circ \Sigma \circ \varphi_{dec}^x}_{\text{Inversion}} \circ \underbrace{\mathcal{N}_1}_{\text{Denoising CNN}}$



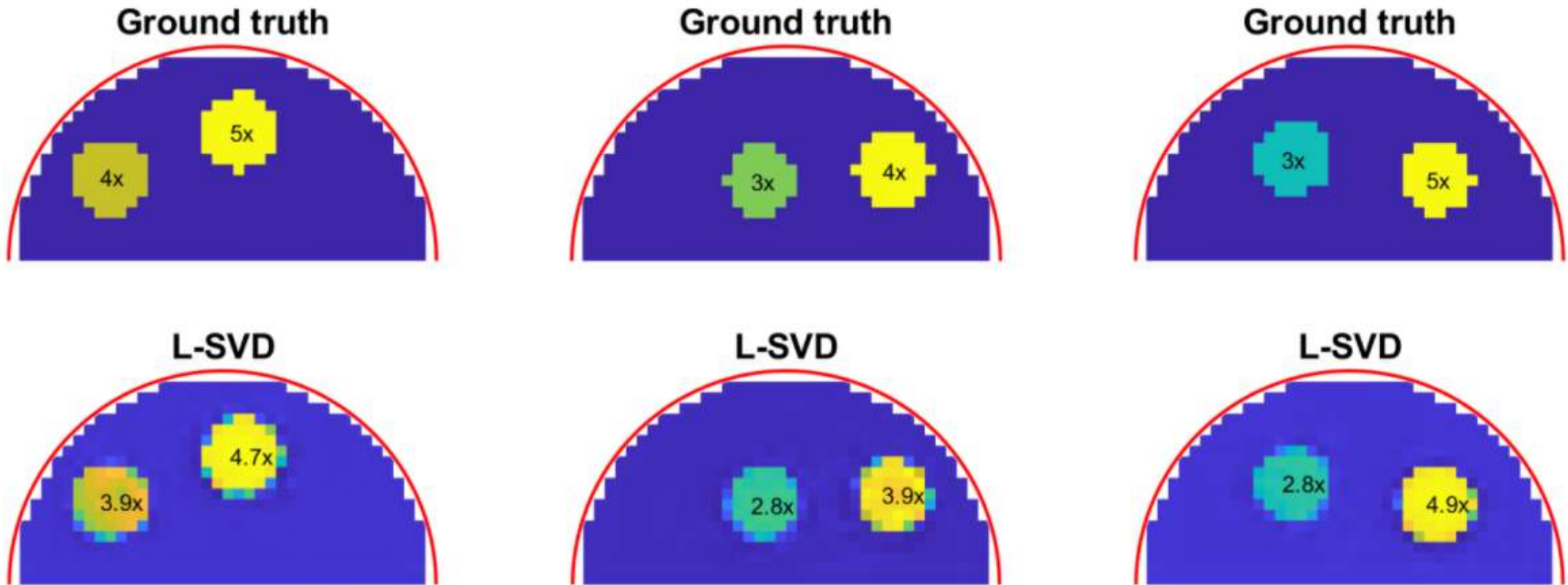
[A. Benfenati, G. Bisazza, P. C. «A Learned SVD approach for Inverse Problem Regularization in Diffuse Optical Tomography» ArXiv preprint no. 2111.13401 (2021)]

Training of the networks

- Synthetic dataset of 1500 samples
- Semi-circular domains with perturbed regions with different: position, location and value of μ_a
- we solved the forward problem (diffusion problem) for each of them, collecting the «measurements» on the boundary



L-SVD model reconstruction (from noiseless data): qualitative evaluation:



Quantitative metrics

We consider the following indices to quantitatively assess the quality of the reconstruction:

Absolute Bias Error

(smaller=better), sum over voxels
rec=reconstructed
GT=ground-truth

$$ABE = \frac{1}{N} \sum_{j=1}^N |\mu_{a,rec}^j - \mu_{a,GT}^j|$$

Contrast-to-noise ratio

(higher=better)
PR=perturbed region
BG=background

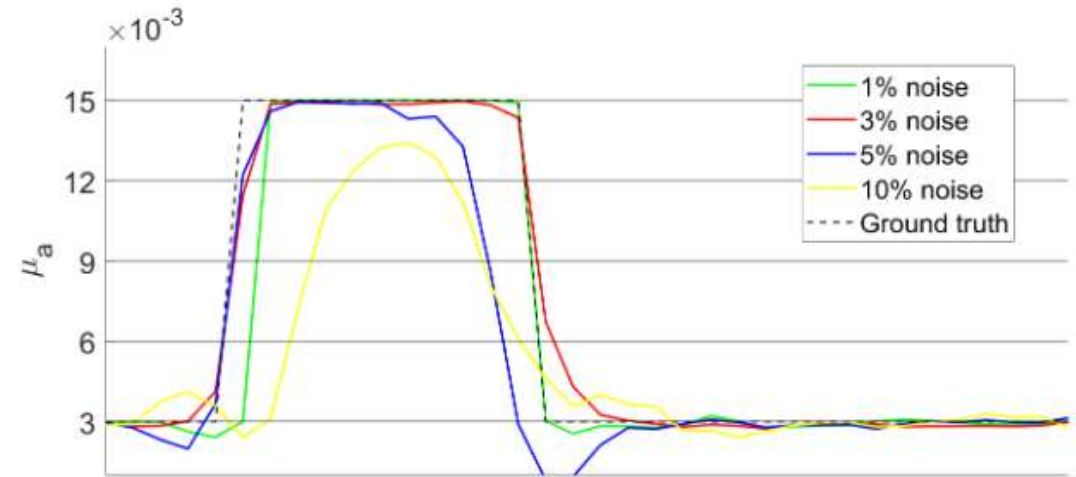
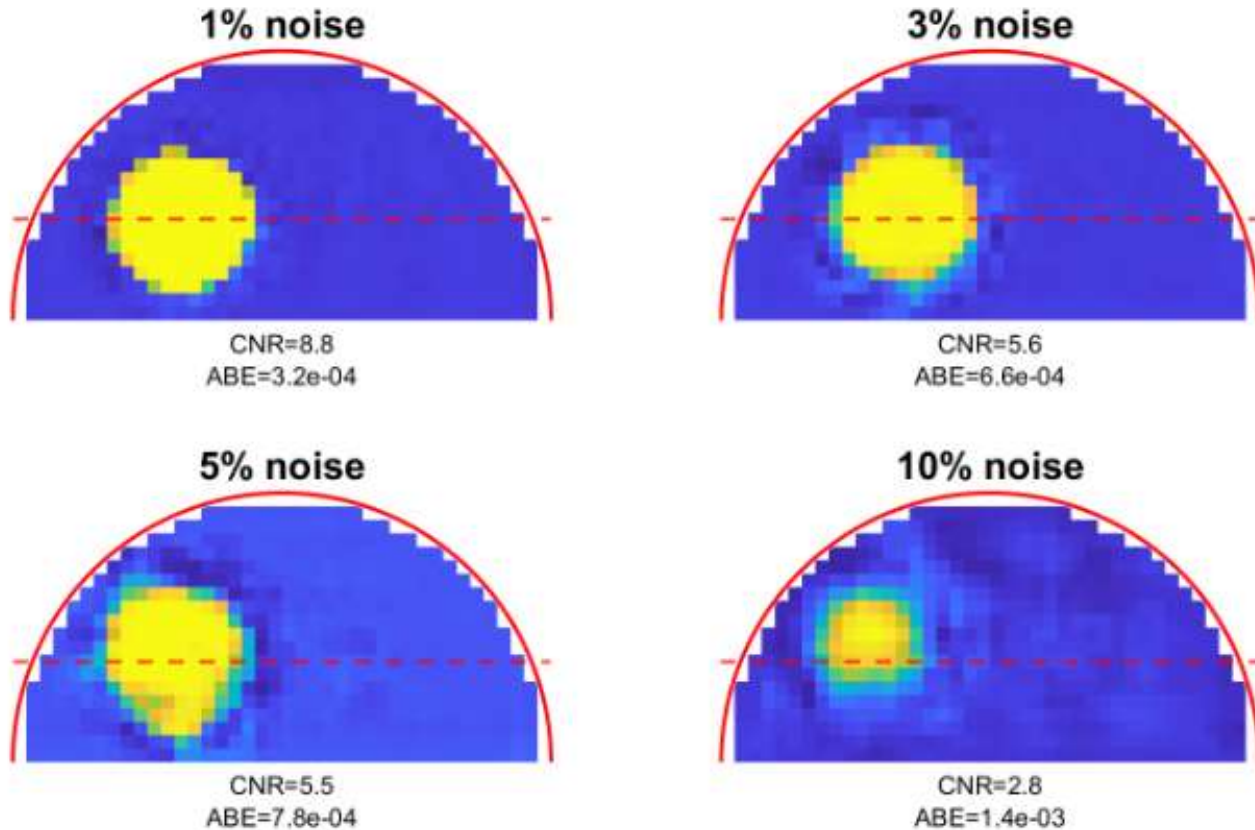
$$CNR = \frac{\overline{\mu}_{a,PR} - \overline{\mu}_{a,BG}}{\sqrt{w_{PR}\sigma_{PR}^2 + w_{BG}\sigma_{BG}^2}}$$

w_{PR} =perturbed surface/tot surface
overline=average of the region

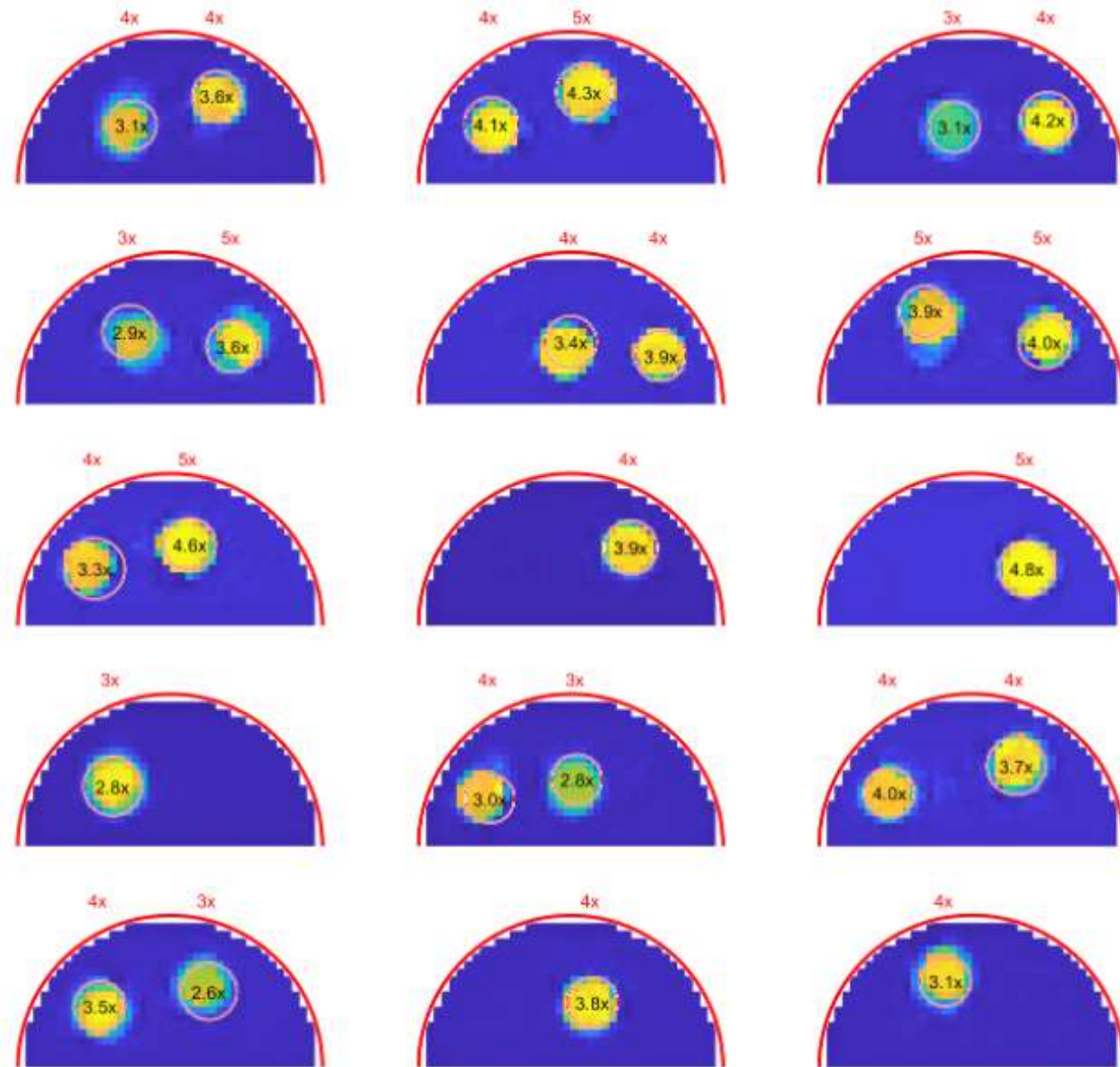
with minor modifications
for more than one PR

Caveat: one single index may not be adequate to assess the quality, for example $CNR \gg 1$ if the absorption coefficient is overestimated in the PR

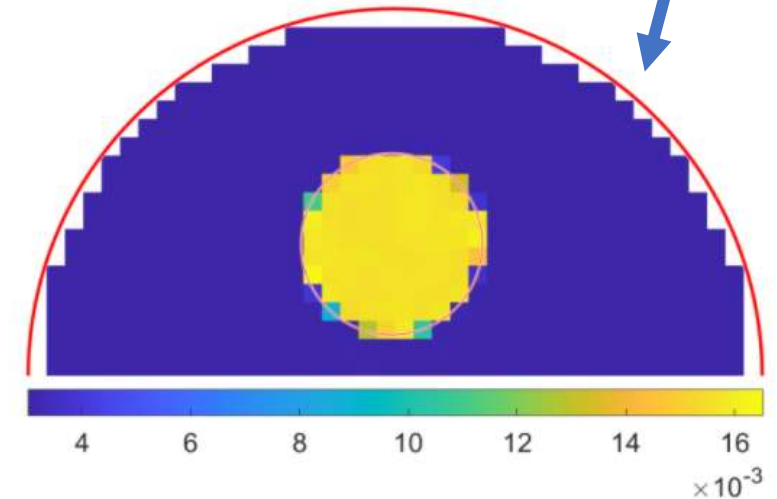
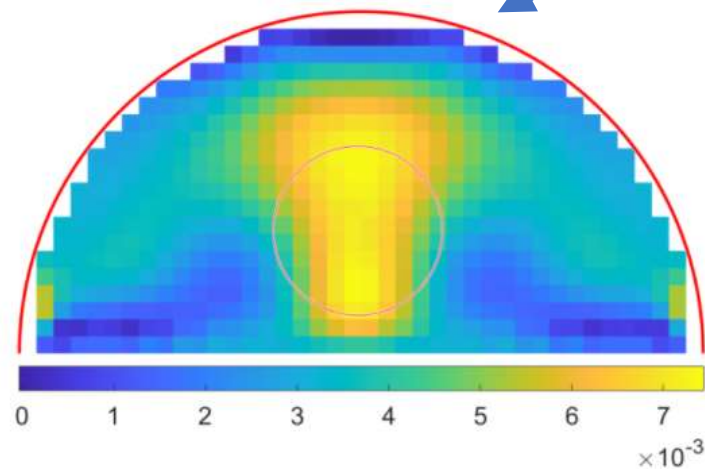
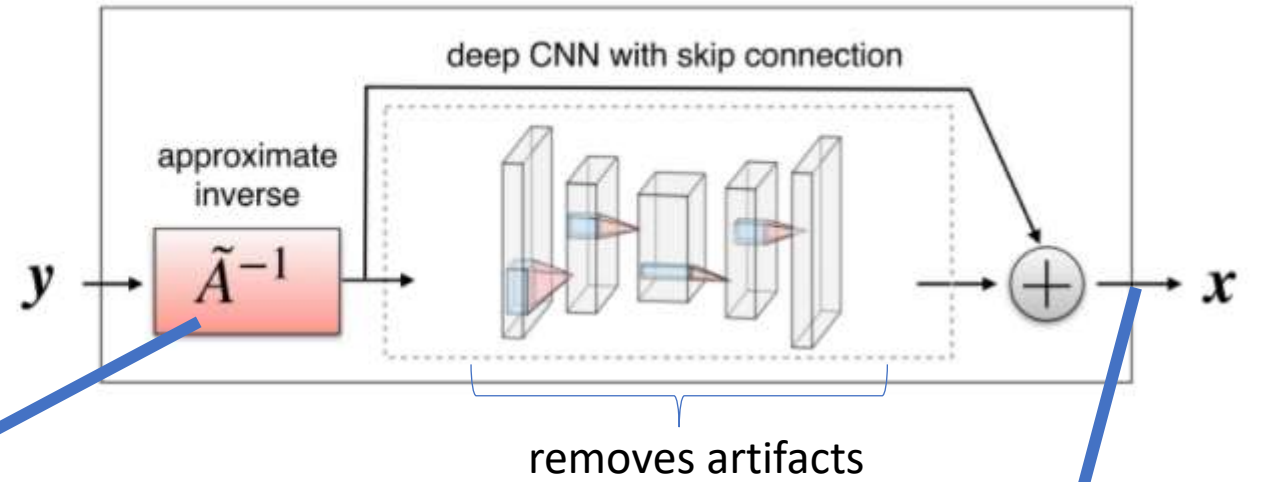
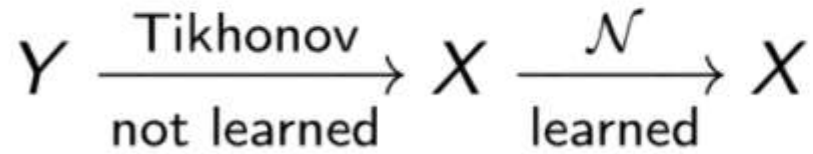
L-SVD model: 1PR- reconstruction from noisy data



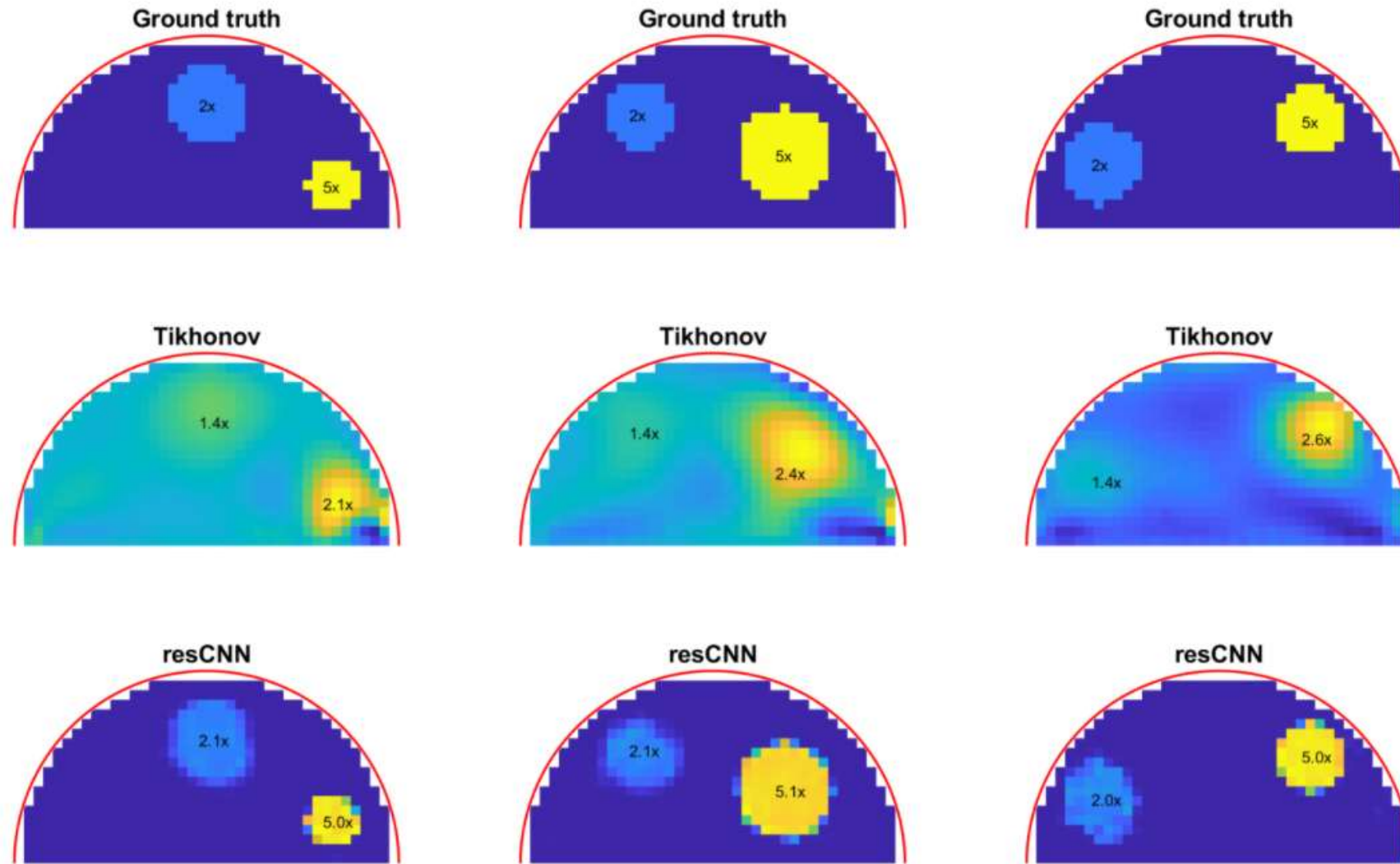
L-SVD model: 1 or 2PRs- reconstruction from 1% noisy data



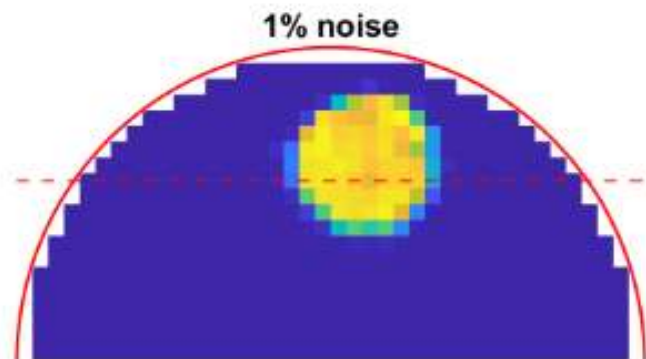
Physics driven model: Tikh+resCNN



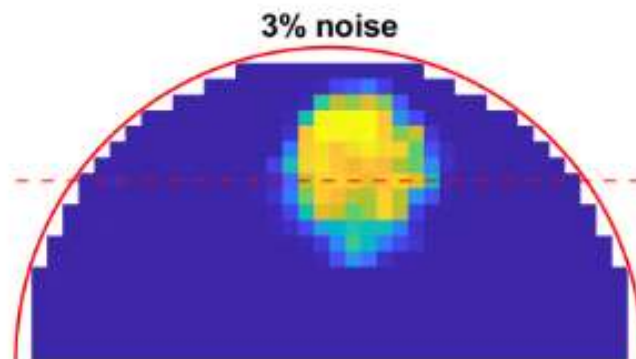
Tikh+resCNN hybrid model reconstruction (from noiseless data)



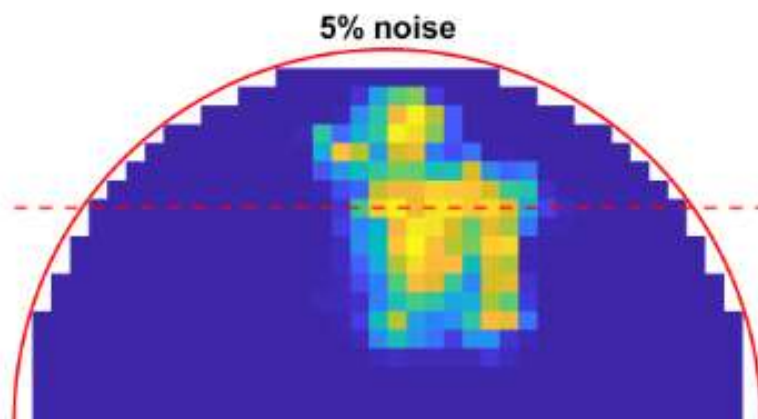
Tikh+resCNN reconstruction from noisy data



CNR=9.0
ABE=3.1e-04



CNR=8.6
ABE=4.2e-04

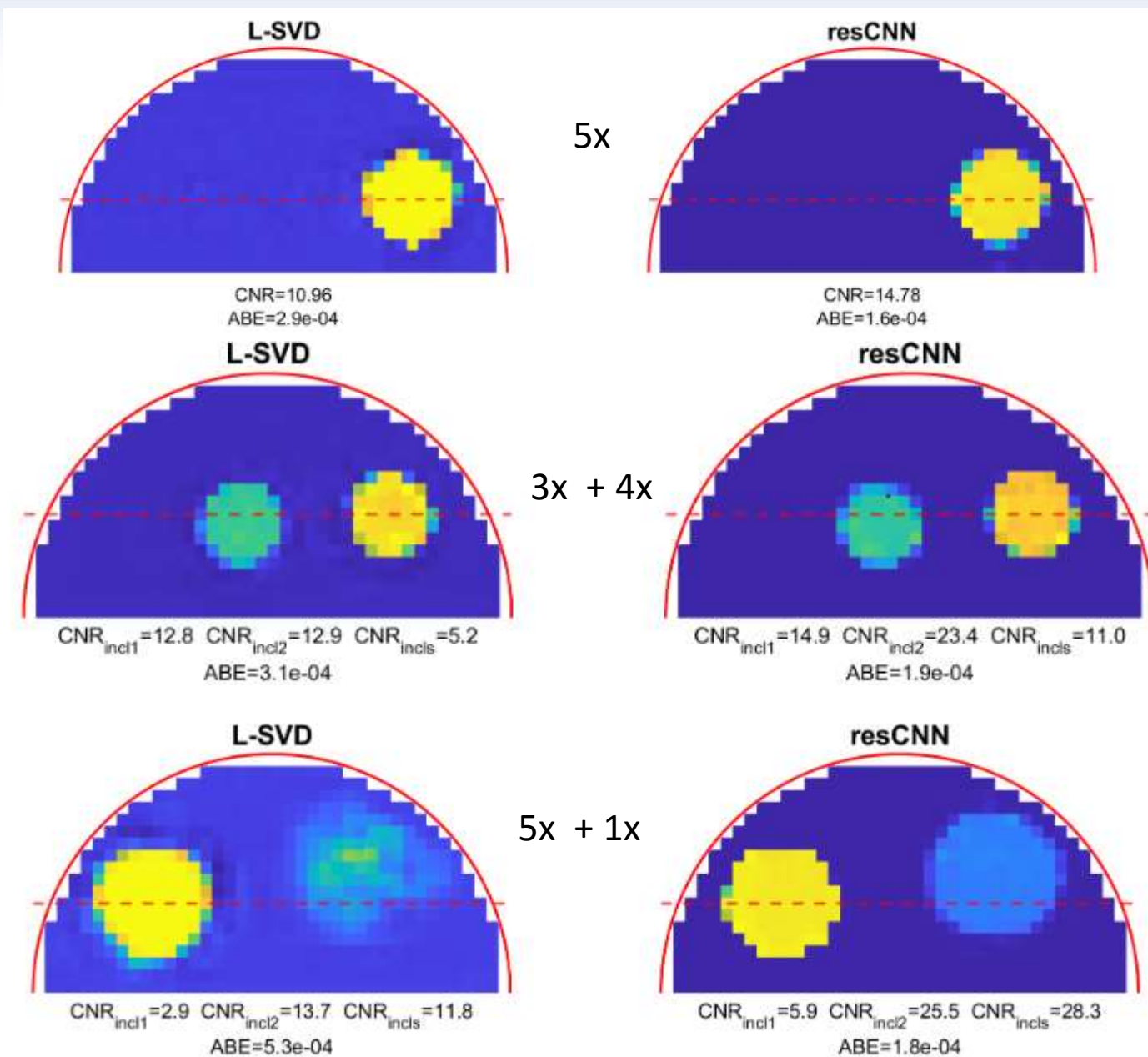


CNR=3.1
ABE=1.1e-03



Remark: the physics-driven step is very critical, since it propagates noise that must be efficiently cleaned out in the resCNN denoising step

Which model should I choose?



But...

Method	First inversion	Denoising	Total
FC+CNN	43.198.588	5.382	43.203.970
L-SVD+CNN	1.280.388	150.531	1.430.919
resCNN	-	418.419	418.419

noiseless data

Method	Pre-denoising	First inversion	Denoising	Total
FC+CNN	-	43.198.588	5.382	43.203.970
L-SVD+CNN	-	1.280.388	150.531	1.430.919
resCNN	1.904.050	-	418.419	2.322.469

noisy data

Conclusions (I)

- Modern medicine critically relies on **imaging** for decision making, of which CT scan is a central pillar
- Standard CT scans perform **several hundreds of projections**, with a significant **radiation burden** for the patient and the involved medical personnel
- **Low-dose and zero-dose CT technologies** are main innovation directions to obtain more «sustainable» CT modalities
- A **noiser/subsampled signal** is typically obtained in less ionizing approaches, that requires ad hoc reconstruction techniques

Conclusions (II)

- Diffuse optical tomography uses **near-infrared light as investigating signal**: no ionization
- NIR light in the tissue undergoes **multiple scattering making the inversion problem severely ill-conditioned**
- Classical variational methods have been used for many years but: i) critical choice of the regularization parameters, ii) there are (mildly) complex originating signals that are never correctly reconstructed
- DL techniques (**fully data-driven or hybrid physics+data-driven model**) can support CT reconstruction but much space is open to improvement